DATABASE: COFB DOCUMENT: REC= PAGE 0036

**CREATION: 010302** SOURCE : PUBWK AGENDATE: 011502 INVENUM: 50176

RESOLUTN: **ORDINANC:** 

**CONTRACT:** 012687

CONTINUE:

INDEX -Letter of Public Works Department dated January 3, 2002

- Independent Contractor Agreement

-Scope of Work

-Consultant Fee Schedule

**ITEM** 

-Consultant Fee Schedule
-Insurance Policy
-Certificate of Liability Insurance
-Contract No. 12687, 12660, 12659

042. APPROVED independent contractor agreements with John Gi1christ and Associates, Kittleson Environmental Consulting and H.T. Harvey and Associates for a not-to-exceed amount of \$50,000 each for fish and ✓■dlife biological services for various Public Works projects and authorized the Director of Public Works to sign the agreements on behalf of the County, as recommended by the Director of Public Works



# County of Santa Cruz

#### DEPARTMENT OF PUBLIC WORKS

701 OCEAN STREET, ROOM 410, SANTA CRUZ, CA 95060-4070 (831) 454-2160 FAX (831) 454-2385 TDD (831) 454-2123

THOMAS L. BOLICH

APPROVED AND FILED

DATE: COUNTY/OF SANTA CRUZ

SUSAN A. MAURIELLO BX/OFFICIO/CLERK OF THE BOARD AGENDA: JANUARY 15,2002

January 3,2002

SANTA CRUZ COUNTY BOARD OF SUPERVISORS

701 Ocean Street

Santa Cruz, California 95060

SUBJECT: FISH AND WILDLIFE BIOLOGICAL SERVICES FOR THE

DEPARTMENT OF PUBLIC WORKS - AWARD OF CONTRACT

Members of the Board:

On September 25,2001, your Board approved issuance of a Request for Proposals for fish and wildlife biological services for the Department of Public Works projects. Seven proposals were received and they were evaluated by Planning and Public Works staff that have experience in working with fish and wildlife biologists. Of those evaluated, John Gilchrist & Associates, Kittleson Environmental Consulting and H.T. Harvey & Associates were selected based on being most qualified with fish and wildlife biological services.

The Department of Public Works, in the development of most of our improvement projects, is required by the U.S. Fish and Wildlife Services and the National Marine Fisheries Services under the Federal Endangered Species Act to have an approved wildlife biologist available to provide biological assessments and on-site monitoring for endangered or threatened species. The three most prominent species listed as federally endangered that may be affected by Public Works' projects include coho salmon, steelhead and the red-legged frog, and in general they are present in every project that is constructed in a riparian habitat, wetland, stream, lake or river. It is also important to note that financial penalties can be assessed against public agencies for failure to comply with the Federal Endangered Species Act.

Over this past year there were numerous projects where our department utilized an approved wildlife biologist for preconstruction surveys and during the different construction phases of a project. Due to this increased demand to incorporate fish and wildlife biological services measures into our projects and the vast amount of work created by the federal requirements, it is necessary for us to have several fish and wildlife biologists under contract to insure our projects are completed under the federal and state requirements. We have therefore selected three firms to

perform the work, so that if a project is within an endangered species habitat area, we will have an authorized biologist ready to implement required measures to ensure the preservation of that species. Because many of our projects are under construction at the same time during the summer and fall, there is a critical need to have several consultants ready to respond to project time lines accordingly. It will also insure that we have biologists available to meet specific funding time constraints that have been placed on the County by the Federal Emergency Management Agency and the Office of Emergency Services for storm damage repair projects.

Public Works intends to compensate the wildlife biological firms based on a force account payment (time and materials) for specific work assignment to projects as needed. Each of the three firms will be required to submit a cost estimate for the work to be performed on each project and to obtain written approval from Public Works prior to commencing work. We do not anticipate fully expending the contract amount for each of the selected firms. However, if there is a "take" of an endangered species on any of our projects during construction, we will be prepared to respond in a timely manner with the necessary resources. Also, because of the varying nature of the County's improvement projects, including those projects that are subject to oversight from the various regulatory agencies, it is difficult at this time to anticipate the exact cost for the various services that will be required from each firm.

Public Works has completed the final scope of work and cost negotiations with the selected firms, and presented here for your consideration is an independent contractor agreement with each consultant in the not-to-exceed amount of \$50,000 each. Sufficient funds are available for these three contracts in the approved road program funds for the various affected projects.

It is therefore recommended that the Board of Supervisors take the following action:

- 1. Approve the attached independent contractor agreements with John Gilchrist & Associates, Kittleson Environmental Consulting and H. T. Harvey & Associates for a not-to-exceed amount of \$50,000 each for fish and wildlife biological services.
- **2.** Authorize the Director of Public Works to sign the agreements on behalf of the County.

Yours truly.

Director of Public Works

CS:bbs

Attachments

RECOMMENDED FOR APPROVAL:

County Administrative Officer

Copy to: Public Works Department

fawb.wpd

COUNTY OF SANTA CRUZ
REQUEST FOR APPROVAL OF AGREEMENT

			11/		<b>75</b>
TO:	Board of Supervisors County Administrative Office	FROM:	Purlic work	1_	(Department) Signature) 11.27.01 (Date)
	Auditor Controller	BY:	ure certifies that appro	priations/revenues are	available
AGREE	EMENT TYPE (Check One)	Exper	nditure Agreement	Revenue Agreer	ment 🗌
The B	pard of Supervisors is hereby requested	d to approve the attache	d agreement and autho	orize the <b>execution</b> of sa	ime.
	d agreement is between the <u>COU</u> JOHN GILCHRIST AND a  d 226 Spring Street,	* CC/CT			(Department/Agency)
2. Th	e agreement will provide <u>fish</u> an	d wildlife bid	ological serv	vices for vari	ious Public
_	Works projects.				
3. Pe	riod of the agreement is fromBoa	rd Approval	to	une 30, 2002	
4. An	iticipated Cost is \$ 50,000		Fixed   I	Monthly Rate 🗌 Annua	al Rate 🗵 Not to Exceed
Re	emarks: Contract \$50,000	; 7% Overhead	\$3,500; Tota	al \$53,500	
	etail: 🔲 On Continuing Agreements Li	istfor <b>FY ~</b> . Pa equired, will <b>be liste</b> d un uired	ge CC Contra		OR 1st Time Agreement
6. A	opropriations/Revenues are available a	nd are budgeted in 62.	1100!40065!36	65! (Index) <u>35</u>	590 (Sub object)
	NOTE: IF APPROP	RIATIONS ARE INSUFFIC	CIENT, ATTACHED CON	MPLETED AUD-74 OR AU	JD-60
Аррго	opriations available and	e been encumbered.	By: (Figure	Jan pler Deputy	
Prox	osal and accounting detail reviewed an	d approved. It is recom	mended that the Board	Supervisors approve	the agreement and authorize
Di.	rector of Public Works	s(Dept/Ag	ency Head) to execute	on behalf of the Dep	partment of
	blic Works		//	1	(Department/Agency
Date	s: \( \lambda \lambda \rangle \) o \( \text{S:bbs} \)		By: County Admi	nistrative Office	
	ribution:				
Dist	Board of Supervisors - White Auditor Contoler - Canary Auditor-Controller - Pink Department - Gold	County of Santa Crue State of California, do proved by said Board order duly entered in	May Herk of the	foregoing request for a mended by the County	of the County of Santa Cruz, approval of agreement was ap
	ADM <b>- 29</b> (8/01) Title $I$ , section 300 Proc Man	By: Deputy Clerk	flerillo	/	
AUI	DITORCONTROLLERUSE ONLY				
CO.	Document No. 2 \$	Lines	Н/Т	Keyed By	Date
TC:	110 Auditor Description	\$\$Amount	Index	Sub object	User Code

}

#### INDEPENDENT CONTRACTOR AGREEMENT

0369

THIS CONTRACT is entered into this <sup>15th</sup>day of January 2001, by and between the COUNTY OF SANTA CRUZ, hereinafter called COUNTY, and JOHN GILCHRIST AND ASSOCIATES, hereinafter called CONTRACTOR. The parties agree as follows:

- 1. <u>DUTIES</u>. CONTRACTOR agrees to exercise special skill to accomplish the following result: TO PROVIDE GENERAL ENVIRONMENTAL CONSULTING AND MONITORING SERVICES FOR PUBLIC WORKS PROJECTS, AS DESCRIBED IN SCOPE OF WORK.
- 2. <u>COMPENSATION</u>. In consideration for CONTRACTOR accomplishing said result, COUNTY agrees to pay CONTRACTOR as follows: NOT TO EXCEED \$50,000, IN **A** MANNER DESCRIBED IN SCOPE OF WORK.
- 3. <u>TERM.</u> The term of this contract shall be: FROM BOARD APPROVAL UNTIL JUNE 30,2002.
- 4. <u>EARLY TERMINATION</u>. Either party hereto may terminate this contract at any time by giving 30 days written notice to the other party.
- 5. <u>INDEMNIFICATIONFOR DAMAGES. TAXES AND CONTRIBUTIONS</u>. CONTRACTOR shall exonerate, indemnify, defend, and hold harmless COUNTY (which for the purpose of paragraphs **5** and **6** shall include, without limitation, its officers, agents, employees and volunteers) from and against:
- A. Any and all claims, demands, losses, damages, defense costs, or liability of any kind or nature which COUNTY may sustain or incur or which may be imposed upon it for injury to or death of persons, or damage to property as a result of, arising out of, or in any manner connected with the CONTRACTOR'S performance under the terms of this Agreement, excepting any liability arising out of the sole negligence of the COUNTY. Such indemnification includes any damage to the person(s), or property(ies) of CONTRACTOR and third persons.
- B. Any and all Federal, State and Local taxes, charges, fees, or contributions required to be paid with respect to CONTRACTOR and CONTRACTOR'S officers, employees and agents engaged in the performance of this Agreement (including, without limitation, unemployment insurance, social security and payroll tax withholding).
- 6. <u>INSURANCE</u>. CONTRACTOR, at its sole cost and expense, for the full term of this Agreement (and any extensions thereof), shall obtain and maintain at a minimum compliance with all of the following insurance coverage(s) and requirements. Such insurance coverage shall be primary coverage as respects COUNTY and any insurance or self-insurance maintained by County shall be excess of CONTRACTOR'S insurance coverage and shall not contribute to it

If CONTRACTOR utilizes one or more subcontractors in the performance of this Agreement, CONTRACTOR shall obtain and maintain Independent Contractor's Insurance as to each subcontractor or otherwise provide evidence of insurance coverage for each

subcontractor equivalent to. t required of CONTRACTOR in this A reement, unless CONTRACTOR and COUN 1 Y both initial here/							
A. Types of Insurance and Minimum Limits							
(1) Worker's Compensation in the minimum statutorily required coverage amounts. This insurance coverage shall not be required if the CONTRACTOR has no employees and certifies to this fact by initialing here.							
(2) Automobile Liability Insurance for each of CONTRACTOR's vehicles used in the performance of this Agreement, including owned, non-owned (e.g. owned by CONTRACTOR's employees), leased or hired vehicles, in the minimum amount of \$500,000 combined single limit per occurrence for bodily injury and property damage. This insurance coverage shall not be required if vehicle use by CONTRACTOR is not a material part of performance of this Agreement and CONTRACTOR and COUNTY both certify to this fact by initialing here/							
(3) Comprehensive or Commercial General Liability Insurance coverage in the minimum amount of \$1,000,000 combined single limit, including coverage for: (a) bodily injury, (b) personal injury, (c) broad-form property damage, (d) contractual liability, and (e) cross-liability.							
(4) Professional Liability Insurance in the minimum amount of \$1,000,000.00 combined single limit, if, and only if, this Subparagraph is initialed by CONTRACTOR and COUNTY 1/2 /							
B. Other Insurance Provisions							
(1) If any insurance coverage required in this Agreement is provided on a "Claims Made" rather than "Occurrence" form, CONTRACTOR agrees to maintain the required coverage for a period of three (3) years after the expiration of this Agreement (hereinafter "post agreement coverage") and any extensions thereof. CONTRACTOR may maintain the required post agreement coverage by renewal or purchase of prior acts or tail coverage. This provision is contingent upon post agreement coverage being both available and reasonably affordable in relation to the coverage provided during the term of this Agreement. For purposes of interpreting this requirement, a cost not exceeding 100% of the last annual policy premium during the term of this Agreement in order to purchase prior acts or tail coverage for post agreement coverage shall be deemed to be reasonable.							
(2) All required Automobile and Comprehensive or Commercial General Liability Insurance shall be endorsed to contain the following clause:							
"The County of Santa Cruz, its officials, employees, agents and volunteers are added as an additional insured as respects the operations and activities of, or on behalf of, the named insured performed under Agreement with the County of Santa Cruz."							
(3) All required insurance policies shall be endorsed to contain the							

42-

following clause:

"This arance shall not be canceled until afte airty (30) days prior written notice has been given to:

**CONNTE** SILVA DEPARTMENT OF PUBLIC WORKS 701 OCEAN STREET, ROOM 410 SANTA CRUZ, CA 95060"

(4) CONTRACTOR agrees to provide its insurance broker(s) with a full copy of these insurance provisions and provide COUNTY on or before the effective date of this Agreement with Certificates of Insurance for all required coverages. All Certificates of Insurance shall be delivered or sent to:

CONNIE SILVA
DEPARTMENT OF PUBLIC WORKS
701 OCEAN STREET, ROOM 410
SANTA CRUZ, CA 95060

- 7. <u>EOUAL EMPLOYMENT OPPORTUNITY</u>. During and in relation to the performance of this Agreement, CONTRACTOR agrees **as** follows:
- A. The CONTRACTOR shall not discriminate against any employee or applicant for employment because of race, color, religion, national origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, pregnancy, sex, sexual orientation, age (over 18), veteran status or any other non-merit factor unrelated to job duties. Such action shall include, but not be limited to the following: recruitment; advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training (including apprenticeship), employment, upgrading, demotion, transfer. The CONTRACTOR agrees to post in conspicuous places, available to employees and applicants for employment, notice setting forth the provisions of this non-discrimination clause.
- B. If this Agreement provides compensation in excess of \$50,000 to CONTRACTOR and if CONTRACTOR employs fifteen (15) or more employees, the following requirements shall apply:
- (1) The CONTRACTOR shall, in all solicitations or advertisements for employees placed by or on behalf of the CONTRACTOR, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, national origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, pregnancy, sex, sexual orientation, age (over 18), veteran status, or any other non-merit factor unrelated to job duties. In addition, the CONTRACTOR shall make a good faith effort to consider Minority/Women/Disabled Owned Business Enterprises in CONTRACTOR's solicitation of goods and services. Definitions for Minority/Women/Disabled Business Enterprises are available from the COUNTY General Services Purchasing Division.
- (2) The CONTRACTOR shall furnish COUNTY Equal Employment Opportunity Office information and reports in the prescribed reporting format (PER 4012) identifying the sex, race, physical or mental disability and job classification of its employees and the names, dates and methods of advertisement and direct solicitation efforts made to subcontract with Minority/Women/Disabled Business Enterprises.

(3) the event of the CONTRACTOR'S n —compliance with the non-discrimination clauses of this Agreement or with any of the said rules, regulations, or orders said CONTRACTOR may be declared ineligible for further agreements with the

0372

- (4) The CONTRACTOR shall cause the foregoing provisions of this Subparagraph **7B.** to be inserted in all subcontracts for any work covered under this Agreement by a subcontractor compensated more than \$50,000 and employing more than fifteen (15) employees, provided that the foregoing provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.
- 8. <u>INDEPENDENT CONTRACTOR STATUS</u>. CONTRACTOR and COUNTY have reviewed and considered the principal test and secondary factors below and agree that CONTRACTOR is an independent contractor and not an employee of COUNTY. CONTRACTOR is responsible for all insurance (workers compensation, unemployment, etc.) and all payroll related taxes. CONTRACTOR is not entitled to any employee benefits. COUNTY agrees that CONTRACTOR shall have the right to control the manner and means of accomplishing the result contracted for herein.

<u>PRINCIPAL TEST</u>: The CONTRACTOR rather than COUNTY has the right to control the manner and means of accomplishing the result contracted for.

SECONDARY FACTORS: (a) The extent of control which, by agreement, COUNTY may exercise over the details of the work is slight rather than substantial; (b) CONTRACTOR is engaged in a distinct occupation or business; (c) In the locality, the work to be done by CONTRACTOR is usually done by a specialist without supervision, rather than under the direction of an employer; (d) the skill required in the particular occupation is substantial rather than slight; (e) The CONTRACTOR rather than the COUNTY supplies the instrumentalities, tools and work place; (f) The length of time for which CONTRACTOR is engaged is of limited duration rather than indefinite; (g) The method of payment of CONTRACTOR is by the job rather than by the time; (h) The work is part of a special or permissive activity, program, or project, rather than part of the regular business of COUNTY; (i) CONTRACTOR and COUNTY believe they are creating an independent contractor relationship rather than an employer-employee relationship; and (j) The COUNTY conducts public business.

