



County of Santa Cruz 0531

DEPARTMENT OF PUBLIC WORKS

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THOMAS L. BOLICH
DIRECTOR OF PUBLIC WORKS

AGENDA: AUGUST 7, 2001

July 26, 2001

SANTA CRUZ COUNTY **BOARD** OF SUPERVISORS

701 Ocean Street
Santa Cruz, California 95060

SUBJECT: FEDERAL FUNDING PROGRAM SUPPLEMENT
CAPITOLA ROAD IMPROVEMENTS PROJECT
SOQUEL AVENUE TO THIRTIETH AVENUE, RPSTPL-5936(041)
FEDERAL PROJECT NO. 05-4A0284

Members of the Board:

Attached is Program Supplement No. M031 to County-State Agreement No. 05-5936 with an authorizing County resolution. This agreement provides federal and state funding reimbursement for construction of the Capitola Road Improvements project, Soquel Avenue to Thirtieth Avenue. This program supplement allocates a total of \$4,398,000 for this improvement.

It is therefore recommended that the Board of Supervisors take the following action:

1. Approve Program Supplement No. **M031** to the County-State Agreement No. 05-5936.
2. Adopt the attached resolution authorizing agreement between the State of California and the County of Santa Cruz for funding of the Capitola Road Improvements project, Soquel Avenue to Thirtieth Avenue.

3. Authorize the Director of Public Works to sign the original and duplicate original of the Program Supplement on behalf of the County.
4. Direct the Clerk of the Board to return the original and duplicate original of the signed Program Supplement, together with one certified copy of the authorizing resolution to Fardad Falakfarsa, Chief, Office of Local Programs Project Implementation, California Department of Transportation, P.O. Box 942874, MS #1, Sacramento, CA 94274-0001.

Yours truly,

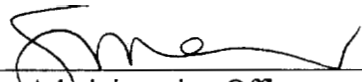


THOMAS L. BOLICH
Director of Public Works

WBW:mg

Attachments

RECOMMENDED FOR APPROVAL:



County Administrative Officer

Copy to: Public Works

ccam.wpd

BEFORE THE BOARD OF SUPERVISORS
OF THE COUNTY OF SANTA CRUZ, STATE OF CALIFORNIA

0533

RESOLUTION NO. _____

On the motion of Supervisor
duly seconded by Supervisor
the following resolution is adopted:

RESOLUTION AUTHORIZING EXECUTION OF AGREEMENTS
BETWEEN THE STATE OF CALIFORNIA AND THE COUNTY OF SANTA CRUZ
FOR THE CAPITOLA ROAD IMPROVEMENTS PROJECT
SOQUEL AVENUE TO THIRTIETH AVENUE, RPSTPL-5936 (041)
FEDERAL PROJECT NO. 05-4A0284

WHEREAS, the State is required to enter into an agreement with the County of Santa Cruz relative to the distribution of federal and state funds for construction of the Capitola Road Improvements project, Soquel Avenue to Thirtieth Avenue.

NOW, THEREFORE, BE IT RESOLVED AND ORDERED that said County-State agreement Program Supplement No. **M031** is hereby approved.

BE IT FURTHER RESOLVED AND ORDERED that the Director of Public Works is hereby authorized to sign the program supplement on behalf of the County and that the Clerk of the Board be hereby directed to return the original and duplicate original of Program Supplement No. M031 together with one certified copy of this resolution to Fardad Falakfarsa, Office Chief, Office of Local Programs Project Implementation, California Department of Transportation, P.O. Box 942874, MS #1, Sacramento, CA 94274-0001.

PASSED AND ADOPTED by the Board of Supervisors of the County of Santa Cruz, State of California, this _____ day of _____, 2001, by the following vote:

AYES: SUPERVISORS

NOES: SUPERVISORS

ABSENT: SUPERVISORS

Chairman of said Board

ATTEST: _____

Clerk of said Board

Approved as to form:


Chief Assistant County Counsel

Distribution: Public Works

PROGRAM SUPPLEMENT NO. M031
to
ADMINISTERING AGENCY-STATE AGREEMENT
FOR FEDERAL-AID PROJECTS NO. 05-5936

ORIGINAL
Date: June 20, 2001
Location: 05-SCR-0-CR 0534
Project Number: RPSTPL-5936(041)
E.A. Number: 05-4A0284

This Program Supplement is hereby incorporated into the Agency-State Agreement for Federal Aid which was entered into between the Agency and the State on 06/29/97 and is subject to all the terms and conditions thereof. This Program Supplement is adopted in accordance with Article I of the aforementioned Master Agreement under authority of Resolution No. _____
approved by the Agency on _____ (See copy attached).

The Agency further stipulates that as a condition to payment of funds obligated to this project, it accepts and will comply with the covenants or remarks set forth on the following pages.

PROJECT LOCATION:

Capitola Road from Santa Cruz city limits to Capitola city limits.

