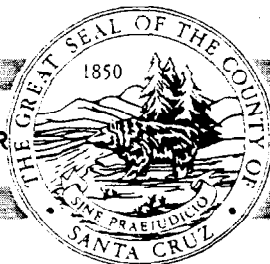


SHERIFF -

CORONER



COUNTY OF SANTA CRUZ

MARK TRACY  
SHERIFF-CORONER

701 OCEAN ST., RM. 340  
SANTA CRUZ, CALIFORNIA 95060

PHONE (408) 454-2985  
FAX (408) 454-2353

February 12, 1999

Agenda Date: February 23, 1999

Board of Supervisors  
County of Santa Cruz  
701 Ocean Street  
Santa Cruz, CA 95060

**MENTALLY ILL OFFENDER CRIME REDUCTION PLANNING GRANT AWARD  
AND APPROVAL OF CONTRACT FOR RESEARCH SERVICES**

Dear Members of the Board:

The Sheriffs Office is pleased to announce the recent award of \$3 1,500 to the Sheriffs Office by the Board of Corrections as a result of a Mentally Ill Offender Crime Reduction (**MIOCR**) Planning grant application (approved earlier by your Board). A copy of the award letter is attached. A copy of the Board of Corrections Standard Agreement for this grant is also on file with the Clerk of the Board.

The grant award will primarily be used for professional consultant services to work on researching data in order to develop a Local Plan dealing with the unique problems of mentally ill adult offenders incarcerated in Santa Cruz County. Your Board appointed a Strategy Committee to guide the development of the Local Plan. The Strategy Committee has and will continue to meet to discuss the various needs of the mentally ill offender and potential responses.

Almost all counties in California will be applying for a MIOCR Demonstration Grant and most have engaged research consultants in order to meet the short time line for applications. County Mental Health Services and the Sheriffs Office have contacted a nationally recognized research firm, BOTECH Analysis Corporation of Cambridge, Massachusetts, for assistance in development of this project.

BOTECH has agreed to provide its services to the Sheriffs Office for the price of \$28,000.00.

Sufficient funds for this consultant are available in the \$3 1,500 BOC award. BOTEC has worked on several criminal justice projects across the nation, including the Santa Cruz County Regional Street Drug Reduction Program in 1990 in conjunction with the Sheriffs Office. BOTEC will take the data supplied by the Sheriffs Office and County Mental Health and perform the necessary analysis and background work to develop a randomized experimental design for the upcoming Demonstration Grant.

The Sheriffs Office and County Mental Health have already had initial meetings with this company to expedite the work outlined in the attached professional services contract, Due to the extremely tight deadline and outstanding qualifications of this firm, the Sheriff requests that the Board approve the attached Professional Services Contract with BOTEC Corporation, for the term February 1, 1999, to June 30, 1999.

The Board of Corrections will be awarding \$24,650,000 for four-year Demonstration Grant projects that will provide a cost-effective continuum of graduated responses designed to reduce jail crowding, crime, and criminal justice costs as they relate to the mentally ill offender. This Demonstration Grant proposal and Local Plan is due to the Board Of Corrections by March 10, 1999. This grant **will** require a minimum 25% match. This match can be hard, in-kind contributions, or a combination thereof The Strategy Committee is presently in the process of identifying services and monies for the match requirement. We will be returning to your Board on or before March 9, 1999, with the Demonstration Grant proposal for your review and approval.

A potential model for the Demonstration Grant for Santa Cruz County would involve a team concept of a treating psychiatrist, Mental Health case worker, and Probation Officer to assure the mentally ill offender would have essential elements for stability once back in the community **after** incarceration. Such issues as safe, affordable housing; medication monitoring and compliance; screening for use of illegal drugs and alcohol, nutrition and health supports; money management support; meaningful daily activities; along with access to counseling and other case management services would be addressed. The Strategy Committee has looked at the State's successful Conditional Release Program (**ConRep**) as an example of coordinated mental health services and appropriate supervision for offenders.

It is therefore RECOMMENDED that your Board:

1. ADOPT the attached resolution accepting and appropriating \$3 1,500 in revenue **from** the State Board of Corrections for a Mentally Ill Offender Crime Reduction Planning Grant award; and
2. APPROVE the attached agreement with BOTEC Analysis Corporation for research services not to exceed \$28,000 and authorize the Sheriff-Coroner to execute the agreement.

3. Request the **Sheriff- Coroner** to return to the Board on or before March 9, 1999, with the **MIOCR Demonstration** Grant application.

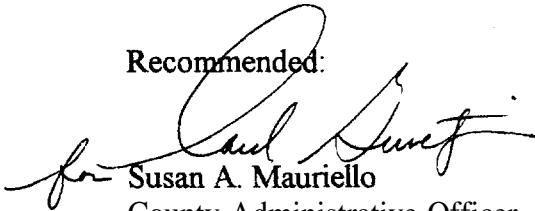
Sincerely,



**MARK S. TRACY**

Sheriff- Coroner

Recommended:



Susan A. Mauriello

County Administrative Officer

Attachments

cc: **Sheriff-Coroner**

Auditor-Controller

BOTEC Corporation

## 128

Marking (Signature) 2-12-99 (Date)

\_\_\_\_\_ 19\_\_\_\_ By \_\_\_\_\_ Deputy Clerk

BEFORE THE BOARD OF SUPERVISORS  
OF THE COUNTY OF SANTA CRUZ, STATE OF CALIFORNIA

129

RESOLUTION NO: \_\_\_\_\_

On the motion of Supervisor \_\_\_\_\_  
duly seconded by Supervisor \_\_\_\_\_  
the following resolution is adopted: \_\_\_\_\_

RESOLUTION ACCEPTING UNANTICIPATED REVENUE

WHEREAS, the County of Santa Cruz is a recipient of funds from State Board  
of Corrections for Mentally Ill Offender Crime Reduction Grant program; and

WHEREAS, the county is recipient of funds in the amount of \$ 31,500.00  
which are either in excess of those anticipated or are not specifically set  
forth in the current fiscal year budget of the County; and

WHEREAS, pursuant to Government Code Section 29130(c)/29064(b), such funds  
may be made available for specific appropriation by a four-fifths vote of  
the Board of Supervisors;

NOW, THEREFORE, BE IT RESOLVED AND ORDERED that the Santa Cruz County  
Auditor-Controller accept funds in the amount of ~~\$3~~\$500.00 into

Department Sheriff-Coroner, Detention Bureau

T/C	Index Number	Revenue Subobject Number	Account Name	Amount
001	662110	0884	State to Local Agencies	\$31,500.00

and that such funds be and are hereby appropriated as follows:

T/C	Index Number	Expenditure Subobject Number	PRJ/UCD	Account Name	Amount
021	662110	4168		Travel	\$500.00
021	662110	3550		County Overhead	\$2,205.00
021	662110	3665		Professional Services	\$28,795.00

DEPARTMENT HEAD I hereby certify that the fiscal provisions have been  
researched and that the Revenue(s) (has been) (will be) received within the  
current fiscal year.

