

County of Santa Cruz 0167

PLANNING DEPARTMENT

701 OCEAN STREET - 4TH FLOOR, SANTA CRUZ, CA 95060 (831) 454-2580 FAX: (831) 454-2131 TDD: (831) 454-2123 ALVIN D. JAMES, DIRECTOR

January 3 1,200 1

Agenda: February 13, 2001

Board of Supervisors County of Santa Cruz 701 Ocean Street Santa Cruz, CA 95060

AMENDMENT TO CONTRACT WITH YORAM J. LITWIN, Ph.D. AS RAMLIT ASSOCIATES FOR WATER RESOURCES CONSULTING SERVICES

Members of the Board:

For a number of years, the County Planning Department has utilized the services of an independent contractor, Yoram J. Litwin, Ph.D., for water resource consulting services regarding: systems analysis, groundwater modeling, and hydrogeologic data compilation and analysis. Since 1995 Dr. Litwin has provided these services at a rate of \$85 per hour, as specified in the agreement.

The contractor has requested a \$10 per hour increase in the hourly rate for his services, to a new rate of \$95 per hour. Although this contract is on the Continuing Agreements List for the fiscal year 2000-01, changes in rates require approval by your Board. We have reviewed this request and are recommending that your Board approve the proposed rate change. A copy of the amended contract is included with this letter as Attachment 1 for your review.

In addition, Dr. Litwin is now doing business as RAMLIT Associates and we recommend making this name change to the contract. It is therefore RECOMMENDED that your Board approve the rate change charged by RAMLIT Associates for the ongoing geologic consulting services to \$95 per hour.

Sincerely,

ALVIN D. JAMES Planning Director

Attachment: Contract with ADM-29

RECOMMENDED

SUSAN A. MAURIELLO County Administrative Officer

Vendor 113596	REQUEST FOR APPROVAL OF AGREEMENT	0168
TO: Boa d of Supervisors County Administrative Officer County Counsel Auditor-Controller	FROM: Planning hanning	(Dept.) (Dept.) (Date)
The Boa d of Supervisors is hereby re	quested to approve the attached agreement and authoriz	ze the execution of the same.
	a Cruz Flood & Water Conservation Dist	
	4 University Avenue, Suite 301 Berkeley,	
<u>as needed</u> , on water	lting services in the Santa Margarita Gr resource monitoring, modeling and	
water supply planning as Margarita Ground Water B	ate/revise well database and provide Wate sistance on an as-needed basis in the Par asin and else where in the County.	
 Pericd of the agreement is from Anticipated cost is \$ 27, 500 	<u>(F-3011)</u>	
6. Remarks: <u>On continuing Agr</u>	e <u>ement_List</u> for 2000-0 <u>1</u>	
	eement_List for 2000-0 <u>1</u>	
7. Appropriations are budgeted in 13 NOTE: IF APP Appropri stions are not available and	5462 ROPRIATIONS ARE INSUFFICIENT, ATTACH COMPL nave been encumbered. Contract No. 01610	_(Index#)_3665(Subobject) ETED FORM AUD-74 Date
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Contract No. 01610

INDEPENDENT CONTRACTOR AGREEMENT

THIS CONTRACT is entered into this 1st day of July, 2000, by and between the **COUNTY OF SANTA CRUZ**, hereinafter called **COUNTY**, and **RAMLIT Associates**, hereinafter called **CONTRACTOR**. The parties agree as follows:

1. <u>DUTIES</u>. CONTRACTOR agrees to exercise special skill to accomplish the following result: **To provide as needed consulting services on water resource monitoring, modeling and management in the Santa Margarita Groundwater Basin and elsewhere as needed in the County.**

2. <u>COMPENSATION.</u> In consideration for CONTRACTOR accomplishing said result, COUNTY agrees to pay CONTRACTOR as follows: **On a time and materials basis of \$95.00 (ninety-five) dollars per hour and direct cost (i.e., travel, meals, lodging, telephone, printing, graphic materials) at cost plus a 10% (ten percent) administrative charge.**

3. <u>TERM.</u> The term of this contract shall be: July 1, 2000 to June 30, 2001.

