

### County of Santa Cruz

#### **BOARD OF SUPERVISORS**

701 OCEAN STREET, SUITE 500, SANTA CRUZ, CA 95060-4069 (831) 454-2200 FAX: (831) 454-3262 TDD: (831) 454-2123

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THIRD DISTRICT

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JEFF ALMQUIST FIFTH DISTRICT

AGENDA: 12/14/99

December 7, 1999

BOARD OF SUPERVISORS County of Santa Cruz 701 Ocean Street Santa Cruz, CA 95060

RE: LOCAL PLANNING COUNCIL COUNTY PRIORITIES

Dear Members of the Board:

Assembly Bill 1857, which became effective on January 1, 1999, requires that Local Child Care Planning Councils undertake various steps to ensure that their decision making and priority setting processes are in compliance in meeting the needs of underserved areas of the community. While Local Child Care Planning Councils have submitted priorities to the California Department of Education, Child Development Division, in the past, with the passage of AB 1857, those previously set priorities may no longer comply with the law.

As indicated in the attached letter, the Santa Cruz County Child Care Planning Council convened a Priorities Subcommittee to review the County's priorities and ensure their conformance with State law. The Subcommittee determined that changes were necessary. The changes were approved by the Child Care Planning Council at their meeting on December 2, 1999, and the revised Local Planning Council County Priorities Report Form showing the changes is attached.

In order for these revised priorities to be transmitted to the State, I recommend that the Board direct the Chairperson to sign the revised priorities form as required by the State Department of Education and authorize the Chairperson of the Local Child Care Planning Council to submit the document to the State.

Sincerely,

JEFF ALMOUIST, Charperson Board of Supervisors

JA:ted Attachments

cc: Local Child Care Planning Council

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## Santa Cruz County CHILD CARE PLANNING COUNCIL

OR

c/o Children's Commission 701 Ocean Street, room 30 Santa Cruz, CA 95060 (831) 454-2102 c/o Child Development Programs 809-H Bay Avenue Capitola, CA 95010 (831) 479-5320

0266

December 1, 1999

AGENDA: December 14, 1999

Board of Supervisors County of Santa Cruz 701 Ocean Street Santa Cruz, CA 95060

Local Planning Council County Priorities Report Form

Dear Members of the Board:

The purpose of this letter is to request that you approve the attached Local Planning Council County Priorities Report Form and authorize the Local Child Care Planning Council Chairperson to submit the form to the Child Development Division of the California State Department of Education. The Report Form is due to the Child Development Division by December 20, 1999.

The Santa Cruz County Child Care Planning Council has convened a Priorities Subcommittee in order to review County priorities in light of new state requirements and changing child care needs in the County of Santa Cruz. The Council is in the process of developing a Needs Assessment and the subcommittee has used the most recent data to determine these priorities. Your Board approved the existing priorities determined by the CCPC on October 20,1998. These priorities were determined using both zip code and geographical regions. Under new state requirements, all counties will make priorities based on zip codes only.

IT IS THEREFORE RECOMMENDED THAT YOUR BOARD authorize the Chair of the Board of Supervisors to sign the revised priorities form as required by the State Department of Education, and

authorize the Chair of the Planning Council to submit the document to the appropriate agency by the required due date.

Very Truly Yours,

Jim Marshall

Child Care Planning Council Coordinator

cc: Diane Siri - County Superintendent of Schools

### Local Planning Council (LPC) County Priorities Report Form

0267

#### Return to:

Local Planning Council Team Child Development Division 560 -J Street, Suite 220 Sacramento, California 958 14

Date Due: December 20.1999

Please complete all the information requested below:	
County Name:	LPC Coordinator Name and Telephone Number:
Santa Cruz	Marcia <b>Meyer (831)</b> 479-5320

The LPC hereby certifies that the priorities submitted for the State Preschool Expansion effort advertised by Management Bulletin 98-28 (mb), December 1998, have been examined using the instructions given in Management Bulletin 99-21 (mb), November, 1999, and has determined that those priorities are:

Still valid and no change is needed. (Only LPC Chairperson signature is needed.)

Revised and are as follows: State Preschool Expansion

Priority 1 South County Area Zip Codes 35076 & 95019

**Priority 2** City of Santa Cruz Zip Code 95060

Priority 3 Mid County Area Zip Codes 95010, 95003, & 95073

(Attach additional pages if needed.)

SIGNATURES *		
Authorized Representative - County Board of Supervisors	Telephone Number	Date
Authorized Representative-County Superintendent of Schools	Telephone Number	Date
Maar / Jiri	(831) 479-5220	12/8/99
Local Child Care Planning Council Chairperson	Telephone Number	Date
Lim Logger	(408) 277-2051	12-3-99

<sup>\*</sup> Instructions for Signatures

If the priorities previously submitted are still valid, only the LPC Chairperson signature is required.