By W. M. [Signature]  
Department Head

Date 2-12-99

COUNTY ADMINISTRATIVE OFFICER

☒ Recommended to Board☐ Not Recommended to Board

PASSED AND ADOPTED by the Board of Supervisors of the County of Santa Cruz,  
State of California, this \_\_\_\_\_ day of \_\_\_\_\_  
by the following vote (requires four-fifths ~~vote for approval~~): 19 \_\_\_\_\_

AYES: SUPERVISORS

NOES: SUPERVISORS

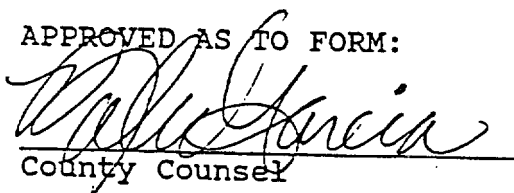
ABSENT: SUPERVISORS

\_\_\_\_\_  
chairperson of the Board

ATTEST:

\_\_\_\_\_  
Clerk of the Board

APPROVED AS TO FORM:

  
County Counsel

APPROVED AS TO ACCOUNTING DETAIL:

 2/16/99  
Auditor-Controller

Distribution:

Auditor-Controller  
County Council  
County Administrative Officer  
Originating Department ,

STANDARD AGREEMENT  
STD 2 (REV 5-91)APPROVED BY THE  
ATTORNEY GENERAL

CONTRACT NUMBER 082-98	AM. NO.
TAXPAYER'S FEDERAL EMPLOYER IDENTIFICATION NUMBER:	

THIS AGREEMENT, made and entered into this 8th day of December 1998,  
in the State of California, by and between State of California, through its duly elected or appointed, qualified and acting

131

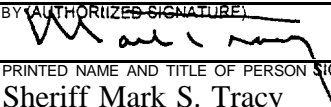
TITLE OF OFFICER ACTING FOR STATE Deputy Director	AGENCY Board of Corrections	, hereafter called the State, and
CONTRACTOR'S NAME County of Santa Cruz		, hereafter called the Contractor

WITNESSETH: That the Contractor for and in consideration of the covenants, conditions, agreements, and stipulations of the State hereinafter expressed, does hereby agree to furnish to the State services and materials as follows: (Set forth service to be rendered by Contractor, amount to be paid Contractor, time for performance or completion, and attach plans and specifications, if any.)

SEE ATTACHMENT A

CONTINUED ON \_\_\_\_\_ SHEETS, EACH BEARING NAME OF CONTRACTOR AND CONTRACT NUMBER.

The provisions on the reverse side hereof constitute a part of this agreement.  
IN WITNESS WHEREOF, this agreement has been executed by the parties hereto, upon the date first above written.

STATE OF CALIFORNIA		CONTRACTOR			
AGENCY Board of Corrections		CONTRACTOR (If other than an individual, state whether a corporation, partnership, etc.) County of Santa Cruz			
BY (AUTHORIZED SIGNATURE)		BY (AUTHORIZED SIGNATURE) 			
PRINTED NAME OF PERSON SIGNING William J. Crout		PRINTED NAME AND TITLE OF PERSON SIGNING Sheriff Mark S. Tracy			
TITLE Deputy Director, Facilities Standards & Operations Division		ADDRESS 701 Ocean Street, Room 340, Santa Cruz, CA 95060			
AMOUNT ENCUMBERED BY THIS DOCUMENT \$ 31,500.00	PROGRAM/CATEGORY (CODE AND TITLE) Mentally Ill Offender Crime Reduction Grant		FUND TITLE General Fund		<b>Department of General Services Use Only</b>  <b>DGS Approval not required per State Contracting Manual Section 4.6.</b>
PRIOR AMOUNT ENCUMBERED FOR THIS CONTRACT \$ -0-	(OPTIONAL USE) Index 2203 PCA 22023 Local Assistance				
TOTAL AMOUNT ENCUMBERED TO DATE \$ 31,500.00	ITEM 5430-111-0001	CHAPTER 502	STATUTE 1998	FISCAL YEAR 1998-99	
OBJECT OF EXPENDITURE (CODE AND TITLE)					
I hereby certify upon my own personal knowledge that budgeted funds are available for the period and purpose of the expenditure stated above.					
SIGNATURE OF ACCOUNTING OFFICER		T.B.A. NO.		B. R. NO.	
		DATE			

CONTRACTOR STATE AGENCY DEPT. OF GEN. SER. CONTROLLER 6

19

**STANDARD AGREEMENT**

STD. 2 (REV. 5-91) (REVERSE)

**132**

1. The Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, materialmen, laborers and any other person, firm or corporation furnishing or supplying work services, materials or supplies in connection with the performance of this contract, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by the Contractor in the performance of this contract.
2. The Contractor, and the agents and employees of Contractor, in the performance of the agreement, shall act in an independent capacity and not as officers or employees or agents of State of California.
3. The State may terminate this agreement and be relieved of the payment of any consideration to Contractor should Contractor fail to perform the covenants herein contained at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. The cost to the State shall be deducted from any sum due the Contractor under this agreement, and the balance, if any, shall be paid the Contractor upon demand.
4. Without the written consent of the State, this agreement is not assignable by Contractor either in whole or in part.
5. Time is of the essence in this agreement.
6. No alteration or variation of the terms of this contract shall be valid unless made in writing and signed by the parties hereto, and no oral understanding or agreement not incorporated herein, shall be binding on any of the parties hereto.
7. The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel and per diem, unless otherwise expressly so provided.



**1. GENERAL PROVISIONS**

The Contractor, hereafter referred to as the Grantee, and the Board of Corrections, hereafter referred to as the Board, enter into this agreement to fund planning efforts to reduce jail crowding, crime and criminal justice costs as they relate to the mentally ill offender as specified in Exhibit I Grant Proposal. The Contractor also agrees to comply with Exhibit II Drug-Free Workplace Certification.