4. <u>EARLY TERMINATION</u>. Either party hereto may terminate this contract at any time by giving 30 days written notice to the other party.

5. <u>INDEMNIFICATION FOR DAMAGES, TAXES AND CONTRIBUTIONS.</u> CONTRACTOR shall exonerate indemnify defend and hold harmless COUNTY (which for the purpose of paragraphs 5 and 6 shall include, without limitation, its officers, agents, employees and volunteers) from and against:

A. Any and all claims, demands, losses, damages, defense costs, or liability of any kind or nature which COUNTY may sustain or incur or which may be imposed upon it for injury to or death of persons, or damage to property as a result of, arising out of, or in any manner connected with the CONTRACTORS performance under the terms of this Agreement, excepting any liability arising out of the sole negligence of the COUNTY. Such indemnification includes any damage to the person(s), or property(ies) of CONTRACTOR and third persons.

Any and all Federal State and Local taxes charges fees, or contribution: required to be paid with respect to CONTRACTOR and CON-TRACTOR'S officers, employees and agents engaged in the performance of this Agreement (including, without limitation, unemployment insurance, social security and payroll tax withholding).

6. <u>INSURANCE</u>. CONTRACTOR, at its sole cost and expense, for the full term of this Agreement (and any extensions thereof), shall obtain and maintain at minimum compliance with all of the following insurance coverage(s) and requirements. Such insurance coverage shall be primary coverage as respects COUNTY and any insurance or self-insurance maintained by COUNTY shall be excess of CONTRACTOR'S insurance coverage and shall not contribute to it.

If CONTRACTOR utilizes one or more subcontractors in the performance of

this Agreement, CONTRACTOR shall obtain and maintain Independent Contractor's Insurance as to each subcontractor or otherwise provide evidence of insurance coverage for each subcontractor equivalent to that required of CONTRACTOR in this Agreement, unless CONTRACTOR and COUNTY both initial here.

A. Types of Insurance and Minimum Limits

(1) Worker's Compensation in the minimum statutorily required coverage amounts. This insurance coverage shall not be required if the CONTRACTOR has no employees and certifies to this fact by initialing here_____.

(3) Comprehensive or Commercial General Liability Insurance coverage in the minimum amount of \$1,000,000 combined single limit, including coverage for: (a) bodily injury, (b) personal injury, (c) broad form property damage, (d) contractual liability, and (e) cross- liability.

(4) Professional Liability Insurance in the minimum amount of combined single limit, if, and only if, this Subparagraph is initialed by CONTRACTOR and COUNTY _____I

B. <u>Other Insurance Provisions</u>

(1) If any insurance coverage required in this Agreement is provided on a "Claims Made" rather than "Occurrence" form, CONTRACTOR agrees to maintain the required coverage for a period of three (3) years after the expiration of this Agreement (hereinafter "post agreement coverage") and any extensions thereof. CONTRACTOR may maintain the required post agreement coverage by renewal or purchase of prior acts or tail coverage. This provision is contingent upon post agreement coverage being both available and reasonably affordable in relation to the coverage provided during the term of this Agreement. For purposes of interpreting this requirement, a cost not exceeding 100% of the last annual policy premium during the term of this Agreement in order to purchase prior acts or tail coverage for post agreement coverage shall be deemed to be reasonable.

(2) All required Automobile and Comprehensive or Commercial General Liability Insurance shall be endorsed to contain the following clause:

"The County of Santa Cruz, its officials, employees, agents and volunteers are added as an additional insured as respects the operations and activities of, or on behalf of, the named insured performed under Agreement with the County of Santa Cruz."

