



County of Santa Cruz

HEALTH SERVICES AGENCY

P.O. BOX 962, 1080 EMLINE AVENUE
SANTA CRUZ, CA 95061
(831) 454-4066 FAX: (831) 454-4770

HEALTH SERVICES AGENCY ADMINISTRATION

December 18, 2001

AGENDA: January 8, 2002

BOARD OF SUPERVISORS
County of Santa Cruz
701 Ocean Street
Santa Cruz, CA 95060

SUBJECT: Proposition 36 Drug Testing Funds

Dear Members of the Board:

The Health Services Agency (HSA) requests that your Board adopt the attached resolution approving the County plan for expenditure of Substance Abuse Treatment and Testing Accountability (SATTA) Program funds, and adopt the attached resolution accepting and appropriating \$71,414 of SATTA funds into the HSA Alcohol and Drug Program budget.

Background

Effective October 11, 2001, SB 223 (Burton) allocated \$8.4 million of Federal Substance Abuse Prevention and Treatment Block Grant funds to the State Department of Alcohol and Drug Programs to be used for drug testing of Proposition 36 drug treatment clients. The State has allocated \$71,414 of these funds to Santa Cruz County for FY 2001-02. These funds must be expended by June 30, 2002. Funds not needed for Proposition 36 drug testing may be used for other drug treatment and prevention services in accordance with Federal Block Grant requirements.

The State requires that the County's SATTA plan be submitted by January 10, 2002. If the County's plan has not been approved by the State by February 15, 2002, the County's funds may be subject to reallocation.

Local Plan

The attached SATTA plan reflects work over the last several months by the Proposition 36 Steering Committee to refine the County's approach to drug testing of Proposition 36 clients. The Proposition 36 Steering Committee includes representatives from the Superior Court, District Attorney, Public Defender, Probation, State Parole, Sheriff, Criminal Justice Council, County Administrative Office, and HSA. The Proposition 36 Steering Committee has reviewed the attached plan.

Key features of the SATTA plan:

- Drug testing will be conducted most frequently on clients who are in the early phases of treatment and clients who have the most severe drug problems. As clients progress through treatment, drug testing will become less frequent. Testing may also be done upon suspicion of drug use.
- Funds will be allocated to contracted treatment providers, who will conduct the drug tests according to a schedule prescribed by the Court. Positive drug tests will be reported to Probation along with a recommended treatment response.
- Consistent with the requirements of **SB 223**, results of drug tests will not be used as the basis for the imposition of new criminal charges, and will not be used as the sole basis for determining a client's unamenability to treatment or for revoking probation.

Funding

The attached resolution accepts and appropriates \$71,414 of unanticipated SATTA funds into the HSA Alcohol and Drug Program budget for provider contracts. Acceptance of these funds will not result in any increase in net County cost.

It is, therefore, **RECOMMENDED** that your Board:

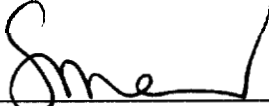
1. Adopt the attached resolution approving the County's Substance Abuse Treatment and Testing Accountability (SATTA) Program Plan; and
2. Adopt the attached resolution accepting and appropriating \$71,414 of unanticipated revenue into the Health Services Agency Alcohol and Drug Program budget; and
3. Authorize the Health Services Agency Administrator to sign the Substance Abuse Treatment and Testing Accountability Program Plan.

Sincerely,



Rama Khalsa, Ph.D.
Health Services Administrator

RECOMMENDED:



Susan A. Mauriello
County Administrative Officer

cc: County Administrative Officer
Auditor Controller
County Counsel
HSA Administration
Alcohol and Drug Program

Probation
District Attorney
Sheriff
City Police Agencies (4)

**BEFORE THE BOARD OF SUPERVISORS
OF THE COUNTY OF SANTA CRUZ, STATE OF CALIFORNIA**

RESOLUTION NO.

On the motion of Supervisor
Duly seconded of Supervisor
The following resolution is adopted

**RESOLUTION APPROVING THE SUBSTANCE ABUSE TREATMENT AND TESTING
ACCOUNTABILITY PROGRAM PLAN AND FUNDING REQUEST FOR 2001-02**

WHEREAS, the voters have adopted the Substance Abuse and Crime Prevention Act of 2000 (Proposition 36), which mandates drug treatment instead of incarceration for certain drug offenses; and

WHEREAS, drug testing of Proposition 36 program participants is necessary to promote recovery and ensure client accountability; and

WHEREAS, SB 223 (Burton) provides funding for drug testing of Proposition 36 program participants under the Substance Abuse Treatment and Testing Accountability (SATTA) Program; and

WHEREAS, in order to receive SATTA funds, the State Department of Alcohol and Drug Programs (ADP) requires that the County submit a SATTA Program Plan and Funding Request for 2001-02.