**2. BUDGET**

In consideration of satisfactory performance of the above, the Board agrees to pay the Grantee costs as specified in Exhibit I Grant Proposal. Variations of more than 30% of designated grant fund line items will require prior approval.

**3. PROJECT OFFICIALS**

The Grantee shall identify the County Signatory, authorized to sign any documents submitted to the Board, including but not limited to, the grant funding contract, invoices for payment, progress and final summary reports, and any related amendments. This person should be the Chair of Mentally Ill Crime Reduction (MIOCR) Strategy Committee, Chairman of the Board of Supervisors, or other authorized designee, identified in the county(s) resolution required in the application for grant funds.

The Grantee shall also appoint a Grantee project financial officer to act on behalf of the Grantee. The Grantee project financial officer shall be the Grantee's representative for purposes of establishing an official file for this project. The file shall contain adequate documentation of all actions in accordance with generally accepted government accounting principles that have been taken with respect to the project. Separate accounting records for receipt, deposit, and disbursement of all grant funds shall be established.

County Signatory: Mark C. Serritt  
 Title: Serritt Coroner  
 Address: 701 Ocean St. Room 340  
Santa Cruz CA 95060  
 Telephone: (831) 431-2985

Financial Officer: KATHY Samms  
 Title: Dept Adm. Analyst  
 Address: 231 W. 1st St  
Santa Cruz CA 95060  
 Telephone: (831) 431-2224

**4. PAYMENT AND PURCHASE PROVISIONS**

The total amount payable under this contract shall not exceed \$31,500. Payment shall be made as requested by the Grantee, in arrears, upon receipt of a Board claim for reimbursement, in triplicate, specifying expenditures for the period covered. Claims submitted by the Grantee to the Board shall contain the authorized signatures of the Grantee county signatory and Grantee financial officer. No furniture or equipment may be purchased by Grantee under this agreement.

Contractor shall not include any charges for the month of July in invoices for June and shall bill for all of the month of June on one invoice. No claims shall be accepted after June 30, 1999.

**5. PROJECT COORDINATOR**

The assigned Project Coordinator at the Board will be Field Representative James C. Sida to whom claims for reimbursement and progress reports will be submitted.

6. ASSIGNMENT

Grantee may not transfer by assignment, subcontract, or novation the performance of this contract of any part thereof except with the prior written approval of the assigned Project Coordinator. Nor may Grantee, without the prior written consent of the assigned Project Coordinator, assign any other right that Grantee may have under this contract. Each subcontract or assignment which is approved by the assigned Project Coordinator shall contain a provision prohibiting further assignment to any third or subsequent tier subcontractor or assignee without additional written approval by the assigned Project Coordinator. The assigned Project Coordinator's consent to one or more such assignments, such assignments novations, or subcontracts shall not constitute a waiver or diminution of the absolute power to approve each every subsequent assignment, novation, or subcontract.

7. TERMS OF AGREEMENT

The terms of the agreement shall be for the period of December 8, 1998 through March 10, 1999. All performance under this contract, including the submission to the Board of the Local Action Plan, shall be completed by March 10, 1999, except that the audit requirement shall be met by the completion of the single county audit.

8. TERMINATION CLAUSE

This agreement may be amended or canceled any time by the Board, in writing, with thirty (30) days notice. If canceled, payment shall be made only for performance up to the date of cancellation.

9. AVAILABILITY OF FUNDS

This agreement shall be subject to the availability of funds for this purpose.

10. COMPLIANCE WITH LAWS AND REGULATIONS

It is expressly understood that the Contractor shall comply with all applicable laws and regulations of the State of California.

11. CONFLICT OF INTEREST

The Contractor, his agents and/or employees, pursuant to Government Code Section 87100, shall not make, participate in making, or in any way attempt to use his position as Contractor with the State to influence a governmental decision in which he knows or has reason to know he has a financial interest.

12. AUDIT

It is hereby mutually agreed that Contractor shall be subject to the examination and audit of the Auditor General during the term of this Agreement and for a period of three years after final payment under this Agreement. The examination and audit shall be confined to those matters connected with the performance of this Agreement but not limited to the cost of administering the Agreement (Government Code 8546.7).

13. CONTRACT AUDIT AND GENERAL RECORDS KEEPING

The Contractor is required to obtain a single county audit covering the period of the grant for the fiscal year ending June 30, 1999. Contractor agrees that the awarding agency or its delegatee will have the right to review, obtain, and copy all records pertaining to performance of the contract. Contractor agrees to provide the awarding department or its delegatee with any relevant information requested and shall permit the awarding agency or its delegatee access to its premises, upon reasonable notice,

during normal business hours for the purpose of interviewing employees and inspecting and copying such books, records, accounts, and other material that may be relevant to a matter under investigation for the purpose of determining compliance with PCC 10115 et seq., GC 8546.7 and 2 CCR 1896.60 et seq. Contractor further agrees to maintain such records for a period of three (3) years after final payment under the contract,

#### 14. DISPUTES

Except as otherwise provided in this contract, any dispute concerning a question of fact arising under this contract which is not disposed of by agreement shall be decided by the Board of Corrections (BOC) contracting official whose signature appears on the contract or his/her duly appointed representative. Within 15 calendar days after receipt of decision from the BOC contracting official, the Contractor may submit a written appeal to the BOC contracting official.

Failure to submit such appeal within the period specified shall constitute a waiver of any and all rights to adjustment of the contract.

In connection with any appeal proceeding under this clause, the Contractor shall be afforded an opportunity to be heard and to offer evidence in support of its appeal. Pending final decision of a dispute hereunder, the Contractor shall proceed diligently with the performance of the contract and in accordance with the contracting official's decision.

#### 15. MAINTENANCE AND INSPECTION OF CONTRACTUAL RECORDS

Contractor agrees that State shall have access to any and all records that are in the opinion of the State related or pertinent to this agreement, for the purpose of audit and fiscal monitoring of the funds expended hereunder. Such records shall be maintained for a period of four years or until an audit by the State is completed and all resulting questions arising therefrom are resolved, whichever is sooner. Records shall be maintained in an orderly manner and available at reasonable times for audit purposes. Contractor shall be responsible for repayment of any unallowable costs as determined by audit.

