



County of Santa Cruz

DEPARTMENT OF PUBLIC WORKS

701 OCEAN STREET, ROOM 410, SANTA CRUZ, CA 95060
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THOMAS L. BOLICH
 DIRECTOR OF PUBLIC WORKS

AGENDA: SEPTEMBER 18, 2001

September 6, 2001

SANTA CRUZ COUNTY BOARD OF SUPERVISORS

701 Ocean Street
 Santa Cruz, California 95060

SUBJECT: FEDERAL FUNDING PROGRAM SUPPLEMENTS FOR THE BROWNS VALLEY ROAD BRIDGE REPLACEMENT PROJECT, NUMBER 36C-0125
 FEDERAL PROJECT NUMBER BRL-5936(026)
 SOQUEL DRIVE (BARGETTO) BRIDGE, BRIDGE NUMBER 36C-078
 FEDERAL PROJECT NUMBER BRLS-5936(050)
 FREEDOM BOULEVARD ROADWAY REHABILITATION
 FEDERAL PROJECT NUMBER RPL-5936(045)

Members of the Board:


Attached are Program Supplements Number M011 (Rev. 2) and M028 to the County-State Agreement Number 05-5936 and Number 451 to the County-State Agreement Number 000510 with an authorizing County resolution. These agreements provide federal funding reimbursement for the Browns Valley Road Bridge Replacement Project, the Soquel Drive (Bargetto) Bridge, and state funding reimbursement for the Freedom Boulevard roadway rehabilitation project, respectively. The two bridge projects are currently under construction, while the roadway rehabilitation work was completed in the summer of 2000.

Program Supplement Number M011 (Rev. 2) allocates a total of \$1,659,400 to the Browns Valley Road Bridge Replacement Project. Program Supplement Number M028 allocates \$1,520,000 to the bridge portion of construction to the Soquel Drive (Bargetto) Bridge project, Program Supplement Number 451 allocates a total of \$280,000 in state-only funds for reimbursement for the roadway rehabilitation costs for the Freedom Boulevard roadway rehabilitation.

It is therefore recommended that the Board of Supervisors take the following action:

1. Approve Program Supplements Number M011 (Rev. 2) and Number M028 to the County-State Agreement Number 05-5936 and Program Supplement Number 45 1 to the County-State Agreement Number 000510.
2. Adopt the attached resolution authorizing agreement between the State of California and the County of Santa Cruz for funding construction engineering and construction of the Browns Valley Road Bridge Replacement Project, the Soquel Drive (Bargetto) Bridge project, and the Freedom Boulevard roadway rehabilitation.
3. Authorize the Director of Public Works to sign the original and duplicate original of the Program Supplements on behalf of the County.
4. Direct the Clerk of the Board to return the original and duplicate original of Program Supplement Number M011 (Rev. 2), Number M028, and Number 45 1 and a copy of the certified resolution to Public Works for further processing.


Yours truly,


 THOMAS L. BOLICH
 Director of Public Works

WBW:mg

Attachments

RECOMMENDED FOR APPROVAL:



 County Administrative Officer

Copy to: Browns Valley Homeowners Association
Public Works

BROM.WPD

RESOLUTION NO. _____

On the motion of Supervisor
duly seconded by Supervisor
the following resolution is adopted:

RESOLUTION AUTHORIZING EXECUTION OF AGREEMENTS
BETWEEN THE STATE OF CALIFORNIA AND THE COUNTY OF SANTA CRUZ
FOR THE BROWNS VALLEY ROAD BRIDGE REPLACEMENT PROJECT
NUMBER 36C-0125 FEDERAL PROJECT NUMBER BRL-5936(026)
SOQUEL DRIVE (BARGETTO) BRIDGE NUMBER 36C-078
FEDERAL PROJECT NUMBER BRLS-5936(050) AND
FREEDOM BOULEVARD ROADWAY REHABILITATION
FEDERAL PROJECT NUMBER RPL-5936(045)

WHEREAS, the State is required to enter into an agreement with the County of Santa Cruz relative to the distribution of federal aid and state-only funds for engineering and construction of said projects.

NOW, THEREFORE, BE IT RESOLVED AND ORDERED that said County-State agreement Program Supplements Number M011 (Rev. 2), Number M028, and Number 451 are hereby approved.

BE IT FURTHER RESOLVED AND ORDERED that the Director of Public Works is hereby authorized to sign the program supplements on behalf of the County and that the Clerk of the Board be hereby directed to return the originals and duplicate originals of the Program Supplements Number M011 (Rev. 2), Number M028, and Number 451 together with one certified copy of this resolution for each to the Department of Public Works for further processing.

