



County of Santa Cruz

HEALTH SERVICES AGENCY

P.O. BOX 962, 1080 EMELINE AVENUE
SANTA CRUZ, CA 95061
(831) 454-4066 FAX: (831) 454-4770

HEALTH SERVICES AGENCY ADMINISTRATION

March 26, 2002

AGENDA: April 9, 2002

BOARD OF SUPERVISORS
County of Santa Cruz
701 Ocean Street
Santa Cruz, California 95060

RE: Approval of Renewal Applications for Mental Health's 2001-02
Federal **SAMHSA** (Federal Substance Abuse Mental Health Services
Administration) Block, and **PATH** (Project for Assistance in Transition
from Homelessness) Grants

Dear Members of the Board:

PATH GRANT:

Since 1984, Community Mental Health (CMH) has received an annual allocation from the State Department of Mental Health of Federal PATH (Project for Assistance in Transition from Homelessness) funds. The County's 2001-02 allocation is \$24,885.

These funds are used to support a portion of the contract with Santa Cruz Community Counseling Center, Inc. (Contract No. 129) to provide support services at the River Street shelter to mentally ill individuals after their discharge from acute hospitalization. These services focus on the prevention of homelessness among these high-risk individuals, assistance in transitioning to more stable living arrangements and direct treatment interventions.

The State requires an annual reapplication process in order to administer the PATH grant for the federal government. The renewal grant application (attached) was submitted to the State pending approval by your Board and by the Local Mental Health Board. The Local Mental Health Board has reviewed and approved the PATH application as indicated in the attached letter.

SAMHSA BLOCK GRANT:

Since 1985, CMH has received Federal SAMHSA Block Grant funds for the provision of discharge planning services for mentally ill inmates at the County Jail. The goal of this program is to reduce the number of mentally ill offenders who cycle through the criminal justice system. This intervention approach supports a Mental Health Client Specialist who works with the courts and the Probation Department to develop discharge plans to assist offenders to stabilize their lives in the community. The County's 2001-02 allocation for this part of the SAMHSA Block Grant is \$43,000.

In 1992-93, the State Department of Mental Health began an augmentation of SAMHSA Block Grant funds to CMH, which provides funding to the Mental Health Client Action Network (MHCAN). MHCAN offers organizational support to client-directed, self-help activities and work opportunities. The County's 2001-02 allocation for this SAMHSA Block Grant augmentation is \$50,196. These funds support a portion of the contract with MHCAN (Contract No. 1460).

In 1999-2000 CMH was awarded three years of SAMHSA funding for a Supportive Housing Demonstration Project. The County's 2001-02 allocation for this project is \$158,500. The project is intended to provide supportive services to CMH clients living independently through contracts with the Volunteer Center and Santa Cruz Community Counseling Center.

In 2001-02 CMH was awarded an additional \$22,376 for Dual Diagnosis Set-Aside. These funds are being used to provide support and education to adults with serious mental illness and substance abuse disorders at Paloma House, a South County mental health residential treatment program.

As with the PATH Grant, the State requires an annual reapplication for these SAMHSA Block Grant funds. The reapplication (attached) was also submitted to the State pending your Board's and the Local Mental Health Board's approval. The Local Mental Health Board reviewed and approved the SAMHSA Block Grant application as indicated in the attached letter.

These grants are the result of allocations to the County for specific Mental Health purposes. Administrative costs associated with the activities funded by these allocations are funded through Realignment revenues and, therefore, are not included as part of these specific allocations. These continuing grants are included in the Revenue section of the Continuing Agreements List for 2001-02 approved as part of the budget process.

