

County of Santa Cruz C365

DEPARTMENT OF PUBLIC WORKS

701 OCEAN STREET, ROOM 410, SANTA CRUZ, CA 95060 (831) 454-2160 FAX (831) 454-2385 TDD (831) 454-2123

JOHN A. FANTHAM DIRECTOR OF PUBLIC WORKS

AGENDA: AUGUST 3, 1999

July 22, 1999

SANTA CRUZ COUNTY BOARD OF SUPERVISORS 701 Ocean Street Santa Cruz, California 95060

SUBJECT: PAVEMENT MANAGEMENT SYSTEM UPDATE

Members of the Board:

As your Board is aware, it is mandated by California State Assembly Bill 471 that any jurisdiction using funds programmed in the State Transportation Improvement Program (STIP) needs to have a Pavement Management System. In June 1995 Public Works contracted with Nichols Consulting Engineers to provide a Pavement Management System for the County. It is also required that all arterial and collector routes be resurveyed and the Pavement Condition Index be updated approximately every two years. Due to severe storm-related road damage over the 1996, 1997, and 1998 winters, this update has been delayed until now.

Nichols Consulting Engineers has submitted a proposal to update the County's **Pave**ment Management System. The proposal will provide pavement condition surveys for roads **located** within the County maintained road system. Nichols Consulting Engineers' cost for **updating** the Pavement Management System is \$52,300. Funding is available in the 1999/2000 **Bud**get approved by your Board, using County Service Area No. 9D funds.

It is therefore recommended that the Board of Supervisors take the following action:

- 1. Approve the independent contractor agreement with Nichols Consulting Engineers in a not-to-exceed amount of \$52,300.
- 2. Authorize the Director of Public Works to sign the agreement on behalf of the County.

ANTA CRUZ COUNTY BOARD OF SUPERVISORS Page -2-

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3. Direct the Clerk of the Board to return the **fully** executed copy of the agreement to Public Works.

Yours truly,

JOHN A. FANTHAM Director of Public Works

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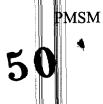
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Attachments

RECOMMENDED'FOR APPROVAL:

County Administrative Officer

Copy to: Public Works Nichols Consulting Engineers



Contract No.

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INDEPENDENT CONTRACTOR AGREEMENT

THIS CONTRACT is entered into this <u>3rd</u> day of <u>August 1999</u>, 1999, by and between the COUNTY OF SANTA CRUZ, hereinafter called COUNTY, and NICHOLS CONSULTING ENGINEERS, hereinafter called CONTRACTOR. The parties agree as follows:

1. **DONIER** ACTOR agrees to exercise special skill to accomplish the following result: Tasks A-D in the attached Scope of Work.

2. <u>COMPENSATION.</u> In consideration for CONTRACTOR accomplishing said result, COUNTY agrees to pay CONTRACTOR as follows: Progress payments not to exceed \$52,300

3. <u>TERM</u>. The term of this contract shall be: From approval of contract to June 30, 2000.

4. <u>EARLY TERMINATION</u>. Either party hereto may terminate this contract at any time by giving 30 days written notice to the other party.

5. INDEMNIFICATION FOR DAMAGES. TAXES AND CONTRIBUTIONS. CONTRACTOR shall exonerate, indemnity, defend, and hold harmless COUNTY (which for the purpose of paragraphs 5 and 6 shall include, without limitation, its officers, agents, employees and volunteers) from and against:

A. Any and all claims, demands, losses, damages, defense costs, or liability of any kind or nature which COUNTY may sustain or incur or which may be imposed upon it for injury to or death of persons, or damage to property as a result of, arising out of, or in any manner connected with the CONTRACTOR'S performance under the terms of this Agreement, excepting any liability arising out of the sole negligence of the COUNTY. Such indemnification includes any damage to the person(s), or property(ies) of CONTRACTOR and third persons.

B. Any and all Federal, State and Local taxes, charges, fees, or contributions required to be paid with respect to CONTRACTOR and CONTRACTOR'S officers, employees and agents engaged in the performance of this Agreement (including, without limitation, unemployment insurance, social security and payroll tax withholding).