TYPE OF WORK: Road Improvement & Widening

LENGTH: 3.8 (MILES)

PROTECT CLASSIFICATION OR PHASE(S) OF WORK

☐ Preliminary Engineering
☐ Construction Engineering

☐ Right-Of-Way
☒ Other

☒ Construction

Estimated Cost	Federal Funds		Matching Funds		
	Q24		LOCAL	SB45 STATE	OTHER
\$4,775,000.00		\$3,893,549.00	\$377,000.00	\$504,451.00	\$0.00

SANTA CRUZ COUNTY

STATE OF CALIFORNIA
Department of Transportation

BY DIRECTOR OF PUBLIC WORKS

By _____

Chief, Office of Local Programs
Project Implementation

Date _____

Attest Bill [Signature]

Date _____

Title SENIOR DESIGN ENGINEER

I hereby certify upon my personal knowledge that budgeted funds are available for this encumbrance:

Accounting Officer [Signature]

Date 6-22-01

\$4,398,000.00

Chapter	Statutes	Item	Year	Program	BC	Category	Fund Source	AMOUNT
50	1999	2660-101-890	99-2000	20.30.600.620	N	262042	892-F	3,893,549.00
50	1999	2660-101-042	99-2000	20.30.600.620	N	262042	042-T	504,451.00

SPECIAL COVENANTS OR REMARKS

1. All maintenance, involving the physical condition and the operation of the improvements, referred to in Article III MAINTENANCE of the aforementioned Master Agreement will be the responsibility of the ADMINISTERING AGENCY and shall be performed at regular intervals or as required for efficient operation of the completed improvements.
2. The ADMINISTERING AGENCY agrees that payment of Federal funds will be limited to the amounts approved by the Federal Highway Administration (FHWA) in the Federal-Aid Project Authorization/Agreement or Amendment/Modification (E-76) and accepts any increases in ADMINISTERING AGENCY funds as shown on the Finance or Bid letter or its modification as prepared by the Division of Local Assistance, Office of Project Implementation.
3. The ADMINISTERING AGENCY will advertise, award and administer this project in accordance with the current Local Assistance Procedures Manual.
4. This agreement supplements the ADMINISTERING AGENCY-State Master Agreement for Federal-Aid projects, with the understanding that all provisions of the Transportation Equity Act (TEA-21) apply.
5. The ADMINISTERING AGENCY is required to have an audit in accordance with the Single Audit Act and OMB A-133, if it receives \$300,000 or more in federal funds in a single fiscal year. The federal funds received under this project are a part of the Catalogue of Federal Domestic Assistance (CFDA) 20.205, Highway Planning and Research. OMB A-133 superceded OMB A-128 in 1996. Reference to OMB A-128 in Master Agreement (if any) is superceded by this covenant.
6. Award information shall be submitted by the ADMINISTERING AGENCY to the District Local Assistance Engineer immediately after the award. Failure to do so will cause delay in processing the invoices for the construction phase. Please refer to Section 15.7 "Award Package" of the Local Assistance Procedures Manual.
7. This PROJECT is programmed to receive funding from the 1998 State Transportation Improvement Program (STIP), as amended. Funding may be provided under one or more components. A component(s) specific fund allocation is required, in addition to other requirements, before reimbursable work can occur for the

SPECIAL COVENANTS OR REMARKS

component(s) identified. Each allocation will be assigned an effective date and identify the amount of funds allocated per component(s) .

This PROGRAM SUPPLEMENT has been prepared to allow reimbursement of eligible PROJECT expenditures for the component(s) allocated. The start of reimbursable expenditures is restricted to the later of either 1) the effective date of the Master Agreement, 2) the effective date of the PROGRAM SUPPLEMENT, or 3) the effective date of the component specific allocation.

8. STATE and ADMINISTERING AGENCY agree that additional funds made available by future allocations will be encumbered on this PROJECT by use of a STATE approved Allocation Letter and Finance Letter. ADMINISTERING AGENCY agrees that STATE funds available for reimbursement will be limited to the amount allocated by the California Transportation Commission (CTC) and/or the STATE.
9. This PROJECT is subject to the timely use of funds provisions enacted by Senate Bill 45 (SB 45), approved in 1997, and subsequent CTC guidelines and State procedures approved by the CTC and STATE, as outlined below:

Funds allocated for the environmental & permits, plan specifications & estimate, and right-of-way components are available for expenditure until the end of the second fiscal year following the year in which the funds were allocated.

Funds allocated for the construction component are subject to an award deadline and contract completion deadline. ADMINISTERING AGENCY agrees to award the contract within 12 months of the construction fund allocation and complete the construction or vehicle purchase contract within 36 months of award.

10. Upon ADMINISTERING AGENCY request, the CTC and/or STATE may approve supplementary allocations, time extensions, and fund transfers between components. An approved time extension will revise the timely use of funds criteria, outlined above, for the component(s) and allocation(s) requested. Approved supplementary allocations, time extensions, and fund transfers between components, made after the execution of this PROGRAM SUPPLEMENT will be documented and considered subject to the terms and

SPECIAL COVENANTS OR REMARKS

conditions thereof.

Documentation for approved supplementary allocations, time extensions, and fund transfers between components, will be a STATE approved Allocation Letter, Fund Transfer Letter, Time Extension Letter, and Finance Letter, as appropriate.

11. This PROJECT will be administered in accordance with the CTC STIP guidelines, as adopted or amended, and the STATE Procedures for Administering Local Grant Projects in the State Transportation Improvement Program (STIP), the Local Assistance Program Guidelines, and the Local Assistance Procedures Manual. The submittal of invoices for project costs shall be in accordance with the above referenced publications and the following.
12. The ADMINISTERING AGENCY shall invoice STATE for environmental & permits, plans specifications & estimate, and right-of-way costs no later than 180 days after the end of last eligible fiscal year of expenditure. For construction costs, the ADMINISTERING AGENCY has 180 days after project completion to make the final payment to the contractor and prepare the final Report of Expenditures and final invoice, and submit to STATE for verification and payment.
13. All obligations of STATE under the terms of this Agreement are subject to the appropriation of resources by the Legislature and the encumbrance of funds under this Agreement. Funding and reimbursement are available only upon the passage of the State Budget Act containing these STATE funds.