(3) All required insurance policies shall be endorsed to contain the following clause:

"This insurance shall not be canceled until after thirty (30) days prior written notice has been given to:

Santa Cruz County Planning Attention: Fiscal 701 Ocean Street, Room 418 Santa Cruz, CA 95060

(4) CONTRACTOR agrees to provide its insurance broker(s) with a full copy of these insurance provisions and provide COUNTY on or before the effective date of this Agreement with Certificates of Insurance for all required coverages. All Certificates of Insurance shall be delivered or sent to:

> Santa Cruz County Planning Attention: Fiscal 701 Ocean Street, Room 418 Santa Cruz, CA 95060

7. <u>EQUAL EMPLOYMENT OPPORTUNITY</u>. During and in relation to the performance of this Agreement, CONTRACTOR agrees as follows:

A. The CONTRACTOR shall not discriminate against any employee or applicant for employment because of race, color, religion, national origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, sexual orientation, age (over 18), veteran status, gender, pregnancy, or any other non-merit factor unrelated to job duties. Such action shall include, but not be limited to, the following: recruitment; advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training (including apprenticeship), employment, upgrading, demotion, or transfer. The CONTRACTOR agrees to post in conspicuous places, available to employees and applicants for employment, notice setting forth the provisions of this non-discrimination clause.

B. If this Agreement provides compensation in excess of \$50,000 to CONTRACTOR and if CONTRACTOR employs fifteen (15) or more employees, the following requirements shall apply:

(1) The CONTRACTOR shall, in all solicitations or advertisements for employees placed by or on behalf of the CONTRACTOR, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, national origin, ancestry, physical or mental disability, medical condition (cancer related), marital status, sexual orientation, age (over 18), veteran status! . gender, pregnancy, or any other non-merit factor unrelated to job duties. In addition, the CONTRACTOR shall make a good faith effort to consider Minority/Women/Disabled Owned Business Enterprises in CONTRACTOR's solicitation of goods and services. Definitions for Minority/Women/Disabled Business Enterprises are available from the COUNTY General Services Purchasing Division.

(2) The CONTRACTOR shall furnish COUNTY Affirmative Action Office information and reports in the prescribed reporting format (PER 4012) identifying the sex, race, physical or mental disability, and job classification of its employees and the names, dates and methods of advertisement and direct solicitation efforts made to subcontract with Minority-Women/Disabled Business Enterprises.

(3) In the event of the CONTRACTOR's non-compliance with the non-discrimination clauses of this Agreement or with any of the said rules, regulations, or orders said CONTRACTOR may be declared ineligible for further agreements with the COUNTY.

(4) The CONTRACTOR shall cause the foregoing provisions of this Subparagraph 7B. to be inserted in all subcontracts for any work covered under this Agreement by a subcontractor compensated more than \$50,000 and employing more than fifteen (15) employees, provided that the foregoing provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.

8. <u>INDEPENDENT CONTRACTOR STATUS</u>. CONTRACTOR and COUNTY have reviewed and considered the principal test and secondary factors below and agree that CONTRACTOR is an independent contractor and not an employee of COUNTY. CONTRACTOR is responsible for all insurance (workers compensation, unemployment, etc.) and all payroll related taxes. CONTRACTOR is not entitled to any employee benefits. COUNTY agrees that CONTRACTOR shall have the right to control the manner and means of accomplishing the result contracted for herein.

<u>PRINCIPAL TEST</u>: The CONTRACTOR rather than COUNTY has the right to control the manner and means of accomplishing the result contracted for.

<u>SECONDARY FACTORS</u>: (a) The extent of control which, by agreement, COUNTY may exercise over the details of the work is slight rather than substantial; (b) CONTRACTOR is engaged in a distinct occupation or business; (c) In the locality, the work to be done by CONTRACTOR is usually done by a specialist without supervision, rather than under the direction of an employer; (d) The skill required in the particular occupation is substantial rather than slight; (e) The CONTRACTOR rather than the COUNTY supplies the instrumentalities, tools and work place; (f) The length of time for which CONTRACTOR is engaged is of limited duration rather than indefinite; (g) The method of payment of CONTRACTOR is by the job rather than by the time; (h) The work is part of a special or permissive activity, program, or project, rather than part of the regular business of COUNTY; (i) CONTRACTOR and COUNTY believe they are creating an independent contractor relationship rather than an employer-employee relationship; and (j) The COUNTY conducts public business.