If revised priorities are being submitted, it is a local decision whether the changes are significant enough to require approval by the authorized representatives of the County Board of Supervisors and the County Superintendent of Schools or whether they approved solely by the LPC Chairperson.



California Department of Education - Child, Youth and Family Services Branch

# Child Development Division

### MANAGEMENT BULLETIN

Main Office Number (916) 322-6233

Subject: Establishing Priorities for Program Expansion	No.: 99-21 (mb)
Authority: <i>Education</i> Code: Section 8208 (ff), Section 8289, and Division 1, Part 6, Chapter 2.3 (Section 8499 et seq.)	Date: November 1999
	Expires: Until Rescinded

ATTENTION: LOCAL CHILD CARE PLANNING COUNCIL CHAIRPERSONS AND LOCAL CHILD CARE PLANNING COUNCIL COORDINATORS

#### **PURPOSE**

The purpose of this Management Bulletin is to transmit information about the impact of Assembly Bill (AB) 1857, Chapter 655, Statutes of 1998 by Assemblymember Escutia. This bulletin will identify policy and procedural changes needed at the State and local levels to implement the provisions of this new law, which became effective January 1, 1999.

#### **BACKGROUND**

In *Education* Code Section 8289, the Legislature has directed the California Department of Education (CDE) to "...disburse augmentations to the base allocation for the expansion of child care and development services to promote equal access to child development across the state." CDE has been fully supportive of this intent and has developed allocation formulas in prior Requests for Applications (RFA) that promote this equalization. Nevertheless, recent research studies confirm that significant gaps still exist between the location of child care and development services and the location of families needing those services. The intent of AB 1857 is to assure that new funds are targeted to the most underserved areas of California.

Education Code Section 8499.5 (e), states, "The department shall allocate funding within each county in accordance with the priorities identified by the local planning council of that county and submitted to the department pursuant to this section, unless the priorities do not meet the requirements of state or federal law."

Education Code Section 8499.5 (b) (6) requires Local Child Care Planning Councils (LPCs) to conduct a periodic review of child care programs funded by the CDE and by the California Department of Social Services to determine if identified priorities are being met.

Existing Education Code provisions (including, in particular, Section 8499.5) specify ongoing responsibilities of LPCs. These include the identification of priorities for each type of service needed and the development of a comprehensive countywide plan for child care and development services. Each time that CDE announces the availability of new funds, LPCs are expected to examine the data in their needs assessments and their previously developed and approved-countywide-priorities and make a-determination whetherthe tocal priorities previously submitted to CDE are still valid. If they are not still valid, CDE must be informed of the revised priorities.

AB 1857 has revised several sections of the California *Education* Code relating to how expansion funding for child care and development services is to be distributed in California. This statute requires that the formula developed by CDE shall give priority for allocating funds to "underserved areas" in order to promote equal access to services. The formula is to be developed using the definition of "underserved area" in *Education* Code Section 8208 (ff) along with direct impact indicators of need for child care and development services in each county or subcounty area. The definition of "underserved area" is as follows:

"Underserved area" means a county or subcounty area, including, but not limited to, school districts, census tracts, or ZIP Code areas, where the ratio of publicly subsidized child care and development program services to the need for these services is low, as determined by the Superintendent of Public Instruction."

Please note that the intent of the Legislature is to include those areas that are <u>unserved</u> within the term, <u>undersetved</u>.

Attachment C to this Management Bulletin summarizes the statutory changes as a result of AB 1857.

#### **POLICY**

AB 1857 became effective on January 1, 1999. LPCs must undertake the following steps to assure that their local decision making and priority setting processes are in compliance with this new law.

1. Regarding data gathering to determine local priorities: CDE/CDD recommends that LPCs collect data according to all of the various methods that data might be aggregated; i.e., by school district, census tract, and zip code, as well as other subcounty groupings that might be appropriate or unique to each county. If at this time the LPC is unable to gather data for all of these possible subcounty areas, the CDE/CDD is requesting that the LPC identify local priority areas or subcounty areas by zip codes. CDD is aware of research issues related to the limitations of using zip codes for planning purposes. However, it is one of the best tools available at this time. The new Census in 2000 will be linked with zip codes. If you are in a rural area, it is acceptable to list the name of the town and the associated zip code.

