DEPARTMENT OF PUBLIC WORKS

GOVERNMENTAL CENTER

JOHN A. FANTHAM DIRECTOR OF PUBLIC WORKS



COUNTY OF SANTA CRUZ

701 OCEAN STREET, SANTA CRUZ, CALIFORNIA 950604070 (831) 4542160

FAX (831) 454-2385

August 17, 1998

SANTA CRUZ COUNTY BOARD OF SUPERVISORS 701 Ocean Street
Santa Cruz, California 95060

SUBJECT: WASTE REDUCTION GRANT PROGRAM PROPOSAL

Members of the Board:

On August 11, 1998, your Board approved contracts for two Waste Reduction Grant Program projects, which had received qualifying scores by the grant evaluation team on May 13, 1998. A third project, which also received a qualifying score at the May evaluation team meeting, was not ready for your review at the August 11 meeting. Public Works has completed negotiation of a contract with Aptos High School for this third project, the establishment of a comprehensive recycling program at Aptos High School. The program will target both classroom and administrative offices and is expected to divert a significant amount of material away from landfill disposal. An end-of-the year report will document recycling and disposal quantities along with anticipated reductions in disposal costs. The contract, which will provide startup support, is attached for your Board's approval.

The not-to-exceed cost for the proposed project is \$3,000. Sufficient funds are available in the CSA 9C Solid Waste Budget for this purpose.

It is therefore recommended that the Board of Supervisors take the following action:

1. Approve a Waste Reduction Grant Program project Independent Contractor Agreement with Aptos High School for a comprehensive school recycling program for a not-to-exceed amount of \$3,000.

2. Authorize the Director of Public Works to sign the contract on behalf of the County of Santa Cruz.

Yours truly,

JOHN A. FANTHAM

Director of Public Works

JS:mg

Attachments

RECOMMENDED FOR APPROVAL:

County Administrative Officer

copy to: Public Works

INDEPENDENT CONTRACTOR AGREEMENT

	THIS CONTRACT	is entered i	nto this	day	of				 •
19	, by and between the	COUNTY (OF SAN	NTA CRUZ, h	ereinaft	er calle	d COUI	NTY,	and
APT(OS HIGH SCHOOL,	hereinafter o	called C	CONTRACTO	R. The	parties	agree as	s follo	ws:

- 1. **<u>DONIER</u>**ACTOR agrees to exercise special skill to accomplish the following result: Conduct Saving Our Resources Project, as described in Exhibit A, Scope of Work.
- 2. <u>COMPENSATION.</u> In consideration for CONTRACTOR accomplishing said result, COUNTY agrees to pay CONTRACTOR as follows: Not to exceed \$3,000.00, in a manner described in Exhibit A, Scope of Work.
 - 3. TERM. The term of this contract shall be: Date of Execution until completion.
- 4. <u>EARLY TERMINATION</u>. Either party hereto may terminate this contract at any time by giving 30 days written notice to the other party.
- 5. <u>INDEMNIFICATION FOR DAMAGES, TAXES AND CONTRIBUTIONS.</u> CONTRACTOR shall exonerate, indemnify, defend, and hold harmless COUNTY (which for the purpose of paragraphs 5 and 6 shall include, without limitation, its officers, agents, employees and volunteers) from and against:
- A. Any and all claims, demands, losses, damages, defense costs, or liability of any kind or nature which COUNTY may sustain or incur or which may be imposed upon it for injury to or death of persons, or damage to property as a result of, arising out of, or in any manner connected with the CONTRACTOR'S performance under the terms of this Agreement, excepting any liability arising out of the sole negligence of the COUNTY. Such indemnification includes any damage to the person(s), or **property(ies)** of CONTRACTOR and third persons.
- B. Any and all Federal, State and Local taxes, charges, fees, or contributions required to be paid with respect to CONTRACTOR and CONTRACTOR'S officers, employees and agents engaged in the performance of this Agreement (including, without limitation, unemployment insurance, social security and payroll tax withholding).
- 6. <u>INSURANCE</u>. CONTRACTOR, at its sole cost and expense, for the full term of this Agreement (and any extensions thereof), shall obtain and maintain at a minimum compliance with all of the following insurance coverage(s) and requirements. Such insurance coverage shall be primary coverage as respects COUNTY and any insurance or self-insurance

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maintained by County shall be excess of CONTRACTOR'S insurance coverage and shall not contribute to it.