It is recognized that it is not necessary that all secondary factors support creation of an independent contractor relationship, but rather that overall there are significant secondary factors which indicate that CONTRACTOR is an independent contractor.

By their signatures to this Agreement, each of the undersigned certifies that it is his or her considered judgment that the CONTRACTOR engaged under this Agreement is in fact an independent contractor.

- **9.** <u>CONTRACTOR</u> represents that its operations are in compliance with applicable County planning, environmental and other laws or regulations.
- 10. <u>CONTRACTOR</u> is responsible to pay prevailing wages and maintain records as required by Labor Code Section 1770 and following.

COUNTY.

- 11. NONASSIGN (ENT. CONTRACTOR shall not assign this agreement without 0373 the prior written consent of the COUNTY.
  - 12. RETENTION AND AUDIT OF RECORDS. CONTRACTOR shall retain records pertinent to this Agreement for a period of not less than five (5) years after final payment under this Agreement or until a final audit report is accepted by COUNTY, whichever occurs first. CONTRACTOR hereby agrees to be subject to the examination and audit by the Santa Cruz County Auditor-Controller the Auditor General of the State of California, or the designee of either for a period of five (5) years after final payment under this Agreement.
  - 13. PRESENTATION OF CLAIMS. Presentation and processing of any or all claims arising out of or related to this Agreement shall be made in accordance with the provisions contained in Chapter 1.05 of the Santa Cruz County Code, which by this reference is incorporated herein.
  - 14. ACKNOWLEDGMENT. CONTRACTOR shall acknowledge in all reports and literature that the Santa Cruz County Board of Supervisors has provided funding to the CONTRACTOR.
  - <u>ATTACHMENTS</u>. This Agreement includes the following attachments: 15. SCOPE OF WORK.

IN WITNESS WHEREOF, the parties hereto have set their hands the day and year first above written.

COUNTY OF SANTA CRUZ

CONTRACTOR

JOHN GILCHRIST AND ASSOCIATES

Telephone: (831) 429-43

FAX: 831 - 425-2305

Address: 226 SPRING STREET SANTA CRUZ, CA 95060

E-MAIL 194 6 Cruzio. Com

APPROVED AS TO FORM:

Chief Assistant County Counsel

CS:abc

DISTRIBUTION:

Auditor-Controller

Contractor **Public Works** 

JGAA.wpd



ENVIRONMENTAL CONSULTANTS

## SCOPE OF WORK

John Gilchrist and Associates will assist the Santa Cruz County Department of Public Works with review of fish and wildlife resources at sites where the Department is planning to construct new projects or conducting maintenance activities. JGA will conduct surveys of sensitive (rare, threatened, endangered) species or communities, propose appropriate mitigation measures, plan and carry out species monitoring programs, as requested by the Department. JGA will also assist with federal, state and county permitting as needed.



ENVIRONMENTAL CONSULTANTS

## CONSULTANT FEE SCHEDULE

#### Personnel:

John Gilchrist, Principal	\$ 90.00/nr.
	90.00/hr.
Jeff Hagar	70.00/hr.
Mara Noelle, Wetland Ecologist	70.00/hr.
Laurie Kiguchi, Botanist	
David Suddjian, Wildlife Biologist	70.00/hr.
	65.00/hr.
Graphics Illustrator	- 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1

## **Expenses:**

Travel:

Standard Vehicle
Airfare, Hotel, Meals
Communications
Photocopying
Graphics Materials and Report Production

\$ 0.33/mile
At cost
At cost
\$ 0.10/page
At cost

Rates effective 6/1/01

CAMPUS INS. SERV. -S.C. P.O. BOX 643

MA 39 2354

SANTA CRUZ

CA 95061

TELEPHONE: (831) 427-3272

POLICY NUMBER

POLICY PERIOD AP 39003169 FROM 09/30/2001 12:01 AM TO 03/30/2002 12:01 AM

PERSONS INSURED

NAMEDINSURED JOHN A GILCHRIST

JOHN A GILCHRIST SUSAN V GILCHRIST

## MERCUR' SURANCE COMPANY

**AUTOMOBILE POLICY DECLARATIONS** IMPORTANT COVERAGE EXCLUSION

APPLICABLE TO ALL COVERAGES, INCLUDING BUT NOT LIMITED TO, LIABILITY AND UNINSURED MOTORISTS, PROVIDED NOW OR LATER

AND UNINSURED MOTORISTS. PHOVIDED NOW OF THE STATE OF THE

ANDREW WILLIAMSON

0376

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IMPORTANT INFORMATION

EFFECTIVE 09/30/2001

Your automobile insurance expires and coverage ceases at 12:01 AM on 09/30/2001. Coverage under this policy will become effective provided the premium is paid as indicated on the enclosed NOTICE OF PREMIUM DUE.

MAILED TO:

JOHN A GILCHRIST 226 SPRING ST SANTA CRUZ CA 95060

POLICYNUMBER: AP 39003169 MAILING DATE + 08/30/2001

831 427 1234 P·2

NOTICE OF INSURANCE BINDS	1 5	GENTLEMEN: PLEASE BE ADV BEEN ORDERED AND BOUND AS LIS A POLICY TO YOU PLEASE ACCEP THIS BINDER EXPIRES	TED, PENDING ISSUANCE O T THIS FORM AS A BINDER
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FORM F55 HSURANCE FORMS CO P. O. BOX 4101 . WHITTIER	CALIF		

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Prof. Liability - Soil Program	IYG350981	:	9/1/2001	9/1/2002	<b>₹</b>	
) CRIPTION OF OPERATIONS/LOCATIONS/N	TEHICLES/EXCLUSIONS ADDED	BY ENDORSEM I	T/SPECIAL PROWS	NS		
			,	•		
e attached page						

ERTIFICATE HOLDER X ADDITIONAL INSURED; INSURER LETTER:

CANCELLATION

Santa Cruz Co Public Works Dept Attn: Connie Silva 701 Ocean Street, Room 410 Santa Cruz CA 95060-

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFCIRE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATEHOLDER NAMED TO THE LEFT. BUT FAILURE TO DO SO SKALL IMPOSE NO OBLIGATION OR LIABILITY OF A NIKIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE

PAGE 1 OF 1

## DESCRIPTION OF OPERATIONS. Certificate of Liability Insurance

0379

Santa Cruz Co Public Works Dept

Attn: Connie Silva

701 Ocean Street, Room 410

Santa Cruz CA 95060-

John Gilchrist & Associates 226 Spring Street Santa Cruz CA 95060

\*\* Professional Liability Claims Made Form / \$1,000,000 Per Aggregate / \$1,000,000 Per Occurrence.

"The Jaunty of Santa Cruz, its officials, employees, agents and volunteers are added as an additional insured as respects the operations and activities of, or on behalf of, the named insured performed under Agreement with the County of Santa Cruz."

"This insurance shall not be cancelled until after thirty (30) days prior written notice (except in the event of non-payment which is ten (10) days), has been given to:

Connie Silva

Department of Public Works 701 Ccean Street, Room 410 Sants Cruz, CA 95060" COUNTY OF SANTA CRUZ
REQUEST FOR APPROVAL OF AGREEMENT