It is, therefore, RECOMMENDED that your Board:

1. Approve submittal to the State of the attached \$24,885 PATH Grant application for continuation of support services to mentally ill clients at the River Street Shelter; and
2. Approve submittal to the State of the attached \$274,072 SAMHSA Block Grant application which provides \$43,000 for Jail Discharge planning services and \$50,196 to the Mental Health Client Action Network for organizational support to client-directed, self-help activities, \$22,376 for dual diagnosis services at Paloma House and \$158,500 for the Supported Housing Demonstration Project; and
3. Direct the Clerk of the Board to forward three (3) certified copies of your Board's action to Community Mental Health for processing to the State.

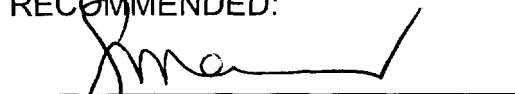
Sincerely,



Rama Khalsa
Health Services Administrator

RK:ap
Attachments

RECOMMENDED:



SUSAN A. MAURIELLO
County Administrative Officer

cc: Auditor-Controller
County Administrative Office
County Counsel
HSA Administration
Mental Health & Substance Abuse Services Administration
Local Mental Health Board



County of Santa Cruz

LOCAL MENTAL HEALTH BOARD PO BOX 962 SANTA CRUZ CALIFORNIA 95061

October 1, 2001

BOARD OF SUPERVISORS
County of Santa Cruz
701 Ocean Street, 5th Floor
Santa Cruz, CA 95060

**Re: Santa Cruz County Renewal Application for FY 2001-2002
PATH Formula Grant Program**

Dear Members of the Board:

This letter is to notify your Board that the Santa Cruz County Local Mental Health Board met on October 1, 2001. A quorum was present and members reviewed and accepted the renewal application for the **PATH** Formula Grant Program for FY 2001-2002.

Sincerely,

Myrna Sherman, Co-Chair
Local Mental Health Board

MS:ep

cc: State DMH
Local Mental Health Board Members

State of **California**
Department of Mental Health

Health and Welfare Agency

PATH ALLOCATION WORKSHEET - PLANNING ESTIMATE

MH 1772B (201)

STATE FISCAL YEAR: 2001/2002

FEDERAL, CATALOG NO. 93.150

COUNTY: SANTA CRUZ

REVISION NO: **PLANNING ESTIMATE**

The State Department of Mental Health (DMH) provides (for planning purposes) the **Federal** Projects For **Assistance** in Transition From Homelessness (PATH) Formula Grant **funds** to counties for State Fiscal Year (SFY) 2001/2002. **Your Planning** Estimate is identified below.

PATH Funds SFY 2001/2002 (Amount Reimbursable):	\$24,885
Rollover:	-
Total PATH Program SFY 2001/2002:	\$24,885

PURPOSE: Planning Estimate

Date: March 26, 2001

I the undersigned Director of Mental Health for **SANTA CRUZ** County, have accepted the Federal **PATH funds** for the county under the specific conditions included in the positive and negative assurances **as part** of the County Application Package (**CAP**) **as** well **as** those conditions established **by** other **governing** federal and state laws, policies, regulations, and guidelines. The **CAP**, **as** approved **by DMH**, **will** be followed in expending these **funds**.



County Mental Health Director



Date

Enclosure I-A

Checklist for PATH Application

Before mailing the application, please **use** this checklist to be sure your application addresses the following issues **as** required in the Intended Use Plan.

- Have you described anticipated gaps in mental health and other needed services in your county? Have **you** described the county's strategies for overcoming the identified gaps?.
- Have you identified other services in your county for PATH eligible persons, including services provided by the private sector?
- Have you described how the special needs of homeless clients with co-occurring SMI and substance abuse disorders will be met?
- Have you described **how** suitable housing services will be **made** available to **PATH** eligible **individuals**, including the names of **sponsors** and location of housing services? Have you indicated any **HUD** participation in **your** local planning process?
- Have you described the coordination of PATH funded and non-PATH funded services?
- Have you described how consumers and family members are appropriately involved in the planning, implementation and evaluation of **PATH** funded services?
- Have **you** described how the **staffing** is sensitive to the age, gender, and racial/ethnic characteristics **of** the target population?