NOW, THEREFORE, BE IT RESOLVED that the Santa Cruz County Board of Supervisors approves the revised county plan, completed pursuant to Section 9515, Title 9, California Code of Regulations and in accordance with ADP instructions for implementing the SATTA Program by the Health Services Agency; and agrees that the County of Santa Cruz shall comply with the provisions of Health and Safety Code, Division 10.9, commencing with Section 11999.20 and the provisions of the California Code of Regulation in Division 4, Chapter 2.5.

PASSED AND ADOPTED, by the Board of Supervisors of the County of Santa Cruz, State of California, this eighth day of January, 2002 by the following vote:

AYES: SUPERVISORS
NOES: SUPERVISORS
ABSTAIN: SUPERVISORS

Chair of the Board

ATTEST: _____
Clerk of the Board

APPROVED AS TO FORM:

Larry A. Oberhelman, III
Assistant County Counsel

Distribution:	CAO	County Counsel	Auditor-Controller	Probation
	District Attorney	Public Defender	HSA Administration	Sheriffs

BEFORE THE BOARD OF SUPERVISORS
OF THE COUNTY OF SANTA CRUZ, STATE OF CALIFORNIA

0166

RESOLUTION NO. _____

On the motion of Supervisor _____
duly seconded by Supervisor _____
the following resolution is adopted.

RESOLUTION ACCEPTING UNANTICIPATED REVENUE

WHEREAS, the County of Santa Cruz is a recipient of funds from _____ the State Dept. of
Alcohol and Drug Programs for Proposition 36 drug testing program(s); and

WHEREAS, the County is a recipient of funds in the amount of \$ 71,414
which are either in excess of those anticipated or are not specifically set in the current
fiscal year budget of the County; and

WHEREAS, pursuant to Government Code Section 29130(c)/29064(b), such funds
may be made available for specific appropriation by a four-fifths vote of the
Board of Supervisors;

NOW, THEREFORE, BE IT RESOLVED AND ORDERED that the Santa Cruz County
Auditor-Controller accept funds in the amount \$ 71,414 into
Department HSA Alcohol and Drug Program

T/C	Index Number	Revenue Subobject Number	Account Name	Amount
001	364042	0988	Federal Aid - Drug Block Grant	\$ 71,414

and that such funds be and are hereby appropriated as follows:

TIC	Index Number	Expenditure Subobject Number	PRJ/UCD	Account Name	Amount
02 ■	364042	3638		Medical Svs - Other	71,414

Total \$71,414
DEPARTMENT HEAD I hereby certify that the fiscal provisions have been researched
and that the Revenue(s) (has been) (will be) received within the current fiscal year

By DP _____ Date 12/18/01
Department Head

031

COUNTY ADMINISTRATIVE OFFICER

☒ Recommended to Board

☐ Not Recommended to Board

PASSED AND ADOPTED by the Board of Supervisors of the County of Santa Cruz, State of California, this _____ day of _____ 19____ by the following vote (requires three-fifths vote for approval):

AYES : SUPERVISORS

NOES : SUPERVISORS

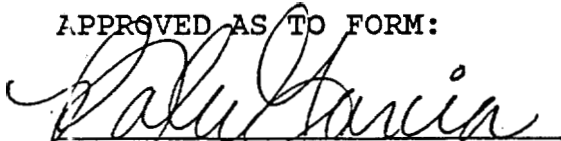
ABSENT: SUPERVISORS

CHAIR OF THE BOARD

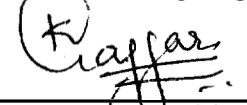
ATTEST:

Clerk of the Board

APPROVED AS TO FORM:


County Counsel

APPROVED AS TO ACCOUNTING DETAIL:

 12/19/01
Auditor-Controller

Distribution:

Auditor-Controller
County Council
County Administrative Officer
Originating Department

**Template for the Revised County Plan for the
Substance Abuse and Crime Prevention Act (SACPA) of 2000
Requesting Funds under the
Substance Abuse Treatment and Testing Accountability (SATTA)
Program**

Submit the completed template including Fiscal Plan to:

Department of Alcohol and Drug Programs
Office of Criminal Justice Collaboration
1700 K Street, #519-A
Sacramento, CA 95814

The county Board of Supervisors, or its designated delegated approval authority representative, approved the revised county plan for SACPA requesting SATTA program funds.