TO:	Board of Supervisors	FROM	1: PUELI	C WORKS			(Department)
	County <b>Administrative</b> Office Auditor <b>Contact</b>	BY:	/m/	1./1/	(Si	gnature)	27.0 (Date)
			Signature certifie	s'that appropriate	ons/revenues are av	/allable	
AGREE	EMENT TYPE (Check One)		Expenditure Agre	eement 🗆	Revenue Agreeme	ent 🔲	
The B	pard of Supervisors is hereby q	uested to approve th	e attached agreeme	ent and authorize t	the execution of sam	ne.	
1. Sa	d agreement is between the	COUNTY OF S	SANTA CRUZ			(Depart	ment/Agency)
an	d 794 Green Valley		onville, CA	95076		(N	ame/Address)
2. The	e agreement will provide <u>fi</u>	sh and wildli	ife biologio	cal servic	es for vari	ous	
<del></del> -	Public Works pro	ojects.					
3. Pe	eriod of the agreement is <b>from</b> _	Board Appro	oval	_to <u>Jun</u>	e 30, 2002		
4. An	ticipated Cost is \$ 50,000.	.00		Fixed   Month	ly Rate 🗌 Annual	Rate 🖾 N	of to Exceed
R€	marks: Contract \$50	,000; 7% Ove	rhead \$3,50	0; Total \$	53,500		
[	Section III Board lett	nents List for FY letter required, will be er required Agreement	, Page CC e listed under Item 8	Contract No:	:OR	. ⊠ 1 <sup>st</sup> Tin	<b>ne</b> Agreement
6. Ap	propriations/Revenues are ava	ilable and are <b>budgete</b>	<b>d</b> in <u>621100 <b>!4</b> (</u>	065!3665!	_ (Index)359	0	(Sub object)
	NOTE: IF A	PPROPRIATIONS ARE	INSUFFICIENT, ATT	ACHED COMPLET	ED AUD-74 <b>OR</b> AUD	<b>-60</b>	
Appro	priations available and are not	have been encun	Connbered.	tract No: 1	2659 Deputý	Date:	130/01
Propo	osal and accounting detail review	wed and approved. It	is recommended that	at the Board of Su	pervisors approve th	ne <b>agreeme</b> n	t and authorize
Dir	ector of Public W	orks	(Dept/Agency Head)	to execute on be	half of <b>the</b> Depa	artment	of
Pub	lic Works		<del>.</del>	<u> </u>		(Depa	irtment/Agency)
Date:	= 11/29/01		Ву: _	Saul	Sunt.		
s:bb	3		Č	County Administrat	ive Office		
Distri	bution:  Board of Supervisors - White A u dilicontroller - Canary Auditor-Controller - Pink Department - Gold  ADM - 29 (8/01)	State of California order duty ed	nta Cfuz / Confice ornia, do hereby cer id Board of Supervis Itered in the minutes	tify that the foregons as recommend	ded by the County A	proval of agn	eement was ao-
	Title I, Section 300 Proc M	an By: <b>Deputy</b> C	Jerk C				
AUD!	ITOR-CONTROLLER USE ONLY						40
_CO_	\$ Document No.	nount Lir	nes H/TL	. K	eyed <b>By</b>	 Date	<b>4</b> 2
TC1:	10	\$			J		
<del>-</del> -	Auditor Description	Aı	mount	Index	Sub <b>object</b>	User Code	

#### INDEPENDENT CONTRACTOR AGREEMENT

THIS CONTRACT is entered into this <sup>1</sup>5th day of January 2001, by and between the COUNTY OF SANTA CRUZ, hereinafter called COUNTY, and H.T. HARVEY AND ASSOCIATES, hereinafter called CONTRACTOR. The parties agree as follows:

- 1. <u>DUTIES</u>. CONTRACTOR agrees to exercise special skill to accomplish the following result: TO PROVIDE GENERAL ENVIRONMENTAL CONSULTING AND MONITORING SERVICES FOR PUBLIC WORKS PROJECTS, AS DESCRIBED IN SCOPE OF WORK.
- 2. <u>COMPENSATION</u>. In consideration for CONTRACTOR accomplishing said result, COUNTY agrees to pay CONTRACTOR as follows: NOT TO EXCEED \$50,000, IN A MANNER DESCRIBED IN SCOPE OF WORK.
- 3. <u>TERM.</u> The term of this contract shall be: FROM BOARD APPROVAL UNTIL JUNE 30,2002.
- **4.** <u>EARLY TERMINATION</u>. Either party hereto may terminate this contract at any time by giving 30 days written notice to the other party.
- **5.** <u>INDEMNIFICATION FOR DAMAGES, TAXES AND CONTRIBUTIONS</u>. CONTRACTOR shall exonerate, indemnify, defend, and hold harmless COUNTY (which for the purpose of paragraphs 5 and 6 shall include, without limitation, its officers, agents, employees and volunteers) from and against:
- A. Any and all claims, demands, losses, damages, defense costs, or liability of any kind or nature which COUNTY may sustain or incur or which may be imposed upon it for injury to or death of persons, or damage to property as a result of, arising out of, or in any manner connected with the CONTRACTOR'S performance under the terms of this Agreement, excepting any liability arising out of the sole negligence of the COUNTY. Such indemnification includes any damage to the person(s), or property(ies) of CONTRACTOR and third persons.
- B. Any and all Federal, State and Local taxes, charges, fees, or contributions required to be paid with respect to CONTRACTOR and CONTRACTOR'S officers, employees and agents engaged in the performance of this Agreement (including, without limitation, unemployment insurance, social security and payroll tax withholding).
- 6. <u>INSURANCE</u>. CONTRACTOR, at its sole cost and expense, for the full term of this Agreement (and any extensions thereof), shall obtain and maintain at a minimum compliance with all of the following insurance coverage(s) and requirements. Such insurance coverage shall be primary coverage as respects COUNTY and any insurance or self-insurance maintained by County shall be excess of CONTRACTOR'S insurance coverage and shall not contribute to it

If CONTRACTOR utilizes one or more subcontractors in the performance of this Agreement, CONTRACTOR shall obtain and maintain Independent Contractor's Insurance as to each subcontractor or otherwise provide evidence of insurance coverage for each

subcontractor equivalent to "	at required of CONT	RACTOR in this 🛧	ज़ुरeement, unless
CONTRACTOR and COU.	'Y both initial here	/	

A. <u>Types of Insurance and Minimum Limits</u>
(1) Worker's Compensation in the minimum statutorily required coverage amounts. This insurance coverage shall not be required if the CONTRACTOR has no employees and certifies to this fact by initialing here
(2) Automobile Liability Insurance for each of CONTRACTOR's vehicles used in the performance of this Agreement, including owned, non-owned (e.g. owned by CONTRACTOR's employees), leased or hired vehicles, in the minimum amount of \$500,000 combined single limit per occurrence for bodily injury and property damage. This insurance coverage shall not be required if vehicle use by CONTRACTOR is not a material part of performance of this Agreement and CONTRACTOR and COUNTY both certify to this fact by initialing here/
(3) Comprehensive or Commercial General Liability Insurance coverage in the minimum amount of \$1,000,000 combined single limit, including coverage for: (a) bodily injury, <b>(b)</b> personal injury, (c) broad-form property damage, (d) contractual liability, and (e) cross-liability.

Professional Liability Insurance in the minimum amount of \$1,000,000.00 combined single limit, if, and only if, this Subparagraph is initialed by CONTRACTOR and COUNTY \_\_\_\_\_/\_\_\_.

#### В. Other Insurance Provisions

- If any insurance coverage required in this Agreement is provided on a "Claims Made" rather than "Occurrence" form, CONTRACTOR agrees to maintain the required coverage for a period of three (3) years after the expiration of this Agreement (hereinafter "post agreement coverage") and any extensions thereof. CONTRACTOR may maintain the required post agreement coverage by renewal or purchase of prior acts or tail coverage. This provision is contingent upon post agreement coverage being both available and reasonably affordable in relation to the coverage provided during the term of this Agreement. For purposes of interpreting this requirement, a cost not exceeding 100% of the last annual policy premium during the term of this Agreement in order to purchase prior acts or tail coverage for post agreement coverage shall be deemed to be reasonable.
- (2) All required Automobile and Comprehensive or Commercial General Liability Insurance shall be endorsed to contain the following clause:

"The County of Santa Cruz, its officials, employees, agents and volunteers are added as an additional insured as respects the operations and activities of, or on behalf of, the named insured performed under Agreement with the County of Santa Cruz."