#### 16. NONDISCRIMINATION CLAUSE

- a. During the performance of this contract, contractor and its subcontractors shall not unlawfully discriminate, harass or allow harassment, against any employee or applicant for employment or deny the contract's benefits to any person because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), medical condition (cancer), age (over 40), marital status, denial of family care leave and denial of pregnancy disability leave. Contractors and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Government Code, Section 12900 et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285.0 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code, Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations are incorporated into this contract by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement.
- b. Contractor shall comply with the provisions of the Fair Employment and Housing Act (Government Code, Section 12900, et seq.), the regulations promulgated thereunder (California Administrative Code, Title 2, Section 7285.0, et seq.), the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code (Government Code, Sections 11135-1 1139.5) and the regulations of standards adopted by the awarding State agency to implement such article.

(Government Code, Sections 11135-11139.5 are applicable only to Contractor that is funded directly by State or receives any financial assistance from the state.)

- c. Recipient, Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement.
- d. The Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the contract.

17. TRAVEL AND PER DIEM

Payment for travel and per diem in performance of this agreement shall not exceed the maximum established by the Department of Personnel Administration. No travel outside of the State of California shall be reimbursed unless prior written authorization is obtained from the State. Any invoice for personal car mileage reimbursement above the base rate allowed for State employees must be accompanied by a certification by Contractor that the additional cost is warranted.

**EXHIBIT I**  
**MENTALLY ILL OFFENDER CRIME REDUCTION**  
**PHASE I PLANNING GRANT**

**BOARD OF CORRECTIONS**  
**600 BERCUT DRIVE**  
**SACRAMENTO, CALIFORNIA 95814-0185**

**TELEPHONE (916) 445-5073**  
**FACSIMILE (916) 327-3317 OR 322-5036**

**PHASE I - PLANNING GRANT**  
**MENTALLY ILL OFFENDER CRIME REDUCTION (MIOCR)**

For Instructions on completing the Planning Grant - Mentally Ill Offender Crime Reduction - See Attachment A

**SECTION 1 - COUNTY INFORMATION**

Date: 11-16-98

County: SANTA CRUZ

Sheriff or Director, Department of Corrections: Mark S. Tracy

Department: Santa Cruz County Sheriff's Office

Address: 701 Ocean Street Room 340

Santa Cruz, CA 95060

Telephone: (831) 454-2964 Facsimile: (831) 454-2353

E-Mail: shf001@co.santa-cruz.ca.us

Contact Person: Steve Robbins

Title: Chief Deputy

Department: Santa Cruz County Sheriff's Office - Detention Bureau

Address: 259 Water Street

Santa Cruz, CA 95060

Telephone: (831) 454-2834 Facsimile: (831) 454-2864

E-Mail: shf530@co.santa-cruz.ca.us

**SECTION 2 - COST SUMMARY****1189**

STATE FUNDING REQUESTED

\$ 31,50082 %

MATCH (NOT REQUIRED - SEE INSTRUCTIONS):

Hard

\$ \_\_\_\_\_

\_\_\_\_\_ %

In Kind

\$ 6,70018 %

Any Other

\$ \_\_\_\_\_

\_\_\_\_\_ %

TOTAL

\$ 38,200100 %**SECTION 3 - DETAILED BUDGET**

	STATE FUNDS	MATCH OR OTHER*	TOTAL
COUNTY STAFF	\$ _____	\$ <u>6,700 (I)</u>	\$ <u>6,700</u>
TRAVEL/PER DIEM	\$ <u>500</u>	\$ _____	\$ <u>500</u>
ADMINISTRATIVE OVERHEAD	\$ <u>2,205</u>	\$ _____	\$ <u>2,265</u>
PROFESSIONAL CONSULTANT SERVICES	\$ <u>28,795</u>	\$ _____	\$ <u>28,795</u>
OTHER – DESCRIBE ON A SEPARATE ATTACHMENT TO THE APPLICATION	\$ _____	\$ _____	\$ _____
<b>TOTAL</b>	\$ <u><u>31,500</u></u>	\$ <u><u>6,700</u></u>	\$ <u><u>38,200</u></u>

\*If you are including match funding (optional) - please identify next to dollar amount for each category (H) Hard Match, (I) In Kind, or (0) Other; No such designation is necessary for the TOTAL section in this column.

## SECTION 4 - MIOCR STRATEGY COMMITTEE

<u>NAME</u>	<u>TITLE</u>	<u>ORGANIZATION</u>
<u>Mark S. Tracy</u>	SHERIFF OR DIRECTOR DEPARTMENT OF CORRECTIONS	<u>Santa Cruz Co. Sheriff</u>
<u>John Rhoads</u>	CHEF PROBATION OFFICER	<u>Santa Cruz Co. Probation</u>
<u>Steve Belcher</u>	LOCAL LAW ENFORCEMENT AGENCY	<u>Santa Cruz Police Department</u>
<u>Rama Khalsa</u>	COUNTY MENTAL HEALTH DIRECTOR	<u>Mental Health Services</u>
<u>Judge Robert Atack</u>	SUPERIOR COURT JUDGE	<u>Santa Cruz Co. Superior Court</u>
<u>Ellen Jennings</u>	CLIENT- MENTAL HEALTH TREATMENT FACILITY	
<u>Terry Moriarty</u>	REPRESENTATIVES FROM ORGANIZATIONS THAT CAN PROVIDE OR HAVE PROVIDED TREATMENT OR STABILITY INCLUDING INCOME, HOUSING, AND CARETAKING FOR PERSONS WITH MENTAL ILLNESS	<u>Santa Cruz Community Counseling Center</u>
<u>Larry Biggam</u>	OPTIONAL MEMBER	<u>Public Defender</u>
<u>Bob Watson</u>	OPTIONAL MEMBER	<u>County Administrative Office</u>
<u>Maria Verdugo-Oaks</u>	OPTIONAL MEMBER	<u>Co. Alcohol &amp; Drug Services (Health Services Agency)</u>

If there are additional Strategy Committee members, please attach a separate sheet identifying them by name, title and organization.