County Lead Agency Designee: _____
SIGNATURE

Date: _____

SANTA CRUZName of county**COUNTY PLAN NARRATIVE**

#	Required information	Explanation
1.	The assessment process that will be used to determine the placement for treatment of eligible defendants, including the need for additional services that may improve the effectiveness of treatment.	A description of the process used to determine clients' level of need for, placement in, and referral to drug treatment and additional services supplemental to treatment was required as part of the county plan (Section 9515). <i>If the approved county plan on file with ADP contains this information, you may use that information to complete this section by providing a copy of the description in the space below (insert electronic text) or as an attachment to this template. If the plan does not contain this information or does not sufficiently describe the county's process, provide additional description.</i>

SANTA CRUZ

Name of county

COUNTY PLAN NARRATIVE

#	Required information	Explanation
	<p>As described in the County's approved Proposition 36 Implementation Plan, the assessment process is as follows:</p> <p>To facilitate coordination of case handling, improve efficiency and increase consistency of defendants' probation and treatment requirements, the courts assign all Proposition 36 cases and Drug Court cases to a single court. Clients are referred from the court to the HSA Alcohol and Drug Program (ADP). ADP is notified to be expecting the client from the court within a specified time period. If the client does not contact ADP within the specified time period, ADP notifies Probation.</p> <p>ADP staff conducts an alcohol and drug assessment with the client using the Addiction Severity Index (ASI) Lite, and develops treatment recommendations using the American Society of Addiction Medicine Patient Placement Criteria. ADP staff then finds an appropriate program to provide the recommended services, and sends a written report of the assessment and treatment recommendations to Probation. Probation and ADP staffs are co-located to facilitate communication. Treatment recommendations that are challenged by the client may be reviewed in court. Needs for ancillary services (literacy training, vocational and family counseling, mental health and medical services) are assessed using a brief screening instrument, and service recommendations developed. If a more extensive assessment of ancillary needs (e.g., learning disabilities) is required, the client is referred out to community resources for the assessment.</p> <p>Parolees who qualify under Proposition 36 are also referred to HSA Alcohol and Drug Program staff, who conducts assessments and make treatment recommendations for approval by the Board of Prison Terms. Parolee's compliance with treatment requirements is tracked by Parole, based on reports from treatment providers.</p> <p>ADP staff gives the client a treatment enrolment deadline. The treatment provider is notified by ADP staff to expect the client. If the client does not arrive at the treatment provider within the enrollment deadline, the treatment provider must notify Probation.</p>	

SANTA CRUZ

Name of county

COUNTY PLAN NARRATIVE

#	Required information	Explanation
2.	The criteria used by the county, utilizing the tools available through contract enforcement, to monitor and enforce the quality of drug treatment and other services provided.	<p>Describe or enumerate the criteria used by the county to monitor and enforce the quality of services delivered by treatment programs and providers of other services (literacy training, family counseling, vocational training, and other services). <i>If the approved county plan on file with ADP contains this information, you may use that information to complete this section by providing us a copy of the description in the space below (insert electronic text) or as an attachment to this template. If the plan does not contain this information or does not sufficiently describe the county's standards or criteria, provide additional description.</i></p> <p>County contracts provide for monitoring of service providers using criteria consistent with ADP program certification standards. Contracts include goals related to specific program areas (e.g., productivity, staff training, cultural competence, and dual diagnosis), and providers are required to report quarterly on attainment of goals in these areas. County case managers track the progress of clients through programs, and intervene to address program quality issues as they arise in the course of a client's treatment. As needed, program quality issues are escalated to the management level, and written corrective action plans may be required.</p>
3.	How drug testing will be used as a treatment tool.	<p>Describe the county guidelines applicable to programs conducting drug testing for SACPA clients. The guidelines shall specify how drug testing is used as part of the individual client's overall treatment program. Describe the types and frequency of testing. Describe or depict on a flow chart how drug testing results are used and by what agencies. Describe what aspects, in addition to the results of drug testing, will be considered in evaluating a probationer's or parolee's individual treatment program.</p>