Enclosure II

Federal Assurances

Forms Included

- 1. CERTIFICATIONS**
PHS 5161-1 (6/99)

CERTIFICATIONS**1. CERTIFICATION REGARDING DEBARMENT AND SUSPENSION**

The undersigned (authorized **official** signing for the applicant organization) certifies **to** the best of **his** or her knowledge and belief, that the applicant, defined as the **primary** participant in accordance with 45 CFR **Part** 76, and its principals:

- (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, **or** voluntarily excluded from covered transactions by any Federal Department or agency;
- (b) have not **within** a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud **or** a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, **State**, **or** local) transaction or contract under a public transaction; violation of Federal **or** **State** antitrust statutes or commission of embezzlement, theft, **forgery**, **bribery**, falsification **or** destruction of records, making false **statements**, or receiving stolen property;
- (c) are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, **State**, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (d) have not within a 3-year period preceding this application/proposal had one or more public **transactions** (Federal, **State**, or local) terminated for cause or default.

Should the applicant not be able to provide this certification, an explanation as to why **should be** placed after the assurances page in the application package.

The applicant **agrees** by submitting this proposal **that** it will include, without **modification**, the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion—Lower Tier Covered Transactions" in all lower tier covered **transactions** (i.e., transactions with sub- **grantees** and/or contractors) and in all solicitations for lower tier covered **transactions** in accordance with 45 CFR **Part** 76.

2. CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

The undersigned (**authorized** official signing for the applicant organization) certifies **that** the applicant **will**, **or** will continue **to**, provide a drug-free workplace in accordance with 45 CFR **Part** 76 by:

- (a) Publishing a **statement** notifying employees **that** the unlawful manufacture, distribution, dispensing, possession or use of a controlled **substance** is prohibited in the grantee's workplace and specifying the **actions** **that** will be taken against employees for violation of **such** prohibition;
- (b) Establishing **an** ongoing drug-free awareness program to inform employees about—
 - (1) The dangers of drug **abuse** in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties **that** may be imposed upon employees for drug **abuse** violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- (d) Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the **grant**, the employee **will**—
 - (1) Abide by the terms of **the** statement; and
 - (2) **Notify** the employer in writing of **his** or her conviction for a **violation** of a criminal drug statute occurring in the workplace no later than five calendar days after **such** conviction;
- (e) **Notifying** the agency in **writing** within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise **receiving** actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity **the** convicted employee **was** working, unless the Federal agency has designated a central

- point ~~for~~ the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who ~~is~~ so convicted—
- (1) Taking appropriate personnel action against such ~~an~~ employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, ~~as~~ amended; or
 - (2) Requiring ~~such~~ employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, ~~State~~, or local health, law enforcement, ~~or~~ other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace ~~through~~ implementation of paragraphs (a), (b), (c), (d), (e), and (f).

For purposes of paragraph (e) regarding agency notification of criminal drug convictions, the DHHS ~~has~~ designated the following central point for receipt of such notices:

Office of Grants and Acquisition Management
Office of Grants Management
 Office of ~~the~~ Assistant Secretary for Management and Budget
 Department of **Health and Human Services**
 200 Independence Avenue, S.W., **Room 517-D**
 Washington, D.C. **20201**

3. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated ~~funds~~ to influence ~~certain~~ Federal contracting and ~~financial transactions~~," generally prohibits recipients of Federal ~~grants~~ and cooperative agreements ~~from~~ using Federal (appropriated) ~~funds~~ for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 ~~also~~ requires that each person ~~who~~ requests or receives a Federal grant or cooperative agreement must disclose lobbying ~~undertaken~~ with non-Federal (non-appropriated) finds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in ~~total costs~~ (**45 CFR Part 93**).