**SECTION 5 - ABSTRACT AND NARRATIVE/MIOCR PLANNING GRANT****ABSTRACT:**

Santa Cruz County, with the leadership from the MIOCR Strategy Committee, will hire a consultant with expertise in designing effective strategies for mentally ill offenders. This consultant, with the MIOCR Strategy Committee, shall do in-depth analysis of the criminal histories of persons with serious mental illness who have gone through the county's jail since July 1997. This data shall be provided from the Mental Health and Jail database systems and associated court records. The data analysis will look at the following: cost of mentally ill offenders to the criminal justice system, number and type of arrests, length of stay in jail, types of sentences imposed, how many were on the County's Probation mental health caseload, and finally the difference in criminal patterns between those on Probation, those on LPS Conservatorship, and those who have no legal mandates to participate in treatment. In addition, diagnosis, co-existing substance abuse problems, willingness to accept treatment voluntarily, ability to manage money, ability to live independently, and willingness to take medication as prescribed will also be assessed. Each of these factors must be considered in designing a effective system of graduated responses for mentally ill offenders in Santa Cruz County. It is anticipated these graduated responses will be needed in both mental health and criminal justice - moving from voluntary prevention and support to a set of involuntary treatment and criminal justice options. These responses will also be coordinated with the new local Drug Court as many of the mentally ill offenders also have serious drug and alcohol addiction issues. The services and legal responses will be added to an existing set of special services for jail discharge planning and a special Probation officer. Finally, a research design will be developed which looks at reduced crime and reduced Criminal Justice costs as outcomes.

**NARRATIVE:****PROBLEM STATEMENT:**

Santa Cruz County has experienced serious overcrowding in its jail system for some time with an increasing Average Daily Population. In 1996, ADP was 341 at the Main Jail facility which is rated by the Board of Corrections for 249 inmates. In 1997, ADP increased to 374. In 1998, year-to-date ADP is approximately 390 inmates. The Santa Cruz County Main Jail continues to follow the state-wide trend of an increasing felony population and about 50% of the inmates needing maximum security housing.

Part of this overcrowding problem has been large numbers of mentally ill offenders in the jail system. The majority of mentally ill offenders have misdemeanor criminal charges with multiple arrests using large numbers of jail days. Using daily census information for past month, the average number of persons on psychiatric medication in the jail was 44. While not all of these individuals have serious mental disorders, they place a large burden on medical, detention, and mental health staff in terms of costs and management. Pharmacy costs as well as staffing is affected by these types of inmates. Of the 44 inmates in the daily snap shot, 22 were on anti-psychotic medications. In addition, a report on jail caseloads from 1997 and 1998 showed 273 unduplicated inmates with a diagnosis of a serious mental disorder over the last 18 months (PSP report 501/Jal). The problem appears to be increasing as costs of local housing escalate and mental health programs for adults with serious mental illness have had limited growth over the last decade. Many of these offenders also have substance abuse problems which complicates treatment while in custody and when released to the community.

**METHODOLOGY:**

This planning grant provides an opportunity to refine our understanding of the problem with managing mentally ill offenders in the community so that they do not commit crimes, and there is a reduction in associated costs in the criminal justice system. The methodology proposed begins with hiring of a consultant specially training in issues related to mentally ill offenders and the criminal justice system. Once the consultant is hired, a thorough analysis of the current problem shall be done. This includes taking data from two sources and court records to understand the current levels of crime, associated costs, legal history, and mental health/substance abuse history. Mental Health Services has a long standing and extensive data system for all patients including those in the jail. This includes all their services in the criminal justice system and community, their diagnosis and treatment issues, medications, and legal issues related to LPS Conservatorship and Public Guardian payeeship (involuntary money management of SSI funds). In addition this database includes history of benefit status, homelessness, and substance abuse issues. The Jail track system and court records have available another set of important data for the analysis - history of incarceration, legal charges, legal dispositions, and Probation status. Once this data from July 1997 to current is available, it shall be analyzed to answer the following questions:

- What were the events leading up to the arrests for these individuals? Some of the issues to be reviewed here are medication compliance, homelessness, willingness to participate in treatment programs, type and intensity of services, drug abuse, etc.
- What supports, incentives, and services would be needed for inmates who are willing to accept treatment to be **successful** in the community after release? Are services not available, are there long waiting lists for critical services, etc.

- What controls and consequences are needed for treatment resistant-inmates who will not cooperate with **aftercare** services voluntarily? What level of graduated monitoring and controls are needed to insure the person remains crime **free** and stable mentally.

### **Lookino At Successful Models for MIO Population:**

There is a **successful** model in **California** for managing these types of patients. It is through the Conditional Release Program (**CONREP**) which is operated by the State Department of Mental Health Forensics division (DMH). DMH is responsive for community treatment and management of individuals found “Not Guilty By Reason of Insanity” (NGI) for serious felony offenses. The program staff has special legal powers in state law related to control and monitoring of the clients in the program which combines “Probation” functions and controls with clinical care and supervision by licensed mental health professionals. While most of the mentally ill offenders who go through the County Jail do not qualify for the Conditional Release program, it does provide a successful model to consider. After analysis of the offender data, County staff will be reviewing this with assistance and consultation from the regional **CONREP** office. The **CONREP** program includes lots of structure and specific standards for levels of monitoring and aftercare depending on **MIO** response to requirements of living in the community.

**Building: on Current Services:** Some of the current difficulty with management of this population when they return to the community has been the lack of intensive supervision options from both mental health case management and/or Probation. While the County has a special federal block grant for a jail discharge planning position, the case numbers are very high and do not allow this

person to follow up in the community or have time for intensive supervision of treatment compliance once the inmate is out of a locked setting. This position does a good job arranging for clinical aftercare, benefits, and specific terms of Probation or Conservatorship which support successful placement in the community. There **is** also currently one special Probation Officer position which is assigned the mentally ill **offender** caseload. This person has a cap of 80 cases,

- but many more qualify for the caseload. This caseload does not allow for the intensive supervision, drug **testing**, and monitoring of treatment compliance needed to minimize re-arrests. There are many more cases needing services and intensive monitoring by a specially trained Probation Officer who might be able to be successful in the community if intensive monitoring and additional treatment access and housing was available. Individuals who are not put on Probation or Conservatorship (or both) are more likely to be rearrested, become homeless, abuse drugs, and return to jail.

The Mental Health system has benefit advocates, residential treatment, inpatient care, case management, medication management (including mobile nursing), outpatient therapy, money management, a homeless shelter and vocational rehabilitation. Waiting lists for some services has been an issue, but **generally** the range of services and proximity to public transportation is good. These resources are available to mentally ill offenders, but many refuse to participate unless court ordered. Even with this leverage, some offenders need weekly or daily contact to keep them medication compliant and not using drugs.