SANTA CRUZ

Name of county

COUNTY PLAN NARRATIVE

#	Required information	Explanation
	<p>Drug testing (including alcohol testing) is required of all Proposition 36 clients, and is conducted by treatment providers and Probation Department staff. Testing is randomized and observed. Clients in Track I (once per week outpatient for up to 3 months) are tested once per week. Track II (up to 6 months of outpatient treatment which may include up to 3 months of sober living) clients are tested twice per week for the first three months of treatment and once per week thereafter. Track III clients (all other more intensive treatment modalities) are tested twice per week, unless they are in a closely supervised residential treatment program where they may be tested less frequently at the discretion of the program. The testing regimen described above are the default option used for most clients. Testing regimen may be customized for clients based on individual client's needs. Testing may also be done on suspicion of drug use.</p> <p>Presumptive tests (e.g., strips) are conducted, with samples sent for laboratory confirmation if the client does not acknowledge drug use after being confronted with the presumptive test results. Providers must telephone Probation with positive results within one working day of receiving the positive result. Providers accompany their report of a positive drug test with a recommended treatment response. Probation, in consultation with the County Alcohol and Drug Program Case Manager, reviews and approves or modifies the treatment response. If needed, clients may be re-assessed by the County Case Manager for modification of the treatment plan, including placement in a higher level of care.</p> <p>The Santa Cruz County Proposition 36 Team has adopted the philosophy that the power of the criminal justice system should be used to promote recovery while protecting public safety. Factors to be considered in responding to a positive drug test include the client's treatment attendance, treatment phase, progress in treatment (e.g., stage of recovery, progress in employment/education and family/social supports), prior positive tests, response to the positive test, and any public safety issues associated with the drug use (e.g., DUI).</p> <p>Consistent with Health and Safety Code Division 10.9, Section 11999.20, the results of a drug test are not used as the basis for imposition of a new criminal charge. In addition, a single drug test is not the sole basis for determining unamenability to treatment or revoking probation.</p>	

SANTA CRUZ

Name of county

COUNTY PLAN NARRATIVE

#	Required information	Explanation
4.	The county's treatment goals for eligible parolees and probationers, as well as the process the county will use to report on the number of eligible parolees and probationers provided drug treatment and other services as determined by their assessment.	<p>(a) Describe or enumerate the county's stated goals for drug treatment services for parolees and probationers. (b) Describe the process the county will use, based on their assessment, to report on the number of parolees and probationers receiving drug treatment and other services. <i>If the approved county plan on file with ADP contains this information, you may use that information to complete this section by providing us a copy of the description in the space below (insert electronic text) or as an attachment to this template. If the plan does not contain this information or does not sufficiently describe the county's process, provide additional description.</i></p> <p>As stated in the County's Proposition 36 Implementation Plan, the goal of Proposition 36 services is to use close collaboration among the stakeholders to promote client recovery, ensure client accountability, and protect public safety.</p> <p>All Proposition 36 clients are tracked using the County Alcohol and Drug Program's Ecco Drug and Alcohol System (DAS) software. Information on each client assessed by County Case Managers is entered into DAS, and a DAS episode is opened by each treatment provider. DAS can generate reports on the number of unique clients, the number of treatment admissions by program and modality, and a host of other variables. Referrals to ancillary services are tracked and reported on by the County Case Manager.</p>

Template (Print Version) for the Fiscal Plan Portion of the Revised County Plan under the Substance Abuse Treatment and Testing Accountability (SATTA) Program

Instructions for Fiscal Plan Below is the template for the fiscal portion of the *Revised County Plan* under SATTA. This template is also available electronically in both Word and Excel formats with formulas entered to perform required calculations. You may complete the Fiscal Plan below or access either electronic format to report your fiscal information. The fiscal portion must be submitted as part of the *Template for the Revised County Plan* under SATTA to:

Department of Alcohol and Drug Programs
Office of Criminal Justice Collaboration
1700 K Street, #519-A
Sacramento, CA 95814

Line (1)	Enter the amount of your Federal Substance Abuse Prevention and Treatment (SAPT) Block Grant allocation under SATTA.
Line (3)	Enter the amount of your SAPT allocation to be expended for drug testing.
Line (4)	Enter the amount of your SAPT allocation to be expended for purposes other than drug testing. Expenditures must be consistent with Federal SAPT law.
Line (5)	Enter the total of lines (3) and (4).
Line (7)	Enter the number of clients for whom the county expects to conduct drug testing using SAPT funds under SATTA.
Line (8)	Enter the number of drug tests the county expects to administer to SACPA clients using SAPT funds under SATTA.
Line (9)	Divide Line (3) by line (7) and enter the result.
Line (10)	Divide line (3) by line (8) and enter the result.

Column A	Column B
(1) COUNTY ALLOCATION FOR SATTA	\$71,414
(2) COUNTY PLANNED EXPENDITURES	\$71,414
(3) Drug Testing	\$71,414
(4) Other Authorized Purposes	\$0
(5) TOTAL	\$71,414
(6) PROGRAM SERVICE PROJECTIONS	
(7) Number of clients to be served	87
(8) Number of tests to be administered	4,085
(9) Projected average cost per client	\$821
(10) Projected average cost per test	\$17.48

Note: Cost per test includes staff time and related agency overhead associated with administering and reporting on tests, as well as all test materials, shipping, etc.