**(3)** All required insurance policies shall be endorsed to contain the following clause:



"This insurance shall not be canceled until after thirty (30) days prior 0393 written notice has been given to:

**CONNIE SILVA** DEPARTMENT OF PUBLIC WORKS 701 OCEAN STREET, ROOM 410 SANTA CRUZ, CA 95060"

CONTRACTOR agrees to provide its insurance broker(s) with a (4) full copy of these insurance provisions and provide COUNTY on or before the effective date of this Agreement with Certificates of Insurance for all required coverages. All Certificates of Insurance shall be delivered or sent to:

**CONNIE SILVA** DEPARTMENT OF PUBLIC WORKS 701 OCEAN STREET, ROOM 410 SANTA CRUZ, CA 95060

- 7. **EQUAL EMPLOYMENT OPPORTUNITY**. During and in relation to the performance of this Agreement, CONTRACTOR agrees as follows:
- A. The CONTRACTOR shall not discriminate against any employee or applicant for employment because of race, color, religion, national origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, pregnancy, sex, sexual orientation, age (over 18), veteran status or any other non-merit factor unrelated to job duties. Such action shall include, but not be limited to the following: recruitment; advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training (including apprenticeship), employment, upgrading, demotion, transfer. The CONTRACTOR agrees to post in conspicuous places, available to employees and applicants for employment, notice setting forth the provisions of this non-discrimination clause.
- If this Agreement provides compensation in excess of \$50,000 to В. CONTRACTOR and if CONTRACTOR employs fifteen (15) or more employees, the following requirements shall apply:
- The CONTRACTOR shall, in all solicitations or advertisements (1) for employees placed by or on behalf of the CONTRACTOR, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, national origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, pregnancy, sex, sexual orientation, age (over 18), veteran status, or any other non-merit factor unrelated to job duties. In addition, the CONTRACTOR shall make a good faith effort to consider Minority/Women/Disabled Owned Business Enterprises in CONTRACTOR's solicitation of goods and services. Definitions for Minority/Women/Disabled Business Enterprises are available from the COUNTY General Services Purchasing Division.
- (2) The CONTRACTOR shall furnish COUNTY Equal Employment Opportunity Office information and reports in the prescribed reporting format (PER 4012) identifying the sex, race, physical or mental disability and job classification of its employees and the names, dates and methods of advertisement and direct solicitation efforts made to subcontract with Minority/Women/Disabled Business Enterprises.

- (3) In the event of the CONTRACTOR'S –an-compliance with the non-discrimination clause, it his Agreement or with any of the sai, regulations, or orders said CONTRACTOR may be declared ineligible for further agreements with the COUNTY.
- **(4)** The CONTRACTOR shall cause the foregoing provisions of this Subparagraph 7B. to be inserted in all subcontracts for any work covered under this Agreement by a subcontractor compensated more than \$50,000 and employing more than fifteen (15) employees, provided that the foregoing provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.
- 8. INDEPENDENT CONTRACTOR STATUS. CONTRACTOR and COUNTY have reviewed and considered the principal test and secondary factors below and agree that CONTRACTOR is an independent contractor and not an employee of COUNTY. CONTRACTOR is responsible for all insurance (workers compensation, unemployment, etc.) and all payroll related taxes. CONTRACTOR is not entitled to any employee benefits. COUNTY agrees that CONTRACTOR shall have the right to control the manner and means of accomplishing the result contracted for herein.

<u>PRINCIPAL TEST</u>: The CONTRACTOR rather than COUNTY has the right to control the manner and means of accomplishing the result contracted for.

SECONDARY FACTORS: (a) The extent of control which, by agreement, COUNTY may exercise over the details of the work is slight rather than substantial; (b) CONTRACTOR is engaged in a distinct occupation or business; (c) In the locality, the work to be done by CONTRACTOR is usually done by a specialist without supervision, rather than under the direction of an employer; (d) the skill required in the particular occupation is substantial rather than slight; (e) The CONTRACTOR rather than the COUNTY supplies the instrumentalities, tools and work place; (f) The length of time for which CONTRACTOR is engaged is of limited duration rather than indefinite; (g) The method of payment of CONTRACTOR is by the job rather than by the time; (h) The work is part of a special or permissive activity, program, or project, rather than part of the regular business of COUNTY; (i) CONTRACTOR and COUNTY believe they are creating an independent contractor relationship rather than an employer-employee relationship; and (j) The COUNTY conducts public business.

It is recognized that it is not necessary that all secondary factors support creation of an independent contractor relationship, but rather that overall there are significant secondary factors which indicate that CONTRACTOR is an independent contractor.

By their signatures to this Agreement, each of the undersigned certifies that it is his or her considered judgment that the CONTRACTOR engaged under this Agreement is in fact an independent contractor.

- 9. <u>CONTRACTOR</u> represents that its operations are in compliance with applicable County planning, environmental and other laws or regulations.
- 10. <u>CONTRACTOR</u> is responsible to pay prevailing wages and maintain records as required by Labor Code Section 1770 and following.

- NONASSIGNMENT. CONTRACTOR shall not assign this agreement without 0395 the prior written consent o. e COUNTY.
- **12.** RETENTION AND AUDIT OF RECORDS. CONTRACTOR shall retain records pertinent to this Agreement for a period of not less than five (5) years after final payment under this Agreement or until a final audit report is accepted by COUNTY, whichever occurs first. CONTRACTOR hereby agrees to be subject to the examination and audit by the Santa Cruz County Auditor-Controller, the Auditor General of the State of California, or the designee of either for a period of five (5) years after final payment under this Agreement.
- PRESENTATION OF CLAIMS. Presentation and processing of any or all claims arising out of or related to this Agreement shall be made in accordance with the provisions contained in Chapter 1.05 of the Santa Cruz County Code, which by this reference is incorporated herein.
- 14. ACKNOWLEDGMENT. CONTRACTOR shall acknowledge in all reports and literature that the Santa Cruz County Board of Supervisors has provided funding to the CONTRACTOR.
- <u>ATTACHMENTS</u>. This Agreement includes the following attachments: 15. SCOPE OF WORK.

IN WITNESS WHEREOF, the parties hereto have set their hands the day and year first above written.

COUNTY OF SANTA CRUZ

CONTRACTOR

H.T. HARVEY AND ASSOCIATES

Scott B. Terrill Address: 294 GREEN VALLEY ROAD,

E-MAIL sterrill @ harveyredlogy, com

**SUITE 320** 

WATSONVILLE, CA 95076

Telephone: (831) 786-1700 FAX: 408-448-945

APPROVED AS TO FORM:

Chief Assistant County Counsel

CS:abc

DISTRIBUTION:

Auditor-Controller

Contractor **Public Works** 

HTHA.wpd



H. T. Harvey and Associates provides a full range of ecological consulting services. Our staff includes experts in all biological disciplines necessary to address the requirements of the County of Santa Cruz.

The products we provide to our clients include:

#### **Environmental Impact Analyses**

Typically, our environmental impact analyses support environmental review (CEQA/NEPA) documents during project planning. We survey the site, determine, describe and discuss biotic habitats and resources associated with the project area. We evaluate the potential for the project to impact biotic resources and, in cases where impacts are unavoidable, design mitigation measures for those impacts.

#### **Natural Environment Studies (NES)**

We prepare NES's in Caltrans format. These studies also include a wetlands technical report, biological assessment, and mitigation feasibility reports.

#### **Endangered and Special Status Species Studies**

H. T. Harvey & Associates provides species-specific and protocol-level surveys for many listed species. We also conduct Section 7 and 10 consultations and prepare Biological Assessments in support of permit applications.

#### Wetland Delineation

We conduct wetland delineations and jurisdictional determinations of regulated habitats. We work with the U. **S.** Army Corps of Engineers, Regional Water Quality Control Board and California Department of Fish and Game to determine and verify jurisdictional boundaries.

#### **Habitat Restoration Design and Monitoring**

**H.** T. Harvey & Associates specializes in design of riparian and wetland habitat restoration. Our conceptual designs are frequently incorporated in Mitigation and Monitoring Plans in support of regulatory permit applications.

#### **Permit Applications and Processing**

We prepare and process regulatory permit applications for the U. S. Army Corps of Engineers, Regional Water Quality Control Board, California Department of Fish and Game and California Coastal Commission. As part of permit processing, we often consult with the U. S. Fish and Wildlife Service and National Marine Fisheries Service.

#### **Construction Monitoring and Permit Compliance**

H. T. Harvey & Associates provides a full range of ecological expertise for on-site construction monitoring. We conduct preconstruction surveys for special-status species, provide reports for site clearance, devise reporting systems to document permit compliance and provide monitoring during and after construction to insure permit compliance. In addition, we work with project design teams, contractors, and resource agencies to avoid impacts to biological resources that allow construction to occur on schedule.