PASSED AND ADOPTED by the Board of Supervisors of the County of Santa Cruz, State of California, this ____ day of _____ 2001, by the following vote:

AYES: SUPERVISORS

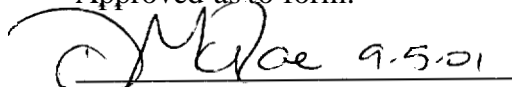
NOES: SUPERVISORS

ABSENT: SUPERVISORS

Chairman of said Board

ATTEST: _____
Clerk of said Board

Approved as to form:



Chief Assistant County Counsel
Distribution: County Counsel
Public Works

BROM.WPD

PROGRAM SUPPLEMENT NO. M011 Rev. 2
to
ADMINISTERING AGENCY-STATE AGREEMENT
FOR FEDERAL-AID PROJECTS NO. 05-5936

Date: July 31, 2001
Location: 05-SCR-0-CR
Project Number: BRL-5936(026)
E.A. Number: 05-929929

This Program Supplement is hereby incorporated into the Agency-State Agreement for Federal Aid which was entered into between the Agency and the State on 06/29/97 and is subject to all the terms and conditions thereof. This Program Supplement is adopted in accordance with Article I of the aforementioned Master Agreement under authority of Resolution No. , approved by the Agency on (See copy attached).

The Agency further stipulates that as a condition to payment of funds obligated to this project, it accepts and will comply with the cover ants or remarks setforth on the following pages.

PROJECT LOCATION:

In Santa Cruz County on Brown Valley Road @ Corralitos Creek, Brd. No. 36C-0125

TYPE OF WORK: Bridge Replacement

LENGTH: 0.1 (MILES)

PROJECT CLASSIFICATION OR PHASE(S) OF WORK

- Preliminary Engineering
- Construction Engineering
- Right-of-way
- Construction

Estimated Cost	Federal Funds		Matching Funds		
			LOCAL		OTHER
\$2,074,250.00	114 Q12	\$192,000.00 \$1,467,400.00	\$414,850.00	\$0.00	\$0.00

SANTA CRUZ COUNTY

STATE OF CALIFORNIA
Department of Transportation

By DIRECTOR OF PUBLIC WORKS

By _____
Chief, Office of Local Programs
Project Implementation

Date _____

Attest Bill Williams
Title Senior Design Engineer

Date _____

I hereby certify upon my personal knowledge that budgeted funds are available for this encumbrance:

Accounting Officer [Signature] Date 7.31.01 \$1,659,400.00

Chapter	Statutes	Item	Year	Program	BC	Category	Fund Source	AMOUNT
50	1999	2660-101-890	99-2000	20.30.010.300	C	224060	892-F	80,000.00
52	2000	2660-101-890	2000-2001	20.30.010.300	C	224060	892-F	1,467,400.00
162	1996	2660-101-890	96-97	20.30.010.300	C	224060	892-F	112,000.00

SPECIAL COVENANTS OR REMARKS

1. All project repair, replacement and maintenance involving the physical condition and the operation of project improvements referred to in Article III MAINTENANCE, of the aforementioned Master Agreement will be the responsibility of the ADMINISTERING AGENCY and shall be performed at regular intervals and as required for efficient operation of the completed project improvements.
2. The ADMINISTERING AGENCY will reimburse the State for the ADMINISTERING AGENCY share of costs for work requested to be performed by the State.
3. The ADMINISTERING AGENCY agrees that payment of Federal funds will be limited to the amounts approved by the Federal Highway Administration (FHWA) in the Federal-Aid Project Authorization/Agreement or Amendment/Modification (E-76) and accepts any resultant increases in ADMINISTERING AGENCY funds as shown on the Finance Letter, any modification thereof as approved by the Division of Local Assistance, Office of Project Implementation.
4. This agreement supplements the Local Agency-State Master Agreement for Federal-Aid projects, with the understanding that all provisions of the 1991 Intermodal Surface Transportation Efficiency Act (ISTEA) apply.
5. The ADMINISTERING AGENCY will advertise, award and administer this project in accordance with the most current published Local Assistance Procedures Manual.
6. The ADMINISTERING AGENCY is required to have an audit in accordance with the Single Audit Act and OMB A-133 if it receives a total of \$300,000 or more in federal funds in a single fiscal year. The federal funds received under this project are a part of the Catalogue of Federal Domestic Assistance (CFDA) 20.205, Highway Planning and Research. OMB A-133 superceded OMB A-128 in 1996. A reference to OMB A-128 in a Master Agreement (if any) is superceded by this covenant to conform to OMB A-133.
7. Award information shall be submitted by the ADMINISTERING AGENCY to the District Local Assistance Engineer immediately after the project contract award. Failure to do so will cause a delay in the State processing invoices for the construction phase. Please

SPECIAL COVENANTS OR REMARKS

refer to Section 15.7 "Award Package" of the Local Assistance Procedures Manual.