#### Outcomes & Performance Measures:

The measures to be used to determine whether the proposed strategy reduces crimes and associated costs will be refined with the research **consultant**. However, the overall **goals** will

be:

Reduce average daily census in the jail of mentally ill offenders by 25%

1. Reduce new criminal offenses by the MIO group by 35% for one year after release
2. Track reduced costs associated with reduced arrests and jail/court days by setting up baseline cost data for current population and tracking arrests, jail days, and felony versus misdemeanor charges.

Collaborative Responses to Management of Mentally Ill Offenders:

Through the County Criminal Justice Council and the MIOCR Strategy Committee, County staff shall utilize and if appropriate modify the existing services and responses by both mental health, law enforcement, courts, and alcohol and drug programs to provide the maximum support, supervision, and structure to any mentally ill inmate returning to the community. In mental health, there are a full range of services from benefits assistance to locked inpatient care, but the capacity of the services is strained, particularly in terms of residential treatment beds. In criminal justice, mental health shall work with the courts on treatment options for community placement for inmates, terms of Probation, and where legally appropriate Conservatorship and payeeship for control of SST and case management. In addition, the project through these interagency partners will explore legal options to use maintain treatment compliance especially to medication for persons who commit criminal acts when psychotic or manic. Any funds provided through the grant will be leveraged using Medical match to provide treatment services targeted for this population. Benefit advocacy and assistance shall be available to put benefits back in place when inmates are being released. Probation and law enforcement activities shall focus on enforcement of terms of Probation and early

identification of mentally ill persons in the community who might benefit from treatment and supports before getting involved with the criminal justice system. One local model exists for this outreach partnership in the city of Santa Cruz where a special mental health outreach worker is assigned to work full time with Santa Cruz City staff and merchants on identifying persons with **mental** illness or substance abuse in need of help.

### **Information and Data Systems:**

There are two different data systems tracking this group of individuals - Jail Track and Mental Health Data System. The mental health system has a special component to track jail patients and could be modified to add a special **aftercare** tracking module for this group. The system has a rich data base for services, clinical profiles, costs, and progress or failure by the client over time in community living. This system does not link easily to the Jail Track system which has more of the legal history and incarceration data. It has been proposed that a unique identifier be added to Jail Track to allow for linkage to the Mental Health Database in downloads so special **analysis** can be done to track outcomes and manage care and supervision. Downloads from these large systems can be done into an Access, SPSS, or **FoxPro** database. Probation is also working on another system and linkage to that system is very desirable **for** community management.

### **Strategy Committee and It's Work:**

The Strategy Committee includes key leaders **from** Superior Court, Mental Health, Probation, the Sheriffs Department, the Public Defender's Office, **Alcohol &** Drug Services, City Police, a mental health client, and mental health/substance abuse agencies. The majority of this

group has worked together successfully in the Criminal Justice Council on other projects and will be expanded with county staff working with the consultant to provide quality data for decisions in design and cost effectiveness. Santa Cruz County has a long history with successful interagency collaboration. The Federal System of Care Grant for youth, AB 377 State Systems of Care, a Dual Diagnosis Treatment Demonstration Grant, and the Sexual Assault Nurse Examiner's **Program** are just a few examples of successful models.

### **Aftercare Resources:**

The services available are inclusive of all levels of care for persons with mental illness, but caseloads are high and special skills and programs are needed for some offenders with dangerous profiles. Currently these unique resources are not available, but access to other resources is given to these inmates and clients coming out of inpatient hospitalization because of their high risk profiles. The substance abuse system also has detox, a 30 day program, and long term residential, but capacity and thus access has been difficult. Waiting lists can be long and expanded service capacity is needed. In addition, it is not always possible for some of the more threatening clients to participate in some of the treatment programs. Again, there appears to be some need for intensive supervision and some special forensic beds.

### **Long Term Stability:**

Using the CONREP program as a model, an effective local model for long term stability will be developed. This program model **includes** intensive supervision, drug testing where appropriate, benefits, money management, mandatory treatment participation, and flexible funds to access additional needed services for the inmate. Treatment services continue when



the person is in jail to try to insure or re-establish stability and continued progress on goals.

The program funds the services at approximately \$20,000 per person in treatment and housing resources per year. This includes some federal Medical matching funds for appropriate treatment: While this may not be appropriate for the population served at the County level, this will be one of the key decisions related to the design.

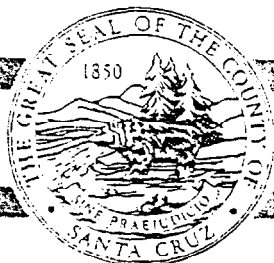
#### DESCRIPTION OF PLANNING ACTIVITIES:

As discussed above, the Strategy Committee will meet monthly with subcommittees working in between with the consultant to produce key work products for the Committee to take action on.

<u>Activities</u>	<u>Timeline</u>
Submit Grant Application	11/27/98
Notification of Approval	12/18/98
Review Demonstration Grant RFP	12/20/98
Hire Special Consultant	1/2/98
Complete Data Downloads	1/9/98
Complete Analysis/	2/10/98
Complete Consultation CONREP	2/10/98
Complete Research Design	2/25/98
Complete Demonstration Grant	3/4/98
Complete Board Approval	3/9/98
Submit to BOC	3/10/98
Do Presentation to BOC	4/15/98

The tasks listed above have special work products associated with them leading up to a quality demonstration proposal which builds on a strong and innovative system and understanding what key interventions will achieve and sustain the outcomes discussed. The key products will be quality data analysis to understand the profiles of the inmates, key gaps in the enforcement and mental health systems, mechanisms for the court to support compliance with critical treatment, and possibly recommended legislative changes. The other critical products include the research design and program models using CONREP as a excellent beginning for service design. Linkage to existing systems was part of this service design. Out of these will come the budget, revenues, and matching funds. At each stage decisions will be made by the Strategy Committee for proceeding to the next step. The success of the planning process will be evaluated by meeting timelines with quality products which can lead to important design decisions leading to the submission of the demonstration grant.

SHERIFF - CORONER



COUNTY OF SANTA CRUZ

MARK TRACY  
SHERIFF-CORONER

APPROVED AND FILED

BOARD OF SUPERVISORS

DATE: November 24, 1998

COUNTY OF SANTA CRUZ

SUSAN A. MAURIELLO

EX-OFFICIO CLERK OF THE BOARD

BY: William M. ...