**Professional Fees** 

Fees Effective January 1, 2001

Personnel Classification	Hourly Billing Rate
Principal	\$ 145-150
Senior Associate Ecologist	\$ 125
Associate Ecologist	\$115
Senior Ecologist 3	\$105
Senior Ecologist 2	\$100
Senior Ecologist 1	\$95
Ecologist 3	\$ 90
Ecologist 2	\$85
Ecologist 1	\$80
Field Biologist 3	\$70
Field Biologist 2	\$65
Field Biologist 1	\$55
Graphics	\$75
Administrative Support	\$60
Clerical Support	\$45
Deposition and Testimony	Two times standard rate
Subcontractural Consultants	cost plus 10%
Direct Expenses	cost plus 10%
Transportation	34.5¢ / mile
Travel per diem (at cost)	<b>-</b> \$125/day
Computer Services	Variable
Field Equipment Operation	Variable

## Fee Schedule by Personnel

2001 Billina Rates

_	Fac Calace
	Fee Sched
	2001
In-House Staff	Billable Rate
Principal	
Ron Duke	150
Statt: Terrill	145
Dan Stephens	145
Pat Boursier	145
Senior Associate Ecologist	125
Associate Ecologist	
David Ainley	115
Eric Webb	115
Pat Reynolds	115
enior Ecologist 3	
Kent Smith	105
Senior Ecologist 2	
David Plumpton	100
Julie Klingmann	100
Gail Seeds	100
	100
Peter Klimley	I I
Mary Bacca	100
Senior Ecologist 1	
Brian Boroski	95
Max Busnardo	95
Brian Cleary	95
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Ecologist 3	
Larry Spear	90
Dave Johnston	90
Craig Benson	90
John Bourgeois	90
Tom Ryan	90
Jeff Seay	90
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Ecologist 2	
Jeff Wikinson	85
Gordon Michaud	85
Randy Sisk	85
Andrew Dilworth	85
Ecologist ■	
Scott Yaeger	80
Robin Dakin	80
Regine Castelli	80
Naomi Nichol	80
Ginger Boien	80
	I I
Ernst Strenge	80
Field Biologist 3	
Joanna Cezniak	70
Amanda Carr	70
David Thomson	70
Kurt Flaig	70
<u> </u>	
Field Biologist 2	65
Field Biologist ■	55
Graphics	
Noli Farwell	75
DavidVoelker	75
Mark Lagarde	75
support	
Jane Hendricks	60
Liesl Bross	60
Kathi Kendrick	60
Cynthia Pollard-Bell	60
Sherry Briscoe	60
Becky Teer	60
Part-time Clerical Staff	
Vu Nguyen	45
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Outside Staff	Billable Rate
Senior Associate Ecologist Howard Shellhammer	125
Assoclate Ecologist	115
Senior Ecologist 3	<b>.</b> 105
Senior Ecologist 2	100
Senior Ecologist 1	95
Ecologist 3 Colleen Lenihan	90
Ecologist 2	85
Ecologist 1 Chris Wilcox	80
Field Biologist 3	70
Field Biologist <b>2</b>	65
Field Biologist <b>1</b>	55

03-9399

STATE
COMPENSATION
INSURANCE
FUND

[\_

P.O. BOX 420807, SAN FRANCISCO, CA 94142-0807

## CERTIFICATE OF WORKERS' COMPENSATIONINSURANCE

DECEMBER 4, 2001

POLICY NUMBER: 1658015 - 01

CERTIFICATE EXPIRES: 9-1-02

COUNTY OF SANTA CRUZ FITH THOMAS BOLICH TO1 OCEAN ST RM 410 SANTA CRUZ CA 95060

This is to certify that we have issued a valid Workers' Compensation insurance policy in a form approved by the California insurance Commissioner to the employer named below for the policy period indicated.

This policy is not subject to cancellation by the Fund except upon ten days' advance written notice to the employer.

We will also give you. EN days' advance notice should this policy be cancelled prior to its normal expiration.

Tris certificate of insurance is not an insurance policy end does not amend, extend or alter the coverage afforded by the policies listed herein. Notwithstanding any requirement, term, or condition of any contract or other document with respect to which this certificate of insurance may be issued or may pertain, the insurance afforded by the policies described herein is subject to ell the terms, exclusions and conditions of such policies.

AUTHORIZED REPRESENTATIVE

PRESIDENT

 $\mathbb{C}^{r^2}$ 

EMPLOYER'S LIABILITY LIMIT INCLUDING DEFENSE COSTS: \$1,000,000 PER OCCURRENCE.

ENDORSEMENT #2045 ENTITLED CERTIFICATE HOLDERS' NOTICE EFFECTIVE 09/01/01 IS ATTACHED TO AND FORMS A PART OF THIS POLICY.

**EMPLOYER** 

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TRIPLE H S INC DBA H T HARVEY & ASSOCIATES 3150 ALMADEN EXPWY 1145 SAN JOSE CA 95118

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Sent	by: INTEGRA INSURANCE	SERVICES 4003543	454;	12/03/01	9:31;	; Page 2/2				
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	one: 408-354-3030 Fax	1408-354-3454		TIOCHERS!						
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	X T Harvey & Ass fax 448-9454 Tri le H S, Tnc. 3158 Almaden Exp San Jose CA 9541	sociates DBA	INSURER B: I	Royal Surpl	us Lines Insura	nce				
	fax 448-9454	Cynthia Ball	INSURER C:							
	3158 Almadén Ext	bressway, #145	INSURER D:	INSURER D:						
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A	X COMMERCIAL GENERAL LIABILITY	432404250	09/01/01	09/01/02		\$ 250000				
	CLAIMS MADE OCCUR				MED EXP (Any one person)	\$10000				
					PERSONAL & ADV INJURY	\$1000000				
		•			GENERAL AGGREGATE	\$ 2000000				
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4	Pepartment of F	ublic Works	NOTICE TO THE	CERTIFICATE HOLD	ER NAMED <b>TO</b> THE LEFT.					
/ tin. Connie Silva /01 Ocean Street Room #410 Santa Cruz CA 95060			IMPOSE NO OB	LICATION OR LIABILT	TY OF ANY KIND UPON THE IN	SUREN, TI'S AGENTS OR				
				MPORE NO OBLIGATION OR HABILITY OF ANY KIND UPON THE INSURER, IT'S AGENTS OR REPRESENTANCES.						
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**COUNTY OF SANTA CRUZ** REQUEST FOR APPROVAL OF AGREEMENT (Department) FROM: **Board of Supervisors** TO: County Administrative Office (Signature) Auditor Controller BY: Signature certifies that appropriations/revenues are available Expenditure Agreement Revenue Agreement AGREEMENT TYPE (Check One) The Board of Supervisors is hereby requested to approve the attached agreement and authorize the execution of same. 1. Said agreement is between the KITTLESON ENVIRONMENTAL CONSULTING (Department/Agency) (Name/Address) and 3784 Malibu Drive, Santa Cruz, CA 95062 2. The agreement will provide <u>fish and wildlife biological services for various Public</u> Works projects. June 3, 2002 3. Pariod of the agreement is from <u>Board Approval</u> to \_ 4. Anticipated Cost is \$ 50.000 Remarks: Contract \$50,000: 7% Overhead \$3,500: Total \$53,500 \_ Contract No: \_\_\_\_\_ OR 1st Time Agreement 5. Detail: On Continuing Agreements List for FY \_\_\_\_\_. Page CC-\_\_ No Board letter required, will be listed under Item 8 Section II Board letter required Section III Section IV Revenue Agreement 6. Appropriations/Revenues are available and are budgeted in 621100 140065 13665! (Index) 3590 (Sub object) NOTE: IF APPROPRIATIONS ARE INSUFFICIENT, ATTACHED COMPLETED AUD-74 OR AUD-60 are have been encumbered. Appropriations available and are not will be Auditor-26 oller Deputy Proposal and accounting detail reviewed and approved. It is recommended that the Board of Supervisors approve the agreement and authorize Director of Public Works (Dept/Agency Head) to execute on behalf of the Department of Public Works (Department/Agency) Date County Administrative Office CS:bbs Distribution: State of California Board of Supervisors - White Auditor Controller - Canary k of the Board of Supervisors of the County of Santa Cruz, Auditor-Controller = Pink State of California, do hereby certify that the foregoing request for approval of agreement was apstate of California, do hereby certify that the foregoing request for approved by said Board of Supervisors as recommended by the County Administrative Office by an 20 Department - Gold ADM - 29(8/01)Bv: Deputy Clerk Title L Section 300 Proc Man AUDITOR-CONTROLLER USE ONLY H/TL Keyed By JE Amount Lines Date Sub object **Auditor Description Amount** Index User Code

### INDEPENDENT CONTRACTOR AGREEMENT

THIS CONTRACT is entered into this <sup>15th</sup>day of <sup>January</sup>2001, by and between the COUNTY OF SANTA CRUZ, hereinafter called COUNTY, and KITTLESON ENVIRONMENTAL CONSULTING, hereinafter called CONTRACTOR. The parties agree as follows:

- 1. <u>DUTIES</u>. CONTRACTOR agrees to exercise special skill to accomplish the following result: TO PROVIDE GENERAL ENVIRONMENTAL CONSULTING AND MONITORING SERVICES FOR PUBLIC WORKS PROJECTS, AS DESCRIBED IN SCOPE OF WORK.
- 2. <u>COMPENSATION</u>. In consideration for CONTRACTOR accomplishing said result, COUNTY agrees to pay CONTRACTOR as follows: NOT TO EXCEED \$50,000, IN A MANNER DESCRIBED IN SCOPE OF WORK.
- 3. <u>TERM.</u> The term of this contract shall be: FROM BOARD APPROVAL UNTIL JUNE 30,2002.
- **4.** <u>EARLY TERMINATION</u>. Either party hereto may terminate this contract at any time by giving 30 days written notice to the other party.
- 5. <u>INDEMNIFICATIONFOR DAMAGES, TAXES AND CONTRIBUTIONS</u>, CONTRACTOR shall exonerate, indemnify, defend, and hold harmless COUNTY (which for the purpose of paragraphs 5 and 6 shall include, without limitation, its officers, agents, employees and volunteers) from and against:
- A. Any and all claims, demands, losses, damages, defense costs, or liability of any kind or nature which COUNTY may sustain or incur or which may be imposed upon it for injury to or death of persons, or damage to property as a result of, arising out of, or in any manner connected with the CONTRACTOR'S performance under the terms of this Agreement, excepting any liability arising out of the sole negligence of the COUNTY. Such indemnification includes any damage to the person(s), or property(ies) of CONTRACTOR and third persons.
- B. Any and all Federal, State and Local taxes, charges, fees, or contributions required to be paid with respect to CONTRACTOR and CONTRACTOR'S officers, employees and agents engaged in the performance of this Agreement (including, without limitation, unemployment insurance, social security and payroll tax withholding).
- 6. <u>INSURANCE</u>. CONTRACTOR, at its sole cost and expense, for the full term of this Agreement (and any extensions thereof), shall obtain and maintain at a minimum compliance with all of the following insurance coverage(s) and requirements. Such insurance coverage shall be primary coverage as respects COUNTY and any insurance or self-insurance maintained by County shall be excess of CONTRACTOR'S insurance coverage and shall not contribute to it

If CONTRACTOR utilizes one or more subcontractors in the performance of this Agreement, CONTRACTOR shall obtain and maintain Independent Contractor's Insurance as

.to each subcontractor or ot wise provide evidence of insurance co age for each	03
'subcontractor equivalent to that required of CONTRACTOR in this Agreement, unless	
CONTRACTOR and COUNTY both initial here/	

### A. Types of Insurance and Minimum Limits

- (1) Worker's Compensation in the minimum statutorily required coverage amounts. This insurance coverage shall **not be required** if the CONTRACTOR has no employees and certifies to this fact by initialing here
- (2) Automobile Liability Insurance for each of CONTRACTOR's vehicles used in the performance of this Agreement, including owned, non-owned (e.g. owned by CONTRACTOR's employees), leased or hired vehicles, in the minimum amount of \$500,000 combined single limit per occurrence for bodily injury and property damage. This insurance coverage shall not be required if vehicle use by CONTRACTOR is not a material part of performance of this Agreement and CONTRACTOR and COUNTY both certify to this fact by initialing here \_\_\_\_\_/\_\_\_.
- (3) Comprehensive or Commercial General Liability Insurance coverage in the minimum amount of \$1,000,000 combined single limit, including coverage for: (a) bodily injury, (b) personal injury, (c) broad-form property damage, (d) contractual liability, and (e) cross-liability.
- (4) Professional Liability Insurance in the minimum amount of \$1,000,000.00 combined single limit, if, and only if, this Subparagraph is initialed by CONTRACTOR and COUNTY \_\_\_\_\_\_\_.

#### B. Other Insurance Provisions

- (1) If any insurance coverage required in this Agreement is provided on a "Claims Made" rather than "Occurrence" form, CONTRACTOR agrees to maintain the required coverage for a period of three (3) years after the expiration of this Agreement (hereinafter "post agreement coverage") and any extensions thereof. CONTRACTOR may maintain the required post agreement coverage by renewal or purchase of prior acts or tail coverage. This provision is contingent upon post agreement coverage being both available and reasonably affordable in relation to the coverage provided during the term of this Agreement. For purposes of interpreting this requirement, a cost not exceeding 100% of the last annual policy premium during the term of this Agreement in order to purchase prior acts or tail coverage for post agreement coverage shall be deemed to be reasonable.
- (2) All required Automobile and Comprehensive or Commercial General Liability Insurance shall be endorsed to contain the following clause:

"The County of Santa Cruz, its officials, employees, agents and volunteers are added as an additional insured **as** respects the operations and activities of, or on behalf of, the named insured performed under Agreement with the County of Santa Cruz."

(3) All required insurance policies shall be endorsed to contain the

following clause:

"Th isurance shall not be canceled until afthirty (30) days prior written notice has been given to:

CONNIE SILVA
DEPARTMENT **OF** PUBLIC WORKS
701 OCEAN STREET, ROOM 410
SANTA CRUZ, CA 95060"

(4) CONTRACTOR agrees to provide its insurance broker(s) with a full copy of these insurance provisions and provide COUNTY on or before the effective date of this Agreement with Certificates of Insurance for all required coverages. All Certificates of Insurance shall be delivered or sent to:

CONNIE SILVA DEPARTMENT OF PUBLIC WORKS 701 OCEAN STREET, ROOM 410 SANTA CRUZ, CA 95060

- 7. <u>EOUAL EMPLOYMENT OPPORTUNITY</u>. During and in relation to the performance of this Agreement, CONTRACTOR agrees **as** follows:
- A. The CONTRACTOR shall not discriminate against any employee or applicant for employment because of race, color, religion, national origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, pregnancy, sex, sexual orientation, age (over 18), veteran status or any other non-merit factor unrelated to job duties. Such action shall include, but not be limited to the following: recruitment; advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training (including apprenticeship), employment, upgrading, demotion, transfer. The CONTRACTOR agrees to post in conspicuous places, available to employees and applicants for employment, notice setting forth the provisions of this non-discrimination clause.
- B. If this Agreement provides compensation in excess of \$50,000 to CONTRACTOR and if CONTRACTOR employs fifteen (15) or more employees, the following requirements shall apply:
- (1) The CONTRACTOR shall, in all solicitations or advertisements for employees placed by or on behalf of the CONTRACTOR, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, national origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, pregnancy, sex, sexual orientation, age (over 18), veteran status, or any other non-merit factor unrelated to job duties. In addition, the CONTRACTOR shall make a good faith effort to consider Minority/Women/Disabled Owned Business Enterprises in CONTRACTOR's solicitation of goods and services. Definitions for Minority/Women/Disabled Business Enterprises are available from the COUNTY General Services Purchasing Division.
- (2) The CONTRACTOR shall furnish *COUNTY* Equal Employment OpportunityOffice information and reports in the prescribed reporting format (PER 4012) identifying the sex, race, physical or mental disability and job classification of its employees and the names, dates and methods of advertisement and direct solicitation efforts made to subcontract with Minority/Women/Disabled Business Enterprises.

(3) In the event of the CONTRACTOR'S n-compliance with the non-discrimination clauses or this Agreement or with any of the saia des, regulations, or orders said CONTRACTOR may be declared ineligible for further agreements with the COUNTY.

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- (4) The CONTRACTOR shall cause the foregoing provisions of this Subparagraph 7B. to be inserted in all subcontracts for any work covered under this Agreement by a subcontractor compensated more than \$50,000 and employing more than fifteen (15) employees, provided that the foregoing provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.
- 8. <u>INDEPENDENT CONTRACTOR STATUS</u>. CONTRACTOR and COUNTY have reviewed and considered the principal test and secondary factors below and agree that CONTRACTOR is an independent contractor and not an employee of COUNTY. CONTRACTOR is responsible for all insurance (workers compensation, unemployment, etc.) and all payroll related taxes. CONTRACTOR is not entitled to any employee benefits. COUNTY agrees that CONTRACTOR shall have the right to control the manner and means of accomplishing the result contracted for herein.

<u>PRINCIPAL TEST</u>: The CONTRACTOR rather than COUNTY has the right to control the manner and means of accomplishing the result contracted for.

SECONDARY FACTORS: (a) The extent of control which, by agreement, COUNTY may exercise over the details of the work is slight rather than substantial; (b) CONTRACTOR is engaged in a distinct occupation or business; (c) In the locality, the work to be done by CONTRACTOR is usually done by a specialist without supervision, rather than under the direction of an employer; (d) the skill required in the particular occupation is substantial rather than slight; (e) The CONTRACTOR rather than the COUNTY supplies the instrumentalities, tools and work place; (f) The length of time for which CONTRACTOR is engaged is of limited duration rather than indefinite; (g) The method of payment of CONTRACTOR is by the job rather than by the time; (h) The work is part of a special or permissive activity, program, or project, rather than part of the regular business of COUNTY; (i) CONTRACTOR and COUNTY believe they are creating an independent contractor relationship rather than an employer-employee relationship; and (j) The COUNTY conducts public business.