- 2. The LPC must apply and utilize the new definition of "underserved area" which is found in Education Code Section 8208 (ff) and is also referenced on page 2 of the Management Bulletin. Priorities should be determined by placing areas of the county (school district, census tract, zip codes, etc.) in rank order based on each area's ratio of unserved (i.e., need) to served (i.e., current resources). Since the law now mandates that-child care and development funding be directed first to the most underserved areas in the state, it is no longer acceptable to designate an entire county as priority one. Specific data sources to be used in identifying need/demand include, but are not limited to, numbers of subsidized slots; and numbers of eligible children by various age groups from the U.S. Census, birth rate data, Resource and Referral agencies, Policy Analysis for California Education (PACE), the latest Child Care Portfolio data, Community Care Licensing Division, Head Start, and/or other research based sources. The LPC should also take into consideration whether any recent program expansion has added slots that would alter previous counts of existing resources in a particular area of the county.
- 3. LPCs have submitted priorities to CDD in prior years. Most recently, in the fall of 1998, LPCs submitted priorities for State Preschool and General Child Care (infant and toddler) program funds to the CDE. With the passage of AB 1857, those previously set priorities may no longer be in compliance with the law. LPCs must now examine their existing priorities and determine whether or not these priorities are still valid, after applying the new definition of "underserved" and ranking underserved zip codes in priority order. If the LPC determines that the priorities the county previously submitted to the CDE/CDD are still valid, the priorities do not need to be approved again by the county board of supervisors and the county superintendent of schools. However, if after applying the new definition of "underserved" and ranking zip codes it is determined that the previously existing priorities are no longer valid, the LPC must revise the priorities. Then the decision must be made locally as to whether the revisions are significant enough to require a public hearing and/or to require that the new priorities be submitted to the county board of supervisors and county superintendent of schools for approval before submitting them to the CDD. Please note that if previously submitted priorities are still valid except that recent expansion funding has satisfied the highest priority(ies), it is not necessary to resubmit revised priorities. LPCs may simply inform CDD that the priorities need to be renumbered.
- 4. CDE has previously announced the availability of expansion funds this fiscal year for the State Preschool Program. Therefore, in order to target funding to the most underserved areas of California, LPCs are required to submit the "LPC County Priorities Report Form" specifically for State Preschool services. This form is supplied as Attachment A to this Management Bulletin and is due to CDD by December 20.1999. The address for submission is specified on the Form.

5. If the CDE/CDD determines that the LPC has not identified underserved areas in accordance with statute or does not appropriately gather or utilize data in establishing its priorities for child care services, the LPC can be found out of compliance with State law. Furthermore, the LPC priorities will not be followed.

Questions regarding this Management Bulletin should be directed to Linda Patfitt at (916) 322-1048 or Margaret Shortt at (916) 323-1345 in the Child Development Division.

Maria Balakshin, Director Child Development Division

Kathy B. Lewis, Deputy Superintendent Child, Youth and Family Services Branch

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#### **Attachments**

A. LPC County Priorities Report Form

B. Education Code Section 8289

C. AB 1857 Chart

D. Questions and Answers

## Education Code Section 8289 as Amended by Chapter 655, Statutes of 1998 (AB 1857)

Effective Date: January 1, 1999

- 8289. (a) The State Department of Education shall disburse augmentations to the base allocation for the expansion of child care and development programs to promote equal access to child development services across the state.
- (b) The Superintendent of Public Instruction shall use the formula developed pursuant to subdivision (c) and the priorities identified by local child care and development planning councils, unless those priorities do not meet the requirements of state or federal law, as a guide in disbursing augmentations pursuant to subdivision (a).
- (c) The Superintendent of Public Instruction shall develop a formula for prioritizing the disbursement of augmentations pursuant to this section. The formula shall give priority to allocating funds to underserved areas. The Superintendent of Public Instruction shall develop the formula by using the definition of "underserved area" in subdivision (ff) of Section 8208 and direct impact indicators of need for child care and development services in the county or subcounty areas. For purposes of this section, "subcounty areas" include, but are not limited to, school districts, census tracts, or ZIP Code areas that are deemed by the Superintendent of Public Instruction to be most appropriate to the type of program receiving an augmentation. Direct impact indicators of need may include, but are not limited to, the teenage pregnancy rate, the unemployment rate, area household income, or the number or percentage of families receiving public assistance, eligible for Medi-Cal, or eligible for free or reduced-price school meals, and any unique characteristics of the population served by the type of program receiving an augmentation.
- (d) To promote equal access to services, the Superintendent of Public Instruction shall include in guidelines developed for use by local planning councils pursuant to subdivision (d) of Section 8499.5 guidance on identifying underserved areas and populations within counties. This guidance shall include reference to the direct impact indicators of need described in subdivision (c).