DEPUTY

701 OCEAN ST., RM. 340  
SANTA CRUZ, CALIFORNIA 95060PHONE (408) 454-2985  
FAX (408) 454-2353

November 17, 1998

Agenda: November 24, 1998

BOARD OF SUPERVISORS  
County of Santa Cruz  
701 Ocean Street  
Santa Cruz, CA 95060**MENTALLY ILL OFFENDER CRIME REDUCTION GRANT  
PHASE I - PLANNING GRANT**

Dear Members of the Board:

The State Board of Corrections (BOC) has announced Phase I of a grant process for counties to develop a Local Plan to reduce crime and criminal justice costs related to Mentally Ill Offenders. The local plans are developed in conjunction with a local Strategy Committee that is named in the attached resolution (Attachment A). The Sheriff requests the Board to adopt the attached resolution authorizing the Sheriff to be the Chair of the Mentally Ill Offender Crime Reduction (MIOCR) Strategy Committee and to submit an application to the Board of Corrections for funds for a planning grant (BOC application included as Attachment B).

The BOC has set the maximum award of the planning grant funds for Santa Cruz County at \$31,500. These funds will be awarded to the County on a non-competitive basis. These funds would be used for the costs of a professional consultant to assist in the development of a Local Plan for the treatment of mentally ill offenders in jail and to prepare a response to the actual grant RFP, county staff costs, travel and per diem costs, and administrative overhead. Counties are not required to provide a local match for the planning grant. The planning grant will identify a strategy for a comprehensive continuum of responses by the County to address the needs of the mentally ill offender while incarcerated and upon release so as to reduce the likelihood of the offender returning to jail. The application for this planning grant is due to the BOC by November 27, 1998.

Upon receipt of the Phase I/Planning Grant responses, a BOC committee will award at least \$23,650,000 to counties on a competitive basis as part of MIOCR Demonstration Grant process. The Demonstration Grant RFP is due to the BOC by March 10, 1999 and is a four year grant.

project.

Members of the Sheriff's Department, Mental Health, the Probation Department, the Criminal Justice Council, and the County Administrative Office have met to discuss the benefits of applying for this planning grant and are in agreement that proceeding with this process could be advantageous for the County and this client population. The grant application was written through the joint efforts of HSA's Mental Health Division and the Sheriff's Office.

Mental Health and the Sheriff's Office have achieved an excellent working relationship in addressing some of the mental health needs of Mentally Ill Offenders while incarcerated at Santa Cruz County Main Jail and with some discharge planning. With other MIOCR committee members, the Sheriff's Office and Mental Health anticipate the development and enhancement of a viable program that is built on an existing treatment system with proven successful results.

It is therefore RECOMMENDED that your Board:

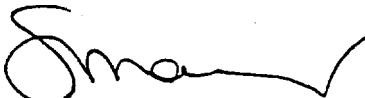
1. Authorize the Sheriff-Coroner to submit the attached application to the Board of Corrections for a Mentally Ill Offenders Crime Reduction (MIOCR) Planning Grant in the maximum amount of \$3,500; and
2. Adopt the attached resolution allowing the Sheriff-Coroner to Chair the County MIOCR Strategy Committee, appointment of the various committee members, and assurance that the County will adhere to the requirements specified in the grant contract by the Board of Corrections and State of California.

Sincerely,



MARK S. TRACY, Sheriff-Coroner

RECOMMENDED;



SUSAN A. MAURIELLO  
County Administrative Officer

MST:sr  
Attachments

cc: Sheriff-Coroner  
CAO  
Mental Health

122A

BEFORE THE BOARD OF SUPERVISORS  
OF THE COUNTY OF SANTA CRUZ, STATE OF CALIFORNIA

RESOLUTION NO. 435-98

On the motion of Supervisor Wormhoudt  
Duly seconded by Supervisor Belgard  
The following resolution is adopted

RESOLUTION AUTHORIZING APPLICATION FOR PLANNING GRANT -  
MENTALLY ILL OFFENDER CRIME REDUCTION GRANT FROM THE STATE  
CALIFORNIA, BOARD OF CORRECTIONS AND RELATED CONTRACTS,  
AMENDMENTS AND EXTENSIONS; APPOINTING MEMBERS OF SANTA CRUZ  
COUNTY MENTALLY ILL OFFENDER CRIME REDUCTION STRATEGY COMMITTEE;  
AND APPOINTING SHERIFF-CORONER AS CHAIR.

WHEREAS, Chapter 501 and 502 of the Statutes of 1998 provide funds for planning grants and competitive demonstration grants for the development of a continuum of graduated responses, including prevention, intervention and incarceration for mentally ill offenders; and,

WHEREAS, the proposed planning grant of \$31,500.00 is recommended to assist the development of a Local plan to reduce crime and criminal justice costs related to mentally ill offenders; and,

WHEREAS, the Board of Corrections requires, as a condition of the grant approval process, that the Board of Supervisors of Santa Cruz County adopt a resolution making certain commitments.

NOW, THEREFORE, BE IT FURTHER RESOLVED that the Sheriff-Coroner is authorized to sign the Santa Cruz County application for Mentally Ill Offender Crime Reduction Strategy planning grant, as well as related contracts, amendments or extensions, with the State of California.

NOW, THEREFORE, BE IT FURTHER RESOLVED that the following individuals are appointed as members of the Santa Cruz County Mentally Ill Offender Crime Reduction Strategy Committee:

<u>Name</u>	<u>Organization</u>
Mark S. Tracy	Santa Cruz County Sheriff
John Rhoads	Santa Cruz County Probation
Steve Belcher	Santa Cruz Police Department
Rama Khalsa	Mental Health Services
Judge Robert Attack	Santa Cruz County Superior Court.
Ellen Jennings	Community Member
Terry Moriarty	Santa Cruz Community Counseling Center
Larry Biggam	Public Defender
Bob Watson	County Administrative Office
Maria Verdugo-Oaks	Santa Cruz County Health Services Agency
	Alcohol and Drug Services

NOW, THEREFORE, BE IT RESOLVED by the Board of Supervisors of the County of Santa Cruz that Sheriff-Coroner Mark S. Tracy is hereby appointed as the chairperson of the Santa Cruz County -Mentally Ill Offender Crime Reduction Strategy Committee.