It is recognized that it is not necessary that all secondary factors support creation of an independent contractor relationship, but rather that overall there are significant secondary factors which indicate that CONTRACTOR is an independent contractor.

By their signatures to this Agreement, each of the undersigned certifies that it is his or her considered judgment that the CONTRACTOR engaged under this Agreement is in fact an independent contractor.

- 9. <u>CONTRACTOR</u> represents that its operations are in compliance with applicable County planning, environmental and other laws or regulations.
- 10. <u>CONTRACTOR</u> is responsible to pay prevailing wages and maintain records as required by Labor Code Section 1770 and following.



- 11. NONASSIC" MENT. CONTRACTOR shall not assing this agreement without the prior written consent of the COUNTY.
- 12. <u>RETENTION AND AUDIT OF RECORDS</u>. CONTRACTOR shall retain records pertinent to this Agreement for a period of not less than five (5) years after final payment under this Agreement or until a final audit report is accepted by COUNTY, whichever occurs first. CONTRACTOR hereby agrees to be subject to the examination and audit by the Santa Cruz County Auditor-Controller, the Auditor General of the State of California, or the designee of either for a period of five (5) years after final payment under this Agreement.
- 13. <u>PRESENTATION OF CLAIMS</u>. Presentation and processing of any or all claims arising out of or related to this Agreement shall be made in accordance with the provisions contained in Chapter 1.05 of the Santa Cruz County Code, which by this reference is incorporated herein.
- 14. <u>ACKNOWLEDGMENT</u>. CONTRACTOR shall acknowledge in all reports and literature that the Santa Cruz County Board of Supervisors has provided funding to the CONTRACTOR.
- 15. <u>ATTACHMENTS</u>. This Agreement includes the following attachments: SCOPE OF WORK.

IN WITNESS WHEREOF, the parties hereto have set their hands the day and year first above written.

**COUNTY OF SANTA CRUZ** 

Director of Public Works

CONTRACTOR

KITTLESON ENVIRONMENTAL

CONSULTING

Address: 3284 MALIBU DRIVE

SANTA CRUZ. CA 95062

Telephone: <u>(831)</u> <u>251-0215</u> FAX: (831) <u>479-0138</u>

E-MAIL garvkit&acbell.net

APPROVED AS **T**O FORM:

By: War 12-3-01

Chief Assistant County Counsel

CS:abc

DISTRIBUTION:

Auditor-Controller

Contractor Public Works

KITA.wpd

**42** 

### KITTLESON ENVIRONME. . AL CONSULTING

THOMAS L. BOLICH DIRECTOR OF PUBLIC WORKS County of Santa Cruz Public Works Department 701 Ocean Street, Room 410 Santa Cruz, CA 95060

November 28,2001

Subject: Scope of Work to Perform Fish and Wildlife Biological Services for the Santa Cruz County Department of Public Works

Dear Mr. Bolich,

**Kittleson Environmental Consulting (KEC)** appreciates the opportunity to a scope of work, and a fee schedule to perform as-needed biological monitoring duties, regulatory permit expediting, and project design consultation for the County of Santa Cruz Department of Public Works. Since some improvement projects may affect threatened and endangered species, the Department of Public Works is required to provide biological pre-construction surveys and monitoring to conform to state law and the federal Endangered Species Act.

For purposes of providing full-service biological consulting to the County, Kittleson Environmental Consulting is teaming with a consortium of qualified consultants with local expertise and experience throughout Santa Cruz County. Together, this pool of experienced local professionals will provide a full range of biological and permitting expertise with extensive experience working with Santa Cruz County, California Department of Fish and Game (CDFG), Regional Water Quality Control Board (RWQCB3), California Coastal Commission (CACC), National Marine Fisheries Service (NMFS), U. S. Army Corps of Engineers (Corps), and the U. S. Fish and Wildlife Service Ventura Field Station (USFWS).

Mr. Gary Kittleson will manage all projects and provide a single point of contact for the County. **D. W. ALLEY & Associates, Biosearch Wildlife Surveys** and **Bryan Mori Biological Consulting** will perform fish and wildlife studies and services as needed. **Native Vegetation Network** will perform botanical studies, plans and restoration work. Gary Kittleson will conduct the majority of day-to-day construction monitoring and project assessments, with support by team specialists and the group's experienced fish and wildlife biologists. The team is all local and available, on-call, throughout the year. As such, our services are practical, affordable and reliable.

Our team of professionals is committed to providing quality biological consulting services aimed at assisting clients in efficiently addressing the concerns of regulatory agencies. By collecting appropriate field data and conducting objective analyses utilizing state of the art methods and agency-approved protocols, we can meet regulatory requirements while maintaining a high level of biological integrity. It **is** our philosophy that anticipation of agency concerns, unbiased data collection and presentation, and thorough analysis enable sound engineering designs that minimize construction complications and avoid costly permitting delays.

D.W. ALLEY & Associates
Bryan Mori Environmental Consulting

Biosearch Wildlife Surveys Native Vegetation Network



## KITTLESON ENVIRONME. TAL CONSULTING

#### **RATE SCHEDULE**

<u>Kittleson Environm</u>	nental Consulting 1	Hourly Rate
Gary Kittleson	Project Management	\$85.00
•	Construction Monitoring	\$60.00
DW Alley and Asso	ociates	
Don Alley	Fisheries Biologist	\$85.00
Walter Heady	Wildlife Biologist/Field Technician	n \$50.00
Biosearch Wildlife	Surveys	
Mark Allaback	Wildlife Biologist	\$55.00
David Laabs	Wildlife Biologist	\$55.00
Field Technician and	d/or Monitor	\$50.00
Bryan Mori Enviro	onmental Consulting Services	
Bryan Mori		\$55.00
Native Vegetation I	Network	
Valerie Haley		\$55.00
Field Assistants		\$40.00
Graphic Designer		\$40.00

#### REIMBURSABLEEXPENSES

Mileage charged at \$0.34/mi.
Digital camera and media at \$25.00/mo
Electrofisher at \$100/day for fish relocation.

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				GENERAL AGGREGATE	\$ 1.000.000				
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701 OCEAN ST., F SANTA CRUZ CA 9		REPRESENTATI AUTHORIZED REI							
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PAGE MAIL MCH-M-I

## **USAA CASUALTY INSURANCE COMPANY** (A Stock Insurance Company)

9800 Fredericksburg Road - San Antonio, Texas 78288

RENEWAL OF State 02,03

CA 380380

POLICY NUMBER 00649 52 45C 710;

CALIFORNIA AUTO POLICY RENEWAL DECLARATIONS

(12:01 A.M. standard time) POLICY PERIOD: EFFECTIVE SEP 25 2001 TO MAR 25 2002

(ATTACH TO PREVIOUS POLICY)

**OPERATORS** 

**01** KRISTEN L SCHROEDER 04 GARY A KITTLESON

Named Insured and Address

KRISTEN L SCHROEDER 3284 MALIBU DR SANTA CRUZ CA 95062-2031

Description of Vehicle(s)						VEH USE	, R	WORK/	
VEH	YELR	TRADE NAME	MODEL	BODY TYPE	ANHUAL MILEAGE	IDENTIFICATION NUMBER	SYM		Miles One Way
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The Vahicle(s) described herein is principally garaged at the above address unless otherwise stated. \* w/Cawork/School; Barbusiness; Fafarm; Pa

VEH 02 SANTA CRUZ **CA** 95062-2031 VEH - DT SANTA CPITZ CA 05062-2031

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VEH 03 - MEDICAL PAYMENTS, EXTENDED BENEFITS COVERAGE, RENTAL REIMBURSEMENT, TOWING AND LABOR

|ENCORSEMENTS: ADDED 09-25-01 - NONE

REMAIN IN EFFECT (REFER TO PREVIOUS POLICY) - A137(01) 5100CA(01) INFORMATION FORMS (NOT PART OF POLICY) - AAWER 200CA (11) 260(01)

13580(02 663CACLC(08)

5647(07) REDOM301

In WITNESS WHEREOF, we have caused this policy to be signed by our President and Secretary at San Antonio, Texas, on this date

30,7<sup>2001</sup>