6. <u>INSURANCE</u>. CONTRACTOR, at its sole cost and expense, for the full term of this Agreement (and any extensions thereof), shall obtain and maintain at a minimum compliance with all of the following insurance coverage(s) and requirements. Such insurance coverage shall be primary coverage as respects COUNTY and any insurance or self-insurance maintained by County shall be excess of CONTRACTOR'S insurance coverage and shall not

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If CONTRACTOR utilizes one or more subcontractors in the performance of this Agreement, CONTRACTOR shall obtain and maintain Independent Contractor's Insurance as to **each subcontractor** or otherwise provide evidence of insurance coverage for each subcontractor equivalent to that required of CONTRACTOR in this Agreement, unless CONTRACTOR and COUNTY both initial here _____/___.

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A. <u>Types of Insurance and Minimum Limits</u>

(1) Worker's Compensation in the minimum statutorily required coverage amounts. This insurance coverage shall not be required if the CONTRACTOR has no employees and certifies to this fact by initialing here _____.

(2) Automobile Liability Insurance for each of CONTRACTOR's vehicles used in the performance of this Agreement, including owned, non-owned (e.g. owned by CONTRACTOR's employees), leased or hired vehicles, in the minimum amount of \$500,000 combined single limit per occurrence for bodily injury and property damage. This insurance coverage shall not be required if vehicle use by CONTRACTOR is not a material part of performance of this Agreement and CONTRACTOR and COUNTY both certify to this fact by initialing here ______.

(3) Comprehensive or Commercial General Liability Insurance
 coverage in the minimum amount of \$1,000,000 combined single limit, including coverage for:
 (a) bodily injury, (b) personal injury, (c) broad-form property damage, (d) contractual liability, and (e) cross-liability.

(4) Professional Liability Insurance in the minimum amount of \$1,000,000.00 combined single limit, if, and only if, this Subparagraph is initialed by CONTRACTOR and COUNTY _____.

B. <u>Other Insurance Provisions</u>

(1) If any insurance coverage required in this Agreement is provided on a "Claims Made" rather than "Occurrence" form, CONTRACTOR agrees to maintain the required coverage for a period of three (3) years after the expiration of this Agreement (hereinafter "post agreement coverage") and any extensions thereof CONTRACTOR may maintain the required post agreement coverage by renewal or purchase of prior acts or tail coverage. This provision is contingent upon post agreement coverage being both available and reasonably affordable in relation to the coverage provided during the term of this Agreement. For purposes of interpreting this requirement, a cost not exceeding 100% of the last annual policy premium during the term of this Agreement in order to purchase prior acts or tail coverage for post agreement coverage shall be deemed to be reasonable. (2) All required Automobile and Comprehensive or Commercial General Liability Insurance shall be endorsed to contain the following clause:

"The County of Santa **Cruz**, its officials, employees, agents and volunteers are added as an additional insured as respects the operations and activities of, or on behalf of, the named insured performed under Agreement with the County of Santa **Cruz**."

All required insurance policies shall be endorsed to contain the

following clause:

"This insurance shall not be canceled until after thirty (30) days prior written notice has been given to:

John Swenson County of Santa Cruz Department of Public Works 70 1 Ocean Street, Room 4 10 Santa **Cruz,** CA 95060

(4) CONTRACTOR agrees to provide its insurance broker(s) with a full copy of these insurance provisions and provide COUNTY on or before the effective date of this Agreement with Certificates of Insurance for all required coverages. All Certificates of Insurance shall be delivered or sent to:

John Swenson

County of Santa Cruz Department of Public Works 701 Ocean Street, Room 410 SantaCruz, CA 95060

(3)

7. <u>EQUAL EMPLOYMENT OPPORTUNITY</u>. During and in relation to the **performance** of this Agreement, CONTRACTOR agrees as follows:

A. The CONTRACTOR shall not discriminate against any employee or applicant for employment because of race, color, religion, national origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, pregnancy, sex, sexual orientation, age (over 1 8), veteran status or any other non-merit factor unrelated to job duties. Such action shall include, but not be limited to the following: recruitment; advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training (including apprenticeship), employment, upgrading, demotion, transfer. The CONTRACTOR agrees to post in conspicuous places, available to employees and applicants for employment, notice setting forth the provisions of this non-discrimination clause. B. If this Agreement provides compensation in excess of \$50,000 to CON[TRACTOR and if CONTRACTOR employs fifteen (15) or more employees, the following requirements shall apply:

(1) The CONTRACTOR shall, in all solicitations or advertisements for employees placed by or on behalf of the CONTRACTOR, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, national origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, pregnancy, sex, sexual orientation, age (over 18), veteran status, or any other non-merit factor um elated to job duties. In addition, the CONTRACTOR shall make a good faith effort to consider Minority/Women/Disabled Owned Business Enterprises in CONTRACTOR's solicitation of goods and services. Definitions for Minority/Women/Disabled Business Enterprises are available from the COUNTY General Services Purchasing Division.

(2) The CONTRACTOR shall furnish COUNTY Affirmative Action Office information and reports in the prescribed reporting format (PER 40 12) identifying the sex, race, physical or mental disability and job classification of its employees and the names, dates and methods of advertisement and direct solicitation efforts made to subcontract with Minority/Women/Disabled Business Enterprises.

(3) In the event of the CONTRACTORS non-compliance with the non-discrimination clauses of this Agreement or with any of the said rules, regulations, or orders said CONTRACTOR may be declared ineligible for further agreements with the COUNTY.

(4) The CONTRACTOR shall cause the foregoing provisions of this **Subparagraph** 7B. to be inserted in all subcontracts for any work covered under this Agreement by a subcontractor compensated more than \$50,000 and employing more than fifteen (15) employees, provided that the foregoing provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.

8. INDEPENDENT CONTRACTOR STATUS. CONTRACTOR and COUNTY have reviewed and considered the principal test and secondary factors below and agree that CONTRACTOR is an independent contractor and not an employee of COUNTY. CONTRACTOR is responsible for all insurance (workers compensation, unemployment, etc.) and all payroll related taxes. CONTRACTOR is not entitled to any employee benefits. COUNTY agrees that CONTRACTOR shall have the right to control the manner and means of accomplishing the result contracted for herein.

<u>PRINCIPAL TEST</u>: The CONTRACTOR rather than COUNTY has the right to **control** the manner and means of accomplishing the result contracted for.

SECONDARY FACTORS: (a) The extent of control which, by agreement, COUNTY may exercise over the details of the work is slight rather than substantial; (b) CONTRACTOR is engaged in a distinct occupation or business; (c) In the locality, the work to be done by CONTRACTOR is usually done by a specialist without supervision, rather than under the direction of an employer; (d) the skill required in the particular occupation is

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substantial rather than slight; (e) The CONTRACTOR rather than the COUNTY supplies the instrumentalities, tools and work place; (f) The length of time for which CONTRACTOR is engaged is of limited duration rather than indefinite; (g) The method of payment of CONTRACTOR is by the job rather than by the time; (h) The work is part of a special or permissive activity, program, or project, rather than part of the regular business of COUNTY; (i) CONTRACTOR and COUNTY believe they are creating an independent contractor relationship rather than an employer-employee relationship; and (j) The COUNTY conducts public business.

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It is recognized that it is not necessary that all secondary factors support creation of an independent contractor relationship, but rather that overall there are significant secondary factors which indicate that CONTRACTOR is an independent contractor.

By their signatures to this Agreement, each of the undersigned certifies that it is his or her considered judgment that the CONTRACTOR engaged under this Agreement is in fact an incependent contractor.

9. <u>CONTRACTOR</u> represents that its operations are in compliance with applicable **County** planning, environmental and other laws or regulations.

10. <u>CONTRACTOR</u> is responsible to pay prevailing wages and maintain records as required by Labor Code Section 1770 and following.

11. <u>NONASSIGNMENT.</u> CONTRACTOR shall not assign this agreement without the prior written consent of the COUNTY.

12. <u>RETENTION AND AUDIT OF RECORDS</u>. CONTRACTOR shall retain records pertinent to this Agreement for a period of not less than five (5) years after final payment under this Agreement or until a final audit report is accepted by COUNTY, whichever occurs first. CONTRACTOR hereby agrees to be subject to the examination and audit by the Santa Cruz County Auditor-Controller, the Auditor General of the State of California, or the designee of either for a period of five (5) years after final payment under this Agreement.

13. <u>PRESENTATION OF CLAIMS</u>, Presentation and processing of any or all claims arising out of or related to this Agreement shall be made in accordance with the provisions contained in Chapter 1.05 of the Santa Cruz County Code, which by this reference is incorporated herein.

14. <u>ATTACHMENTS.</u> This Agreement includes the following attachment: Scope of Work

IN WITNESS WHEREOF, the parties hereto have set their hands the day and year first above written.

COUNTY OF SANTA CRUZ

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Director of Public Works

CONTRACTOR Nichols Consulting Engineering

By: Mary R. Erchul, Division Manager

Address: 104 West Walnut Avenue Suite 201 Santa Cruz, CA 95060

Telephone: (83 1) 469-4823

APPROVED AS TO FORM:

Kae 7-22-99

Chief Assistant County Counsel

DISTRIBUTION:

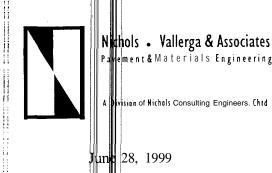
Auditor-Controller Contractor Public Works

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Parement & Materials Engineering

vision of Nichols Consulting Engineers. (htd

June 28, 1999

Mr.John Swenson Civil Engineer Department of Public Works County of Santa Cruz 701 Ocean Street, Room 410 Santa Cruz, CA 95060

Proposal for Pavement Management System Update Re

Dear Mr. Swenson:

Thank you for asking Nichols • Vallerga & Associates (NV&A) to submit a cost estimate for the above subject project. As per our discussions, California State Assembly Bill 471, states that any jurisdiction using funds programmed in the State Transportation Improvement Program (STIP) needs to have a Pavement Management System (PMS). The requirements are that all arterial and collector routes need to be surveyed and pavement condition index (PCI) updated every two years.

This letter summarizes the scope of work and cost for NV&A to perform a pavement management system upiate for the County of Santa Cruz. The scope of work is as described in the paragraphs below.

Scope of Work

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Task A. Condition Surveys/PCI Calculations

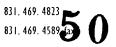
N δ A shall perform pavement condition surveys on 100% of arterial and collector routes and 50% of residential routes. The pavement condition survey shall be performed in accordance with the MTC Pavement Distress Identification Manual. A minimum of one sample unit per section shall be inspected. Appricoximately one sample unit shall be inspected for each 1,000 lineal feet of roadway. Any variation from the established procedures shall be to accommodate unique local conditions (e.g. chip seals over Portland centernt concrete pavements) and shall be reviewed with the County. Any areas which are not typical of the ernir e section shall be inspected and recorded as a special sample unit.

In addition, NV&A will re-sectionalize, as necessary any of the sections in the existing database. Typically, resc:ctionalization will be required due to maintenance and rehabilitation actions performed.

Typically, a one or two person crew shall perform the condition surveys. It is assumed that approximately 1266 sample units will require inspection.

The distress data shall be recorded in the field onto field survey forms and data entry shall be performed by NV&A staff. NV&A shall be responsible for providing all equipment necessary for performance of this task, Should County personnel wish to observe NV&A's crews during this task, one day's notice shall be required.

104 Walnut Avenue, Ste 201 Santa **Cruz,** CA 95060



Mr John Swenson Proposal for Pavement Management System Update Page 2

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After the condition survey data has been entered into the database, the database shall be reviewed by NV&A for errors and errors shall be corrected prior to performing calculations. Next, the Pavement Condition Index (PCI) shall be calculated using the PCI calculation module in the MTC software. The output data shall be checked for errors and any errors shall be corrected. At the completion of this task, a printout of the PCI Report shall be provided to the County for review.

The **County shall provide the following items to NV&A:**

• A contact person to address any questions that may arise during the condition survey work. These questions typically are related to issues such as jurisdiction, ownership of roads, and limits of sections.

The deliverables for this task shall include:

- One copy of the Inspection Unit Report.
- One copy of the Pavement Condition Index (PCI) Report.

Task B. M&R Strategies and Budgetary Analyses

Upon completion of Task A, NV&A shall perform budgetary analyses using the MTC software. The following subtasks shall be performed:

Subtask C. I M&R Strategies

NV&A shall review with the County the maintenance and rehabilitation (M&R) strategies that are desired for this project. This shall include the selection of appropriate treatments such as chip seals or overlays, and the determination of the construction costs. This would also be an appropriate time to review the use of new treatments or materials, such as rubberized asphalt, or rubberized chip seals.

All modifications shall be noted and summarized in a report to the County.

The M&R alternatives are used to determine effective treatments for each road section based upon the condition, pavement type, and functional class. Once the M&R alternatives are defined, a unit cost shall be determined for each alternative. These alternatives and costs shall be entered into the PMS database.

Subuck C.2 Budget Needs

NV&A shall perform one Budget Needs analysis using an analysis period to be determined by the County to identify M&R requirements for each road section and to determine the total maintenance and rehabilitation requirements over the period. The Needs analysis identifies road sections that need treatment and applies the M&R decision trees to each section. The costs are then summed for the entire period. This forms the basis for the Budget Scenarios module which optimizes the pavements for repair given a constrained budget. The analysis period can be varied from five to twenty years.

> Nichols • Vallerga & Associates Pavement & Materials Engineering

Mr. John Swenson Proposal for Pavement Management System Update Page 3

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Subrask C.3 Budget Scenarios The Budget Scenarios module uses a weighted effectiveness rating to prioritize sections for repair. The effectiveness rating is defined as the area under a pavement performance curve. The effectiveness rating is weighted to place a higher priority on certain roads, such as arterials and collectors.

We A shall perform up to three budget scenario calculations for the County, based on input from County tal We shall also provide recommendations concerning funding scenarios and selected road sections for rehabilitation.

The County shall provide the following items to NV&A:

A contact person to address maintenance and rehabilitation strategies and costs with NV&A staff for the County.

The deliverables for this task shall include:

One copy of a report summarizing modifications made to the maintenance and rehabilitation decision trees.

One copy of the Budget Needs Report.

One copy of all Budget Scenarios Reports.

One copy of the County's PMS database on disk.

Task C. Executive Summary

Unon completion of the above tasks, NV&A shall prepare an executive summary. The report shall include information on the overall condition of the County's road network, as well as results of the analyses performed in the previous tasks.

The deliverables for this task shall include:

One copy of the Executive Summary.

Task D. Technical Support Services

NW&A is available on an on-call, as-needed basis for 40 hours of training and any further technical assistance the County of Santa Cruz may require. Technical assistance may be in the form of telephone or on-site consultations. Also, under this task NV&A will assist the County in linking the MTC PMS to the County's existing GIS.

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Nichols • Vallerga & Associates Pavement & Materials Engineering

Mr. John Swenson Proposal for Pavement Management System Update Page 4

Project Schedule

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The following is an estimate of the time required to complete the PMS update for the County of Santa Cruz:

Task Description	Number of Working Days to Complete Task
Task A. Condition Surveys/PCI Calculations	50 (1 <u>0</u> weeks)
TaskB. M & R Strategies/Budgetary Analyses	15 (3 weeks)
TaskC. Executive Summary	5 (1 week)
PROJECT TOTAL	70 (14 weeks)

Cost Estimate

cost
\$39.600
\$ 6,600
\$ 3,600
\$ 2,500
\$52,300 ⁺

Should additional work be performed, NV&A's fee schedule and general conditions shall apply (see attached).

Should you have any questions, please do not hesitate to contact me at (83 1) 469-4823.

Simerely, NICHOLS . VALLERGA & ASSOCIATES

naug R. Erehul

May R. Erchul Division Manager

Attichments (2)