NOW, THEREFORE, BE IT FURTHER RESOLVED that the County of Santa Cruz will adhere to the requirements of the Board of Corrections and all conditions specified in the grant contract with the State of California in the expenditure of State funds received pursuant to said application.

NOW, THEREFORE; BE IT FURTHER RESOLVED that the County of - Santa Cruz certifies that all final planning products will be developed and provided to the Board of Corrections by no later than March 10, 1999.

NOW, THEREFORE, BE IT FURTHER RESOLVED that the County of Santa Cruz certifies that it will invoice the Board of Corrections for all costs approved in the grant by no later than June 30, 1999.

PASSED AND ADOPTED by the Board of Supervisors of the County of Santa Cruz, State of California, this 24th day of November, 1998, by the following vote:

AYES:	SUPERVISORS Symons, Wormhoudt, Belgard, Almquist & Beautz
NOES:	SUPERVISORS None
ABSENT:	SUPERVISORS None
ABSTAIN:	SUPERVISORS None

**JANET K. BEAUTZ**

Chair of the  
Board of Supervisors

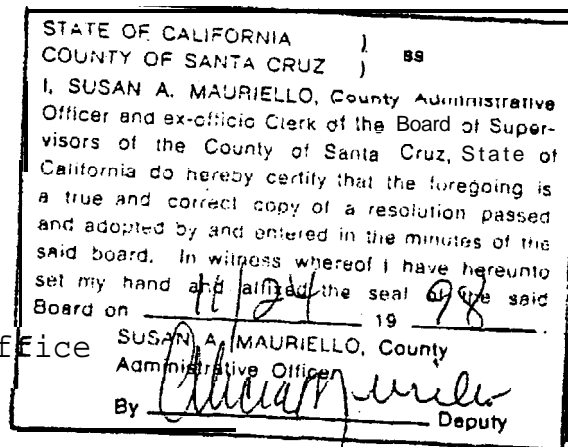
**SUSAN M. MAURIELLO**

ATTEST: \_\_\_\_\_  
Clerk of the Board

Approved as to form:

*Harry A. Oberhelman III*  
**HARRY A. OBERHELMAN III**  
Assistant County Counsel

DISTRIBUTION: County Administrative Office  
Sheriff-Coroner  
Detention Bureau  
County Counsel  
Auditor-Controller



**EXHIBIT II**  
**MENTALLY ILL OFFENDER CRIME REDUCTION**  
**PHASE I PLANNING GRANT**

# DRUG-FREE WORKPLACE CERTIFICATION

STD. 21 (NEW 8-93) (Automated)

156

COMPANY OR ORGANIZATION NAME

The contractor or grant recipient named above hereby certifies with Government Code Section 8355 in matters relating to providing a drug-free workplace. The above named contractor or grant recipient will;

1. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of controlled substance is prohibited and specifying actions to be taken against employees for violations, as required by Government Code Section 8355(a).
2. Establish a Drug-Free Awareness Program as required by Government Code 8355(b), to inform employees about all of the following:
  - (a) The dangers of drug abuse in the workplace,
  - (b) The person's or organization's policy in maintaining a drug-free workplace,
  - (c) Any available counseling, rehabilitation and employee assistance programs, and
  - (d) Penalties that may be imposed upon employees for drug abuse violations.
3. Provide as required by Government Code 8355(c), that everyone who works on the proposed contract or grant:
  - (a) Will receive a copy of the company's drug-free policy statement, and
  - (b) Will agree to abide by the terms of the company's statement as a condition of employment on the contract or grant.

## CERTIFICATION

I, the official named below, hereby swear that I am duly authorized to legally bind the prospective contractor to the above described certification. I am fully aware that this certification, executed on the date and in the county below, is made under penalty of perjury under the laws of the State of California.

OFFICIAL'S NAME

MARK TRACY

DATE EXECUTED

1-6-99

EXECUTED IN THE COUNN OF

SANTA CRUZ

CONTRACTOR or GRANT RECIPIENT SIGNATURE

Mark Tracy  
Sheriff Coroner

TITLE

FEDERAL ID NUMBER

94-6000534





mailed all  
4 on 1-6-99  
KS

STATE OF CALIFORNIA  
PETE WILSON, GOVERNOR 157

BOARD OF CORRECTIONS 600 BERCUT DRIVE, SACRAMENTO, CA 95814 916/445-5073 www.bdcrr.ca.gov

December 18, 1998

Sheriff Mark S. Tracy  
Santa Cruz County Sheriffs Department  
701 Ocean Street, Room 340  
Santa Cruz, CA 95060

Subject: **Mentally Ill Offender Crime Reduction Planning Grant Award**

Dear: Sheriff Tracy:

In accordance with the authorization granted at its November 12, 1998 Board of Corrections Meeting, BOC staff have reviewed grant applications for the award of grant funds to be used to develop the Mentally 111 Offender Crime Reduction Local Plans.

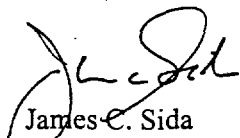
We are pleased to congratulate you and announce that Santa Cruz County's Mentally Ill Offender Planning Grant application will be funded in the amount of \$31,500.00. The grant period is from December 8, 1998 to March 1, 1999. Five copies of the Standard Agreement are enclosed. Please sign and return four copies as soon as possible by the individual authorized in your county's resolution submitted as a part of the grant application. If you did not submit the required Board of Supervisors' resolution with your application please include it with your signed agreements. The agreements will not be processed unless resolutions are adopted and submitted to the Board of Corrections. Also please note that you will need to identify the County Signatory and Financial Officer in Section 3 **Project Officials**. The signed agreements must be submitted to the Board of Corrections no later than January 31, 1999.

Upon receipt of the signed agreements, the contracts will be processed and a fully executed copy and invoicing documents will be sent to the county contact person identified in the planning grant application. Completed Local Plans are due no later than March 10, 1999. Final invoices must be submitted no later than June 30, 1999.

We would also like to take the opportunity to advise you of a workshop offered on January 12, 1999 in Sacramento and January 15, 1999 at the San Bernardino County Sheriffs West Valley Detention Center. It is our intention to use this forum to provide the most current information regarding the demonstration grant process, and to troubleshoot, as necessary, issues related to your planning activities. Additional information regarding this workshop will be mailed to you shortly.

Please contact me at (916) 445-5804 should you have questions.

Sincerely,

  
James C. Sida  
Field Representative

Enclosures