



County of Santa Cruz

DEPARTMENT OF PUBLIC WORKS

701 OCEAN STREET, ROOM 410, SANTA CRUZ, CA 95060
 (831) 454-2160 FAX (831) 454-2385 TDD (831) 454-2123

THOMAS L. BOLICH
 DIRECTOR OF PUBLIC WORKS

AGENDA: NOVEMBER 6, 2001

October 25, 2001

SANTA CRUZ COUNTY BOARD OF SUPERVISORS

701 Ocean Street
 Santa Cruz, California 95060

SUBJECT: DISADVANTAGED BUSINESS ENTERPRISE GOAL

Members of the Board:

On September 11, 2001, your Board approved Public Works' proposed Annual Overall Goal Information. A copy of the letter is attached for your information. This goal is utilized on projects that receive funding from the Federal Highway Administration.

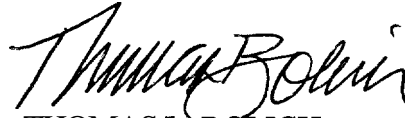
In accordance with the County's approved Disadvantaged Business Enterprise (DBE) Program, our Annual Overall Goal Information was reviewed by the California Department of Transportation and advertised for a 45-day public review and comment period. No comments were received, and the new goal information is now ready for your final approval. The overall goal for federal fiscal year 2001/2002 is 6 percent Disadvantaged Business Enterprise participation on federally funded projects.

It is therefore recommended that the Board of Supervisors take the following action:

1. Approve the attached Annual Overall Goal Information for federal fiscal year 2001/2002.

2. Direct Public Works to file the approved information with the California Department of Transportation.

Yours truly,

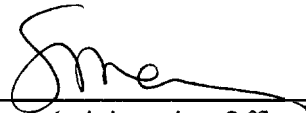


THOMAS L. BOLICH
Director of Public Works

BHT:mg

Attachments

RECOMMENDED FOR APPROVAL:



County Administrative Officer

Copy to: Ajita Patel, Personnel Department
Public Works



County of Santa Cruz

0263

DEPARTMENT OF PUBLIC WORKS

701 OCEAN STREET, ROOM 410, SANTA CRUZ, CA 95060-4070
(831) 454-2160 FAX (831) 454-2385 TDD (831) 454-2123

THOMAS L. BOLICH
DIRECTOR OF PUBLIC WORKS

November 6, 2001

ANNUAL OVERALL GOAL INFORMATION

TO: CALTRANS DISTRICT 5
District Local Assistance Engineer

The amount of overall goal, methodology, breakout of estimated race-neutral and race-conscious participation, and any Disadvantaged Business Enterprise (DBE) program updates are presented herein in accordance with Title 49 of the Code of Federal Regulations Part 26, and as described in the Local Assistance Procedures Manual.

The County of Santa Cruz submits our annual overall goal information for your acceptance. We have established an annual overall DBE goal of six percent for the federal fiscal year 2001/2002, beginning on October 1, 2001, and ending on September 30, 2002.

METHODOLOGY

Fiscal year 2001/02 construction projects are expected to total \$4,250,000 in federal funding participation. Based on our bidders list information, there are eight areas of subcontracting in which we are likely to receive DBE participation. These areas, and the ratio of DBE subcontractors to all subcontractors, including non-DBEs, are:

Item	DBE All Subcontractors	Expected DBE Participation
Asphalt Concrete Grinding	$\frac{1}{2}$	50%
Paving	$\frac{2}{3}$	66%
Clearing and Grubbing	$\frac{1}{6}$	17%
Concrete	$\frac{1}{9}$	11%
Concrete Barrier	$\frac{1}{4}$	25%
Signs and Traffic	$\frac{5}{6}$	83%
Erosion Control	$\frac{2}{10}$	20%
Reinforcing Steel	$\frac{1}{8}$	12%

Anticipated dollar amounts for these eight items times the expected DBE participation are:

Item	Anticipated Dollar Amounts	Expected DBE Participation	DBE Participation
Asphalt Concrete Grinding	\$12,750	50%	\$6,375
Paving	\$123,250	66%	\$81,345
Clearing and Grubbing	\$68,000	17%	\$11,560
Concrete	\$199,750	11%	\$21,972
Concrete Barrier	\$15,725	25%	\$3,931
Signs and Traffic Control	\$85,000	83%	\$70,550
Erosion Control	\$114,750	20%	\$22,950
Reinforcing Steel	\$365,500	12%	\$43,860
	\$984,725 *		\$262,543
	Total Expected DBE Participation:		\$262,543

*Remaining federal funding of \$3,265,275 (\$4,250,000 - \$984,725) will be utilized for construction items that we do not expect to receive DBE participation.

Construction DBE Participation Percent

$$\frac{\$262,543}{\$4,250,000} = 6.2\%$$

Consulting Engineering DBE participation Percent

Estimated federal funding is \$300,000

$$\frac{\text{DBE Consultants}}{\text{Total Consultants}} = \frac{38}{1,158} = 3.3\%$$

$$3.3\% \times \$300,000 = \$9,900$$

Overall Goal

$$\frac{\$262,543 + \$9,900}{\$4,550,000} = 6\%$$

In Summary

0265

Construction Goal	6.2%
Consulting Goal	3.3%
Overall Goal	6.0%

BREAKOUT OF ESTIMATED RACE-NEUTRAL
AND RACE-CONSCIOUS PARTICIPATION

Of the overall annual six percent goal for DBE participation, the County projects meeting 100 percent of the goal utilizing race-conscious methods.

Brian H. Turpen, DBE Liaison Officer

Date

This Disadvantaged Business Enterprise Annual Overall Goal Information is accepted by:

Jerry Gibbs
CALTRANS, District 5
Local Assistance Engineer

Date

BHT:mg



County of Santa Cruz ⁰²¹³

DEPARTMENT OF PUBLIC WORKS

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THOMAS L. BOLICH
DIRECTOR OF PUBLIC WORKS

**APPROVED AND FILED
BOARD OF SUPERVISORS**
DATE: 9/11/01
COUNTY OF SANTA CRUZ
SUSAN A. MAURIELLO
EX-OFFICIO CLERK OF THE BOARD
BY: *[Signature]* DEPUTY

AGENDA: SEPTEMBER 11, 2001

August 29, 2001

SANTA CRUZ COUNTY BOARD OF SUPERVISORS
701 Ocean Street
Santa Cruz, California 95060

SUBJECT: DBE PARTICIPATION - PROPOSED 2001/2002 GOAL

Members of the Board:

On January 9, 2001, your Board adopted a federal fiscal year 2000/2001 Disadvantaged Business Enterprise (DBE) participation goal of 11.7 percent. This goal was established and used only on projects receiving Federal Highway Administration funding as required by law. The goal was established based on CALTRANS' guidelines and an estimate of nine projects to be bid and constructed. The actual number of projects that were bid was seven, and we were able to achieve actual DBE participation of 5.57 percent.

On one project the apparent low bidder was rejected for lack of a good faith effort in obtaining DBE participation. All other projects were awarded to the low bidder after reviewing and approving their good faith effort documentation.

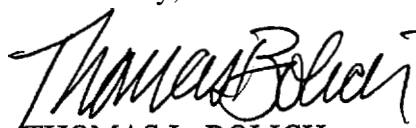
As part of this year's bid procedure, required by the California Department of Transportation (CALTRANS) Public Works established a bidders list. This list contains 121 contractors that actually bid on our projects. Of these, only 17 are general engineering contractors, capable of bidding on our projects. The remainder are subcontractors that submit bids for portions of the work to general contractors. Of the 17 general engineering contractors, only one is a certified DBE, and they only bid on one of our seven projects. Overall, 30 of the 121 contractors on our bidders list are certified as DBEs.

Attached for your consideration is our proposed Annual Overall Goal Information for 2001/2002. Last year's goal of 11.7 percent was established using the best available data in accordance with CALTRANS' guidelines. This year's goal was also set in accordance with CALTRANS' guidelines, which requires use of the newly obtained bidders list information that was

not available last year. Because of this new goal setting methodology, our new proposed goal for DBE participation is six percent for federally funded projects. This new goal reflects actual expected participation based on last year's experience. The proposed goal and goal setting methodology has been tentatively approved by CALTRANS. After your Board's approval, the proposed goal will be advertised and subject to a 45-day public review period. Upon completion of the public review period, we will return to your Board with the results. After your Board's final approval; we will submit the final goal to CALTRANS for their approval.

It is therefore recommended that the Board of Supervisors approve the proposed DBE participation goal for federal fiscal year 2001/2002, and authorize Public Works to submit the goal for a 45-day public review.

Yours truly,

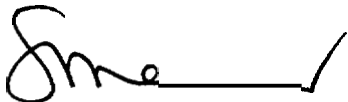


THOMAS L. BOLICH
Director of Public Works

BHT:bbs

Attachment

RECOMMENDED FOR APPROVAL:



County Administrative Officer

Copy to: Public Works Department



County of Santa Cruz

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THOMAS L. BOLICH
DIRECTOR OF PUBLIC WORKS

August 28, 2001

ANNUAL OVERALL **GOAL** INFORMATION

TO: CALTRANS DISTRICT 5
District Local Assistance Engineer

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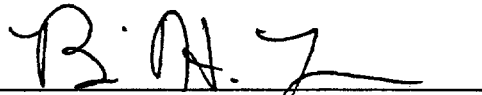
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Brian H. Turpen, DBE Liaison Officer

8/28/01
Date