It is recognized that it is not necessary that all secondary factors support creation of an independent contractor relationship, but rather that overall there are significant secondary factors which indicate that CONTRACTOR is an independent contractor.

By their signatures to this Agreement, each of the undersigned certifies that it is his or her considered judgment that the CONTRACTOR engaged under this Agreement is in fact an independent contractor.

9. <u>NONASSIGNMENT</u>. CONTRACTOR shall not assign this Agreement without the prior written consent of the COUNTY.

10. <u>RETENTION AND AUDIT OF RECORDS</u>. CONTRACTOR shall retain records pertinent to this Agreement for a period of not less than five (5) years after final payment under this Agreement or until a final audit report is accepted by COUNTY, whichever occurs first. CONTRACTOR hereby agrees to be subject to the examination and audit by the Santa Cruz County Auditor-Controller, the Auditor General of the State of California, or the designee of either for a period of five (5) years after final payment under this Agreement.

11. <u>PRESENTATION OF CLAIMS</u>. Presentation and processing of any or all claims arising out of or related to this Agreement shall be made in accordance with the provisions contained in Chapter 1.05 of the Santa Cruz County Code, which by this reference is incorporated herein.

12. <u>ATTACHMENTS</u>, This Agreement includes the following attachments (identify by name or write "NONE"): **Attachment A - Amendment of Automobile Liability Insurance Requirement; Attachment B - Amendment of Comprehensive or Commercial General Liability Insurance Requirement; Attachment C -Insurance Representations by Contractor.**

IN WITNESS WHEREOF, the parties hereto have set their hands the day and year first above written.

1. CONTRAÇTOR

Bv:

4. COUNTY OF SANTA CRUZ

Ву: _____

Address: **RAMLIT Associates** 2054 University Avenue, Suite 301 Berkeley, CA 94704

Telephone: 51 O-841 -1941

2. APPROVED AS TO INSURANCE:

By: Jonet McKinlew Risk Management Date: 2-7-2001

3. APPROVED AS TO FORM:

County Counsel

Date: 2.-7-2001

DISTRIBUTION: County Administrative Office Auditor-Controller County Counsel Risk Management Contractor

Attachment A

Contract No. 01610

AMENDMENT OF AUTOMOBILE LIABILITY INSURANCE REQUIREMENT

Subparagraph 6A(2) of Contract No. 01610 dated July 1, 2000, by and between County of Santa Cruz (hereinafter called COUNTY) and Mr. Yoram J. Litwin, Ph.D. (hereinafter called CONTRACTOR) is amended to read as follows:

Y.L. , 1/5/01 Reduction in Requirements

CONTRACTOR represents to COUNTY that it owns, operates or utilizes one or more personal vehicles and that the result which is to be accomplished under this Agreement does not require use of any such vehicle for other than CONTRACTOR'S personal transportation only (with no passengers, hazardous materials, or valuable (greater than \$5,000.00) property). In reliance on said representation COUNTY amends Section 6A(2) of said Agreement to require that said personal vehicles each have insurance coverage in the minimum amount of \$100,000.00 combined single limit per accident. COUNTY further reduces insurance requirements by permitting CONTRACTOR to comply with subparagraphs 6B(2), (3) and (4) by utilizing the attached "INSURANCE REPRESENTATIONS BY CONTRACTOR" form without request to or refusal by insurance providers as to those requirements. In all other respects, the Automobile Liability Insurance requirements of this Agreement remain in full force and effect.

The above paragraph(s) shall be operative if initialed by both parties in the space provided, effective $\frac{1}{\sqrt{2}}$.