#### AB 1857 - COMPARISON OF STATUTES BEFORE AND AFTER ENACTMENT

SECTION/TOPIC	BEFORE ENACTMENT	AFTER ENACTMENT
General Fund Carryover		Revises priorities and adds as a third priority the ability to expend funds on "implementation of capacity building activities, which include new facilities, training, and technical assistance
Legislative Intent Language	No change	No change
Method to Develop Formula to Promote Equal Access to Services	Use indirect indicators of need for child care. More specific indicators were to be substituted for or included in the formula as they became available.  Combine data on a county-by-county basis (counties larger than 1 million could be subdivided) to determine each county and subcounty unit's relative need for child care services.	Formula shall give priority to allocating funds to underserved areas. Formula shall be developed using the definition of "underserved area" in Education Code 8208(ff) and direct impact indicators of need for child care and development services in the county or subcounty areas.  "Subcounty areas" is defined to include, but not be limited to, school districts, census tracts, or ZIP code areas that are deemed by the SSPI to be most appropriate to the type of program receiving an augmentation.  Direct indicators of need are defined to include, but not be limited to, the teenage pregnancy rate, the unemployment rate, area household income, or the number or percentage of families receiving public assistance, eligible for Medi-Cal, or eligible for free or reduced-price school meals, or any unique characteristics of the population served by the type of program receiving an augmentation.
		The SSPI is required to use the formula developed as described above and the priorities identified by local child care and development planning councils (LPCs), unless those priorities do not meet requirements of state or federal law, as a guide in disbursing augmentations.

SECTION/TOPIC	BEFORE ENACTMENT	AFTER ENACTMENT
Guidance to LPCs		The SSPI is required to include guidance on identifying underserved areas and populations within counties in guidelines developed for use by LPCs.
Determining Allocations	Combined need compared with available public resources shall guide the State Department of Education in determining the portion of new funds which will be available to applicant agencies from each county or subcounty unit. The size of those portions "shall promote equal accessacross the state by bringing the relative dollar amounts in each county or subcounty unit into closer congruence with the relative need for child care services in each county or subcounty unit."	The State Department of Education will determine the total dollar amount for each county or subcounty unit.
Changes to LPC Section	Funds are to be allocated on a county-by-county basis, within all counties, based on the amount of funding available.	CDE shall allocate funding based on the amount of funding available.

April 16, 1999

0274

## MANAGEMENT BULLETIN 99-21 QUESTIONS AND ANSWERS

- 1. Do we need to hold a public hearing and/or submit the priorities to the board of supervisors and the county office of education if our local priorities for State Preschool have not changed since they were submitted in 1998-1999?
  - If the priorities that were submitted for Management Bulletin 98-28 issued
    December 1998 are still current, accurate, and listed in zip code priority order
    you will not need to hold a hearing or submit the priorities to the board of
    supervisors and the county office of education. The chairperson of the local LPC
    must indicate this by checking the appropriate box on the County Priorities Form
    (Attachment A) and signing it.
- 2. In the fall of 1998, the LPC submitted "countywide" priorities. Is this O.K?
  - NO. If the LPC submitted "countywide" priorities in 1998, they will need to identify areas and subcounty areas to comply with AB 1857.
- 3. Can we group zip codes together by priority if they have similar ratios of underserved children?
  - The LPC can group zip code priorities together if they have similar ratios of underserved children. Once zip codes are identified and the need vs. resources ratio is applied, local decisions need to be made to determine if zip code areas naturally can be grouped together.
- 4. Do we identify priority zip code areas where the families and children live or where they work?
  - For the purpose of establishing priorities for State Preschool, identify the priority zip codes where families and children live. The LPCs need to determine where underserved communities are located within the county.

- 5. If a center provides services to children from a variety of zip code areas, how do we determine which areas are underserved?
  - The LPC needs to review all of the data they have collected to determine the ratio of children who are currently receiving services to the need for services. The LPC needs to exercise judgement in the area based on their knowledge of the service delivery area and current funding for State Preschool programs in the county. The LPC needs to determine how many State Preschool slots exist in the county and where the children are from to determine how many children are currently receiving services in each zip code area.
- 6. Is the purpose of establishing priorities to identify the locations where new State Preschool centers need to be located when the funding is distributed?
  - Not directly. The purpose of establishing priorities is to determine where the
    most underserved children are located. Then, in a separate RFA process,
    agencies will have an opportunity to propose program sites to serve these
    children, and these sites may or may not be located within the highest priority zip
    codes.
- 7. What do we do if our LPC and/or the board of supervisors and the county office of education insist that our entire county is "underserved" and want to maintain "countywide" need as a priority one area? What if they refuse to sign anything else?
  - Everyone is expected to comply with the new State law. Please note that it is highly unlikely that every part of a county has the same number of eligible children, and therefore, has the same demand for services. *Example:* Even if a county had no State Preschool services whatsoever, it should be appropriate to identify one or more areas as being a higher priority for new funding, because they have a greater number of eligible children; whereas one or more areas with fewer eligible children would have lower priority(ies).