If CONTRACTOR utilizes one or more subcontractors in the performance of this
Agreement, CONTRACTOR shall obtain and maintain Independent Contractor's Insurance a
to each subcontractor or otherwise provide evidence of insurance coverage for each
subcontractor equivalent to that required of CONTRACTOR in this Agreement, unless
CONTRACTOR and COUNTY both initial here/

A. Types of Insurance and Minimum Limits

- (1) Worker's Compensation in the minimum statutorily required coverage amounts. This insurance coverage shall not be required if the CONTRACTOR has no employees and certifies to this fact by initialing here _____
- (2) Automobile Liability Insurance for each of CONTRACTOR's vehicles used in the performance of this Agreement, including owned, non-owned (e.g. owned by CONTRACTOR's employees), leased or hired vehicles, in the minimum amount of \$500,000 combined single limit per occurrence for bodily injury and property damage. This insurance coverage shall not be required if vehicle use by CONTRACTOR is not a material part of performance of this Agreement and CONTRACTOR and COUNTY both certify to this fact by initialing here ______/___.
- (3) Comprehensive or Commercial General Liability Insurance coverage in the minimum amount of \$1 ,000,000 combined single limit, including coverage for: (a) bodily injury, (b) personal injury, (c) broad-form property damage, (d) contractual liability, and (e) cross-liability.
- (4) Professional Liability Insurance in the minimum amount of \$1,000,000.00 combined single limit, if, and only if, this Subparagraph is initialed by CONTRACTOR and COUNTY 1/2 -

B. Other Insurance Provisions

(1) If any insurance coverage required in this Agreement is provided on a "Claims Made" rather than "Occurrence" form, CONTRACTOR agrees to maintain the required coverage for a period of three (3) years after the expiration of this Agreement (hereinafter "post agreement coverage") and any extensions thereof. CONTRACTOR may maintain the required post agreement coverage by renewal or purchase of prior acts or tail coverage. This provision is contingent upon post agreement coverage being both available and reasonably affordable in relation to the coverage provided during the term of this Agreement. For purposes of interpreting this requirement, a cost not exceeding 100% of the last annual policy premium during the term of this Agreement in order to purchase prior acts or tail coverage for post agreement coverage shall be deemed to be reasonable.

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(2) All required Automobile and Comprehensive or Commercial General Liability Insurance shall be endorsed to contain the following clause:

"The County of Santa Cruz, its officials, employees, agents and volunteers are added as an additional insured as respects the operations and activities of, or on behalf of, the named insured performed under Agreement with the County of Santa Cruz."

(3) All required insurance policies shall be endorsed to contain the following clause:

"This insurance shall not be canceled until after thirty (30) days prior written notice has been given to: DAN DE GRASS1

PUBLIC WORKS DEPARTMENT 701 OCEAN STREET, RM. 410 SANTA CRUZ, CA 95060

(4) CONTRACTOR agrees to provide its insurance broker(s) with a full copy of these insurance provisions and provide COUNTY on or before the effective date of this Agreement with Certificates of Insurance for all required coverages. All Certificates of Insurance shall be delivered or sent to:

DAN DE GRASS1

PLIPLIC WORKS DEPARTMENT

PUBLIC WORKS DEPARTMENT 70 1 OCEAN STREET, ROOM 4 10 SANTA CRUZ, CA 95060

- 7. <u>EQUAL EMPLOYMENT OPPORTUNITY.</u> During and in relation to the performance of this Agreement, CONTRACTOR agrees as follows:
- A. The CONTRACTOR shall not discriminate against any employee or applicant for employment because of race, color, religion, national origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, sex, sexual orientation, age (over 40), veteran status or any other non-merit factor unrelated to job duties. Such action shall include, but not be limited to the following: recruitment; advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training (including apprenticeship), employment, upgrading, demotion, transfer. The CONTRACTOR agrees to post in conspicuous places, available to employees and applicants for employment, notice setting forth the provisions of this non-discrimination clause.
- B. If this Agreement provides compensation in excess of \$50,000 to CONTRACTOR and if CONTRACTOR employs fifteen (15) or more employees, the following requirements shall apply:
- (1) The CONTRACTOR shall, in all solicitations or advertisements for employees placed by or on behalf of the CONTRACTOR, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, national

origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, sex, sexual orientation, age (over 40), veteran status, or any other non-merit factor unrelated to job duties. In addition, the CONTRACTOR shall make a good faith effort to consider Minority/Women/Disabled Owned Business Enterprises in CONTRACTOR's solicitation of goods and services. Definitions for Minority/Women/Disabled Business Enterprises are available from the COUNTY General Services Purchasing Division.

- (2) The CONTRACTOR shall furnish COUNTY Affirmative Action Office information and reports in the prescribed reporting format (PER 4012) identifying the sex, race, physical or mental disability and job classification of its employees and the names, dates and methods of advertisement and direct solicitation efforts made to subcontract with Minority/Women/Disabled Business Enterprises.
- (3) In the event of the CONTRACTOR'S non-compliance with the non-discrimination clauses of this Agreement or with any of the said rules, regulations, or orders said CONTRACTOR may be declared ineligible for further agreements with the COUNTY.
- (4) The CONTRACTOR shall cause the foregoing provisions of this Subparagraph 7B. to be inserted in all subcontracts for any work covered under this Agreement by a subcontractor compensated more than \$50,000 and employing, more than fifteen (15) employees, provided that the foregoing provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.
- 8. INDEPENDENT CONTRACTOR STATUS. CONTRACTOR and COUNTY have reviewed and considered the principal test and secondary factors below and agree that CONTRACTOR is an independent contractor and not an employee of COUNTY. CONTRACTOR is responsible for all insurance (workers compensation, unemployment, etc.) and all payroll related taxes. CONTRACTOR is not entitled to any employee benefits. COUNTY agrees that CONTRACTOR shall have the right to control the manner and means of accomplishing the result contracted for herein.

<u>PRINCIPAL TEST</u>: The CONTRACTOR rather than COUNTY has the right to control the manner and means of accomplishing the result contracted for.

SECONDARY FACTORS: (a) The extent of control which, by agreement, COUNTY may exercise over the details of the work is slight rather than substantial; (b) CONTRACTOR is engaged in a distinct occupation or business; (c) In the locality, the work to be done by CONTRACTOR is usually done by a specialist without supervision, rather than under the direction of an employer; (d) the skill required in the particular occupation is substantial rather than slight; (e) The CONTRACTOR rather than the COUNTY supplies the instrumentalities, tools and work place; (f) The length of time for which CONTRACTOR is engaged is of limited duration rather than indefinite; (g) The method of payment of CONTRACTOR is by the job rather than by the time; (h) The work is part of a special or permissive activity, program, or project, rather than part of the regular business of COUNTY;

(i) CONTRACTOR and COUNTY believe they are creating an independent contractor relationship rather than an employer-employee relationship; and (j) The COUNTY conducts public business.

It is recognized that it is not necessary that all secondary factors support creation of an independent contractor relationship, but rather that overall there are significant secondary factors which indicate that CONTRACTOR is an independent contractor.

By their signatures to this Agreement, each of the undersigned certifies that it is his or her considered judgment that the CONTRACTOR engaged under this Agreement is in fact an independent contractor.

- 9. <u>CONTRACTOR</u> represents that its operations are in compliance with applicable County planning, environmental and other laws or regulations.
- 10. <u>CONTRACTOR</u> is responsible to pay prevailing wages and maintain records as required by Labor Code Section 1770 and following.
- 11. <u>NONASSIGNMENT.</u> CONTRACTOR shall not assign this agreement without the prior written consent of the COUNTY.
- 12. <u>RETENTION AND AUDIT OF RECORDS</u>. CONTRACTOR shall retain records pertinent to this Agreement for a period of not less than five (5) years after final payment under this Agreement or until a final audit report is accepted by COUNTY, whichever occurs first. CONTRACTOR hereby agrees to be subject to the examination and audit by the Santa Cruz County Auditor-Controller, the Auditor General of the State of California, or the designee of either for a period of five (5) years after final payment under this Agreement.
- 13. <u>PRESENTATION OF CLAIMS</u>. Presentation and processing of any or all claims arising out of or related to this Agreement shall be made in accordance with the provisions contained in Chapter 1.05 of the Santa Cruz County Code, which by this reference is incorporated herein.
- 14. <u>ATTACHMENTS.</u> This Agreement includes the following attachments (identify by name or write "NONE"): Exhibit A, Scope of Work

IN WITNESS WHEREOF, the parties hereto have set their hands the day and year first above written.