ORIGINAL

PROGRAM SUPPLEMENT NO. 451
to
ADMINISTERING AGENCY-STATE AGREEMENT
FOR STATE FUNDED PROJECTS NO. 000510

Date: July 11, 2000
Location: 05-SCR-0-CR 0357
Project Number: RPL-5936(04j)
E-A. Number :05-4A0444
Locode :5936

This PROGRAM SUPPLEMENT, effective 07/03/2000, is hereby incorporated into the Administering Agency - State Agreement No. 000510 for State Funded Projects entered into between the ADMINISTERING AGENCY and the STATE with an effective date of 07/03/00 and is subject to all the terms and conditions thereof. This PROGRAM SUPPLEMENT is adopted in accordance with Article I of the aforementioned Master Agreement under authority of Resolution No. approved by the ADMINISTERING AGENCY on (See copy attached).

The ADMINISTERING AGENCY further stipulates that as a condition to payment of funds encumbered to this project, it accepts and will comply with the Special Covenants and Conditions set forth on the following pages.

PROJECT LOCATION:
Freedom Boulevard, from Corralitos Road south - roadway rehabilitation

TYPE OF WORK: Road Rehabilitation

Estimated Cost	State Funds		Matching Funds		
	STATE		LOCAL		OTHER
\$280,000.00	\$280,000.00		\$0.00	\$0.00	\$0.00

SANTA CRUZ COUNTY

STATE OF CALIFORNIA
Department of Transportation

By _____

By _____

Chief, Office of Local Programs
Project Implementation

Date _____

Date _____

Title DIRECTOR OF PUBLIC WORKS

I hereby certify upon my personal knowledge that budgeted funds are available for this encumbrance:

Accounting Officer [Signature] Date 7.12.0 \$280,000.00

Chapter	Statutes	Item	Year	Program	BC	Category	Fund Source	AMOUNT
50	1999	2660-101-042	99-2000	20.30.600.621	N	262040	042-T	280,000.00

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SPECIAL COVENANTS OR REMARKS

1. This PROJECT is programmed to receive funding from the 1998 State Transportation Improvement Program (STIP), as amended. Funding may be provided under one or more components. A component(s) specific fund allocation is required, in addition to other requirements, before reimbursable work can occur for the component(s) identified. Each allocation will be assigned an effective date and identify the amount of funds allocated per component(s).

This PROGRAM SUPPLEMENT has been prepared to allow reimbursement of eligible PROJECT expenditures for the component(s) allocated. The start of reimbursable expenditures is restricted to the later of either 1) the effective date of the Master Agreement, 2) the effective date of the PROGRAM SUPPLEMENT, or 3) the effective date of the component specific allocation.

2. STATE and ADMINISTERING AGENCY agree that additional funds made available by future allocations will be encumbered on this PROJECT by use of a STATE approved Allocation Letter and Finance Letter. ADMINISTERING AGENCY agrees that STATE funds available for reimbursement will be limited to the amount allocated by the California Transportation Commission (CTC) and/or the STATE.
3. This PROJECT is subject to the timely use of funds provisions enacted by Senate Bill 45 (SB 45), approved in 1997, and subsequent CTC guidelines and State procedures approved by the CTC and STATE, as outlined below:

Funds allocated for the environmental & permits, plan specifications & estimate, and right-of-way components are available for expenditure until the end of the second fiscal year following the year in which the funds were allocated.

Funds allocated for the construction component are subject to an award deadline and contract completion deadline. ADMINISTERING AGENCY agrees to award the contract within 12 months of the construction fund allocation and complete the construction or vehicle purchase contract within 36 months of award.

4. Upon ADMINISTERING AGENCY request, the CTC and/or STATE may approve supplementary allocations, time extensions, and fund transfers between components. An approved time extension will

SPECIAL COVENANTS OR REMARKS

revise the timely use of funds criteria, outlined above, for the component(s) and allocation(s) requested. Approved supplementary allocations, time extensions, and fund transfers between components, made after the execution of this PROGRAM SUPPLEMENT will be documented and considered subject to the terms and conditions thereof.

Documentation for approved supplementary allocations, time extensions, and fund transfers between components, will be a STATE approved Allocation Letter, Fund Transfer Letter, Time Extension Letter, and Finance Letter, as appropriate,

5. This PROJECT will be administered in accordance with the CTC STIP guidelines, as adopted or amended, and the STATE Procedures for Administering Local Grant Projects in the State Transportation Improvement Program (STIP), the Local Assistance Program Guidelines, and the Local Assistance Procedures Manual. The submittal of invoices for project costs shall be in accordance with the above referenced publications and the following.
6. The ADMINISTERING AGENCY shall invoice STATE for environmental & permits, plans specifications & estimate, and right-of-way costs no later than 180 days after the end of last eligible fiscal year of expenditure. For construction costs, the ADMINISTERING AGENCY has 180 days after project completion to make the final payment to the contractor and prepare the final Report of Expenditures and final invoice, and submit to STATE for verification and payment.
7. All funding obligations of STATE under the conditions of this PROGRAM SUPPLEMENT are subject to the appropriation of resources by the Legislature and the encumbrance of funds under this PROJECT. Funding and reimbursement are available only upon the passage of the State Budget Act containing these STATE funds.

ORIGINAL

PROGRAM SUPPLEMENT NO. M028
to
ADMINISTERING AGENCY-STATE AGREEMENT
FOR FEDERAL-AID PROJECTS NO. 05-5936

Date: April 13, 2001
Location: 05-SCR-0-CR
Project Number: BRLS-5936(050)
E.A. Number: 05-927217

0360

This Program Supplement is hereby incorporated into the Agency-State Agreement for Federal Aid which was entered into between the Agency and the State on 06/29/97 and is subject to all the terms and conditions thereof. This Program Supplement is adopted in accordance with Article I of the aforementioned Master Agreement under authority of Resolution No. , approved by the Agency on (See copy attached).

The Agency further stipulates that as a condition to payment of funds obligated to this project, it accepts and will comply with the covenants or remarks set forth on the following pages.

PROJECT LOCATION:

IN SANTA CRUZ COUNTY ON SOQUEL DRIVE @ SOQUEL CREEK 36C-078

TYPE OF WORK: Bridge Replacement

LENGTH: 0.1 (MILES)

PROJECT CLASSIFICATION OR PHASE(S) OF WORK

- Preliminary Engineering
- Construction Engineering
- Right-of-way
- Other
- Construction

Estimated Cost	Federal Funds		Matching Funds		
\$3,494,842.00	Q10	\$1,520,000.00	LOCAL \$878,131.00	Other Fund \$1,096,711.00	OTHER \$0.00

SANTA CRUZ COUNTY

STATE OF CALIFORNIA
Department of Transportation

By DIRECTOR OF PUBLIC WORKS

By _____

Date _____

Chief, Office of Local Programs
Project Implementation

Attest Bill Williams

Date _____

Title SENIOR DESIGN ENGINEER

I hereby certify upon my personal knowledge that budgeted funds are available for this encumbrance:

Accounting Officer [Signature] Date 4.16.01 \$1,520,000.00

Chapter	Statutes	Item	Year	Program	BC	Category	Fund Source	AMOUNT
52	2000	2660-101-890	2000-2001	20.30.010.300	C	224060	892-F	1,520,000.00

SPECIAL COVENANTS OR REMARKS

1. All maintenance, involving the physical condition and the operation of the improvements, referred to in Article III MAINTENANCE of the aforementioned Master Agreement will be the responsibility of the ADMINISTERING AGENCY and shall be performed at regular intervals or as required for efficient operation of the completed improvements.
2. The ADMINISTERING AGENCY agrees that payment of Federal funds will be limited to the amounts approved by the Federal Highway Administration in the Federal-Aid Project Authorization/Agreement or Amendment/Modification (E-76) and accepts any increases in Local Agency Funds as shown on the Finance or Bid letter or its modification as prepared by the Office of Project Implementation, Local Assistance Program.
3. The ADMINISTERING AGENCY will advertise, award and administer this project in accordance with the current Local Assistance Procedures Manual.
4. The ADMINISTERING AGENCY is required to have an audit in accordance with the Single Audit Act and OMB A-133, if it receives \$300,000 or more in federal funds in a single fiscal year. The federal funds received under this project are a part of the Catalogue of Federal Domestic Assistance (CFDA) 20.205, Highway Planning and Research. OMB A-133 superceded OMB A-128 in 1996. Reference to OMB A-128 in Master Agreement (if any) is superceded by this covenant.
5. Award information shall be submitted by the ADMINISTERING AGENCY to the District Local Assistance Engineer immediately after the award. Failure to do so will cause delay in processing the invoices for the construction phase. Please refer to Section 15.7 "Award Package" of the Local Assistance Procedures Manual.