CONTRACTOR	COUNTY OF SANTA CRUZ
By: Y.L.	By:

Attachment B

Contract No. 01610

AMENDMENT OF COMPREHENSIVE OR COMMERCIAL GENERAL LIABILITY INSURANCE REQUIREMENT

Subparagraph 6A(3) of Contract No. 01610, dated July 1, 2000, by and between County of Santa Cruz (hereinafter called COUNTY) and Mr. Yoram J. Litwin, Ph.D. (hereinafter called CONTRACTOR) is amended to read as follows:

. Guest Speaker Waiver

CONTRACTOR represents to COUNTY that it will accomplish the result required by this Agreement by manner and means similar to those employed by a guest speaker, namely by oral and documentary presentation to a group of persons such that no person will be exposed to reasonably forseeable risk of personal injury or property damage. In reliance thereon, COUNTY amends the Comprehensive or Commercial General Liability Insurance requirement of said Agreement by waiving same.

Y. (. 1 1/201 2. Teacher, Instructor, Trainer Waiver

CONTRACTOR represents to COUNTY that it will accomplish the result required by this Agreement by manner and means similar to those employed by a teacher, instructor, or trainer and subject to the following limitations: (1) the results will be accomplished entirely within a classroom setting; (2) no minors will be involved, or, if minors will be involved, the teaching, instructing, or training accomplished (and the activity(ies) involved) will be by such manner and means that a minor of the youngest age allowed to be involved will not be exposed to any reasonably foreseeable risk of personal injury; and (3) no person will be exposed to reasonably forseeable risk of personal injury or property damage. In reliance thereon, COUNTY amends the Comprehensive or Commercial General Liability Insurance requirements of said Agreement by waiving same.

Y.L. 1/5/01 3. General No Risk Waiver

CONTRACTOR represents to COUNTY that it will accomplish the result required by this Agreement by manner and means which will expose no person to reasonably forseeable risk of personal injury or property damage, namely as follows:

In reliance thereon, COUNTY amends the Comprehensive or Commercial General Liability Insurance requirements of said Agreement by waiving same.

The above paragraph(s) shall be operative if initialed by both parties in the space provided, effective _____

CONTRA	ACTOR
Ву:	Y. L. tim

COUNTY OF SANTA CRUZ

Ву: _____

Attachment C

Contract No. 01610

INSURANCE REPRESENTATIONS BY CONTRACTOR

On the basis of the following representations by CONTRACTOR to COUNTY as initialed and executed below, compliance with Subparagraphs 6B(2), (3) and (4), respectively of the above Agreement, shall be deemed achieved.

 $\frac{\sqrt{1}}{1}$ Additional Insured [6B(2)]. CONTRACTOR represents that as to the following required insurance coverage(s):

it is unable to obtain an endorsement adding COUNTY as an additional insured pursuant to Subparagraph 6B(2). In reliance thereon, COUNTY hereby waives said requirement.

 $\frac{\sqrt{L_{1}}}{100}$ <u>Notice of Cancellation [6B(3)]</u>. CONTRACTOR represents that as to the following required insurance coverage(s):

it is unable to obtain an endorsement including a clause requiring prior notice of cancellation of or reduction in coverage pursuant to Subparagraph 6B(3). CONTRACTOR hereby covenants and represents that it will notify COUNTY in writing at least thirty (30) days prior to cancellation of or reduction in coverage pursuant to Sub-paragraph 6B(3). In reliance thereon, and upon performance of said covenant, COUNTY hereby deems CONTRACTOR to be in compliance with Subparagraph 6B(3).

 $\underline{\forall \mathcal{L}}_{I} / \underline{|\mathcal{S}|\mathcal{V}|}_{I}$ <u>Certificate of Insurance [6B(4)]</u> CONTRACTOR represents that as to the following required insurance coverage(s):

it has been unable to obtain certification of insurance coverage pursuant to Subparagraph 6B(4). CONTRACTOR hereby covenants and represents that it has obtained, will maintain (and attaches hereto a copy of the face sheet(s) of), the contractually required insurance set forth on the attached self-certification of

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insurance form. In reliance thereon, COUNTY hereby deems CONTRACTOR to be in compliance with Subparagraph 6B(4).

The above paragraph(s) shall be operative if initialed by both parties in the space provided, effective

date

COUNTY OF SANTA CRUZ

By:

CONTRACTOR

By: