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# County of Santa Cruz

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## PERSONNEL DEPARTMENT

701 OCEAN STREET, SUITE 310, SANTA CRUZ, CA 950604073

(631) 454-2600 FAX: (831) 454-2411 TDD: (831) 454-2123

DANIA TORRES WONG, DIRECTOR

September 16, 1999

AGENDA: September 28, 1999

### BOARD OF SUPERVISORS

County of Santa Cruz  
701 Ocean Street  
Santa Cruz, CA 95060

### ANNUAL CERTIFICATION OF FINANCIAL RESPONSIBILITY FOR UNDERGROUND STORAGE TANKS CONTAINING PETROLEUM

Dear Members of the Board:

Each year your Board must approve the submission of a Certification of Financial Responsibility for Petroleum Underground Storage Tanks as required by Federal Environmental Protection Agency (EPA) and State Water Resources Control Board (SWRCB) regulations. Under these regulations, within 120 days of the close of each financial reporting year, the County must demonstrate financial responsibility for its petroleum underground storage tanks (USTs). There is a variety of mechanisms that can be used, which are addressed in the regulations, one of which is a State Fund set up for this purpose.

In order to use the Fund, the County must certify financial responsibility for the first \$10,000 per occurrence and aggregate, exclusive of the Fund. This certification can be accomplished through any one of several means specified by the EPA and promulgated by the SWRCB. The mechanism known as the "worksheet test" has been used in prior years because it was the least restrictive option and clearly demonstrated financial responsibility compliance. We are recommending this method be used again to demonstrate financial responsibility for the current reporting period.

All of the formulas and calculations that comprise this test are specifically set forth in the EPA regulations. The worksheet test must be accompanied by a letter from the Chief Financial Officer in support of the use of the test. The Auditor-Controller has signed the attached letter based on the test results verified by his staff.

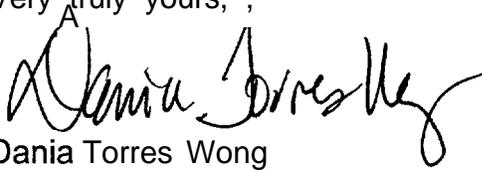
Letter to the Board of Supervisors  
County of Santa Cruz  
September 16, 1999  
Agenda Date: September 28, 1999

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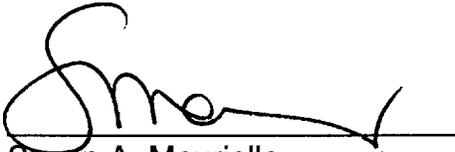
It is RECOMMENDED that your Board approve and authorize the County Administrative Officer to sign the attached Certification of Financial Responsibility for Petroleum Underground Storage Tanks.

Very truly yours, ,



Dania Torres Wong  
Personnel Director

RECOMMENDED:



Susan A. Mauriello  
County Administrative Officer

cc: Auditor-Controller  
Health Services Agency - Environmental Health  
Personnel Department

Attachments

DTW:JM:pb



State of California  
State Water Resources Control Board

For Regulatory Agency Use Only

# CERTIFICATION OF FINANCIAL RESPONSIBILITY

FOR UNDERGROUND STORAGE TANKS CONTAINING PETROLEUM

A. I am required to demonstrate Financial Responsibility in the required amounts as specified in Section 2807, Chapter 18, Div. 3, Title 23, CCR:  
 500,000 dollars per occurrence AND  1 million dollars annual aggregate  
 or or  
 1 million dollars per occurrence or  2 million dollars annual aggregate

3. The County of Santa Cruz hereby certifies that it is in compliance with the requirements of Section 2807,

(Name of Tank Owner or Operator)

Article 3, Chapter 18, Division 3, Title 23, California Code of Regulations.

The mechanisms used to demonstrate financial responsibility as required by Section 2807 are as follows:

Mechanism Type	Name and Address of issuer	Mechanism Number	Coverage Amount	Coverage Period	Corrective Action	Third Party Comp.
FUND WORKSHEET TEST		NONE NONE	\$990,000 1 0 , 0 0 0			

Note: If you are using the State Fund as any part of your demonstration of financial responsibility, your execution and submission of this certification also certifies that you are in compliance with all conditions for participation in the Fund.

D. Facility Name See attached list.	Facility Address
Facility Name	Facility Address

E. Signature of Tank Owner or Operator	Date	Name and Title of Tank Owner or Operator Susan A. Mauriello, CAO
Signature of Witness or Notary	Date	Name of Witness or Notary Susan M. Rosario, Clerk of the Board



# County of Santa Cruz <sup>0006</sup>

AUDITOR-CONTROLLER'S OFFICE  
701 OCEAN STREET, SUITE 100, SANTA CRUZ, CA 95060-4073  
(831) 454-2500 FAX (831) 454-2660

GARY A. KNUTSON, AUDITOR-CONTROLLER

Chief Deputy Auditor-Controllers

Pam Silbaugh, Accounting

Suzanne Young, Audit and Systems

Kathleen Hammons, Budget and Tax

August 24, 1999

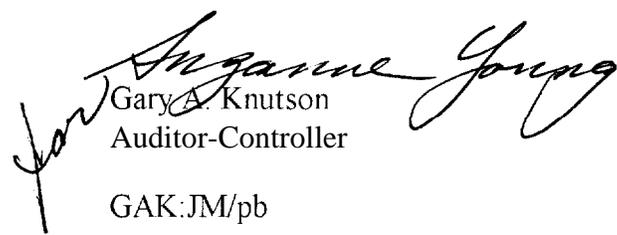
To Whom it May Concern:

I am the Chief Financial Officer of the County of Santa Cruz, 701 Ocean Street, Santa Cruz, California. This letter is in support of the use of the local government financial test to demonstrate financial responsibility for taking corrective action and compensating third parties for bodily injury and property damage caused by sudden accidental releases, or nonsudden accidental releases in the amount of at least \$10,000 per occurrence and \$10,000 annual aggregate arising from operating underground storage tanks.

Underground storage tanks at the following facilities are assured by this financial test:

		Number of Tanks
Information Services	70 I Ocean Street Santa Cruz, CA 95060	1
GSD Fleet Operations	69 I Ocean Street Santa Cruz, CA 95060	1
DP W Maintenance Yard	20 I Hihn Street Felton, CA 95018	2
DPW Maintenance Yard	2700 Brommer Street Santa Cruz, CA 95062	2

This owner or operator has not received an adverse opinion or a disclaimer of opinion from an independent auditor on it's financial statements for the latest completed fiscal year. Any outstanding issues of general obligation or revenue bonds, if rated, have a Moody's rating of Aaa, Aa, A, or Baa or a Standard and Poor's rating of AAA, AA, A, or BBB; if rated by both firms, the bonds have a Moody's rating of Aaa, Aa, A or Baa and a Standard and Poor's rating of AAA, AA, A or BBB.

  
Gary A. Knutson  
Auditor-Controller

GAK:JM/pb