Nichols • Vallerga & Associates Pavement & Materials Engineering

(378)P.O. BOX 420807, SAN FRANCISCO, CA 94142-0807 **CERTIFICATE OF WORKERS' COMPENSATION INSURANCE** June 28, 1999 POLICY NUMBER: 1410425-98 128 20 57 SICATE EXPIRES: 12-1-99 JES ACCT JUN 1999 County of Santa Cruz Public Works GECEIVED PUBLIC WORKS DEPT SANTA CRUZ, CA. Attn: John Swenson 701 Ocean St. Santa Cruz, CA 95060 This is to certify that we have issued a valid Workers' Compensation insurance policy in a form approved by the California Insurance Commissioner to the employer named below for the policy period indicated. 3 0 This policy is not subject to cancellation by the Fund except upon 略相 days' advance written notice to the employer. 30 We will also give you P2W days' advance notice should this policy be cancelled prior to its normal expiration. This certificate of insurance is not an insurance policy and does not amend, extend or alter the coverage afforded by the policies sted herein. Notwithstanding, any requirement, term, or condition of any contract or other document with respect to which this certificate of insurance may be issued or may pertain, the insurance afforded by the policies described herein is subject to all the terms, exclusions and conditions of such policies. AUTI ZED REPRESENTATIVE RESIDENT and the second second EMPLOYER'S LIABILITY LIMIT INCLUDING DEFENSE COST: \$1,000,000 PER OCCURRENCE ENDORSEMENT #2065 ENTITLED CERTIFICATE HOLDERS' NOTICE EFFECTIVE 2-01-98 IS ATTACHED TO AND FORMS A PART OF THIS POLICY. EMPLOYER Nichols Consulting & Engineers CHTD dba Nichols Vallerga & Assoc. 1885 S. Arlington #111 Reno, NV 89509 THIS DOCUMENT HAS A BLUE PATTERNED BACKGROUND SCIE 10262 (REV. 3-9

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PRODUCER		CERTIFICATE OF LIABILITY INSURANCE CHO-1 06/29/99 THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR							
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1	COUNTY OF SANTA CRUZ
	REQUEST FOR APPROVALOF AGREEMENT 379
TO: Board of Supervisi County Administr	
County Counsel	$ A A + M_{-}$ $A = A = A = A = A = A = A = A = A = A $
Auditor-Controlle	(Signature) (Date)
The Board of Supervis	ors is hereby requested to approve the attached agreement and authorize the execution of the same.
1. Said agreement is	Detween the COUNTY OF SANTA CRUZ (Agency)
NI	CHOLS CONSULTING ENGINEERS
	4 WEST WALNUT AVENUE, SUITE 201, SANTA CRUZ, CA 95060 (Name & Address)
2. The agreement wil	provide UPDATE THE COUNTY'S PAVEMENT MANAGEMENT SYSTEM BY SURVEYING
100 PERCEN	I OF ARTERIALS AND COLLECTORS, AND 50 PERCENT OF RESIDENTIAL ROUTES.
3. The agreemeen is	needed BECAUSE THE WORK CAN BE DONE MOST EXPEDITIOUSLY BY CONTRACT
4 Period of the store	ement is from <u>BOARD APPROVAL</u> to <u>JUNE 30, 2000</u>
5. Anticipated cost i	5 \$ <u>\$52,300</u> (Fixed amount; Monthly rote; Not to exceed)
6. Remarks:	RACT \$52,300; OVERHEAD @ 7% \$3,661; TOTAL CONTRACT \$55,961
Anula	8/3/99 6
A	622130 12260 #136651 \$17,259
7. Appropriations are	budgeted in 622135 !22706! 3665! \$22,489 (Index#) 3590 (Subobject) 622140 !22806! 3665! \$12,552
	IOTE: IF APPROPRIATIONS ARE INSUFFICIENT, ATTACH COMPLETED FORM AUD-74
Appropriations (available and have been encumbered. Contract No. <u>CO 91897</u> Date <u>7/22/99</u>
are no	GARY A KNUTSON Auditor - Controller
	By Rmald Lilvo Deputy
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Proposal reviewed ar DIRECTOR (DF 1	g approved. It is recommended that the Board of Supervisors approve the agreement and authorize the UBLIC WORKS DEPARTMENT
	(A gama)
Remarks:	(AUEIICY). County Administrative Officer
	(Analyst) By Date
Agreement opp oved	as to form. Dote
JES:mg	
Distribution:	
Bd. of Supy Whit: Auditor-Controller	Blue
County Counsel - C	reen * County of Santa Cruz
Co. Admin. Officer Auditor-Controller	Canary Pink State of California, do hereby certify that the foregoing request for approval of agreement was approved by
Originating Dept	said Board of Supervisors as recommended by the County Administrative Officer by an order duly entered
*To Orig. Dept. if	
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