COUNTY OF SANTA CRUZ	CONTRACTOR APTOS HIGH SCHOOL
By: Director of Public Works	Address: 7301 Freedom Blvd. Aptos, CA 95003
APPROVED AS TO FORM:	Telephone: (408) 688-6565
By: Chief Assistant County Counsel	
DISTRIBUTION: Auditor-Controller	

Contractor Public Works

JS:bbs

AHSB

SCOPE OF WORK EXHIBIT A

Waste Reduction Grants Program Project: Saving Our Resources Contractor: Aptos High School

- 1. Contractor will establish a comprehensive recycling program at Aptos High School for the 1998-99 academic year, for use by students and staff, that includes the following elements:
 - A. Contractor will provide recycling containers for classrooms, offices, and other locations, as needed.
 - B. Contractor will arrange for pickup and recycling of paper, beverage containers, and cardboard collected at the school.
 - C. Contractor will conduct an educational program for students and staff on the proper use of the recycling program.
 - D. Upon completion of the 1998-99 academic year, Contractor will report to the County on the success of the project and prospects for its continuation. A final report will quantify materials recycled, any change in garbage generation and disposal, and any change in disposal costs during the project.
- 2. The County will reimburse Contractor within the maximum amount of this Agreement for the actual cost of materials and services utilized in performance of this Agreement, upon submission of itemized receipts. Eligible costs include recycling collection charges, educational materials, and other costs approved in advance by the County.

COUNTY OF SANTA CRUZ REQUEST FOR APPROVAL OF AGREEMENT

TO:	Board of Supervisors	FROM: PUBLIC WORKS	(5)
	County Administrative Officer County Counsel Auditor-Controller	(Signature) 8 10 9	(Dept.) (Date)
The	Board of Supervisors is hereby requ	uested to approve the attached agreement and guthorize the execution of the same.	
	APTOS HIGH SCHOO	COUNTY OF SANTA CRUZ LEVARD, APTOS, CA 95003 (Name	
2.	The agreement will provide <u>SC</u>	CHOOL RECYCLING PROGRAM	
3.	The agreement is needed BECAUSI	E THIS WORK CAN BE DONE MOST EXPEDITIOUSLY BY CONTRA	ACT
4.	Period of the agreement is from	DATE OF APPROVAL to JUNE 30, 1999	
5.	Anticipated cost is \$\frac{3,000}{}{}	(Fixed amount; Monthly rate; Not	to exceed)
6.	Remarks: CONTRACT \$3,000	0; 7% OVERHEAD \$210; TOTAL \$3,210	
		3665 1292-3599-P00136 (625110) (Index#) 3565 OPRIATIONS ARE INSUFFICIENT, ATTACH COMPLETED FORM AUD-74	(Subobject)
	propriations are not available and ho	ave been encumbered. Contract No. CO 81677 Date 8/11/9	78
	Subject to 98-99	Final Rudget By Trude Chou	Deputy.
Pro	pposol reviewed and proposode It is DIRECTOR OF PUBLIC WOR	recommended that the Board of Supervisors approve the agreement and authorize the RKS to execute the same on behalf of the DEPARTMENT OF PUBLICATION OF PUBL	IC WORKS
Rei	marks:	(Agency). County Administrative Officer By Date \$	13.48
Ag	reement approved as to form. Dote		
Dis	tribution: Bd. of Supv. • White Auditor-Controller • Blue County Counsel • Green • Co. Admin. Officer • Conary Auditor-Controller • Pink Originating Dept. • Goldenrod *To Orig. Dept. if rejected.	State of California) County of Santa Cruz)	pproved by uly entered