The undersigned (authorized official signing for the applicant organization) ~~certifies~~, to the best of ~~his~~ or her knowledge and belief, that

- (1) No Federal appropriated ~~funds~~ have been paid or will be paid, by or on behalf of the under-

signed, ~~to~~ any person for influencing or attempting to influence ~~an~~ officer or employee of any agency, a Member of Congress, an officer or employee of **Congress**, or an employee of a Member of Congress in connection with the awarding of any **Federal contract**, ~~the~~ making of any Federal ~~grant~~, the making of any Federal loan, the ~~entering~~ into of any cooperative agreement, and ~~the~~ extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

- (2) If any ~~funds~~ other than Federally appropriated funds have been paid or ~~will~~ be paid to any person for influencing ~~or~~ attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with ~~this~~ Federal contract, ~~grant~~, ~~loan~~, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. ~~If~~ needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet ~~are~~ included at the end of ~~this~~ application form.)
- (3) The undersigned shall require ~~that~~ the language of ~~this~~ certification be included in the award documents for ~~all~~ subawards ~~at~~ all tiers (including subcontracts, ~~subgrants~~, and contracts under ~~grants~~, loans and cooperative agreements) and ~~that~~ all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon ~~which~~ reliance ~~was~~ placed when ~~this~~ transaction ~~was~~ made or entered ~~into~~. Submission of ~~this~~ certification is a prerequisite ~~for~~ making or entering into ~~this~~ transaction imposed by Section 1352, U.S. Code. Any ~~person~~ who fails to file the required certification ~~shall~~ be subject to a civil penalty of not less than \$10,000 and not ~~more than~~ \$100,000 for each such failure.

4. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein ~~are~~ true, complete, and ~~accurate~~ to the best of his or her knowledge, and that he or she ~~is~~ aware that ~~any~~ false, fictitious, or fraudulent statements or claims may subject ~~him~~ or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public **Health** Service terms and conditions of award if a grant is awarded ~~as a result of this~~ application.

5. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

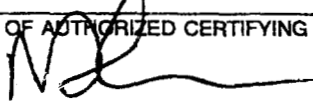
Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE MH Director
APPLICANT ORGANIZATION Santa Cruz County	DATE SUBMITTED 5/15/01

COMPLIANCE ASSURANCES

I hereby certify that the **County of** Santa Cruz agrees to the following:

- A. **Amounts** received under the PATH Formula Grant will be expended solely to provide services to persons who have a serious mental illness, **or** have a co-occurring serious mental illness and substance abuse disorder, and who **are** homeless or at imminent risk of becoming homeless.
- B. Grant funds shall be expended only for the following services:
 1. Outreach services;
 2. Screening and diagnostic treatment services;
 3. Habilitation and rehabilitation services;
 4. **Community** mental health services;
 5. Alcohol or drug treatment services;
 6. **Staff** training, including the training **of** individuals who work in sites where homeless individuals require services;
 7. Case management services;
 8. Supportive and supervisory services in residential settings;
 9. Referrals for primary health services, job training, education services, and relevant housing services; and
 10. Housing services including minor revision, expansion, **and** repair of housing; planning of housing, technical assistance in applying for housing assistance, improving the coordination **of** housing services, security deposits, costs associated with matching eligible homeless individuals with appropriate housing situations, and one-time rental payments to prevent eviction.
- C. **Grants** will be made pursuant **to** subsection (a) only to entities that have the capacity to provide, directly or through arrangements, the specified service(s) including coordinating the provision **of** service(s) in order to meet the needs of eligible homeless individuals who **are** both mentally ill and suffering **from** substance abuse.
- D. Special consideration will be given to funding entities with a demonstrated effectiveness in serving veterans who **are** homeless.
- E. Grant funds **will** not be given to **any** entity **that has** a policy of excluding individuals **from** mental health services due to the existence or suspicion of substance abuse or which excludes individuals from substance abuse services due to the existence or suspicion **of** mental illness.
- F. **Not more than 2.0 percent of the payments under PATH will be expended for administrative expenses.**
- G. The county will verify that the manner and **timing** of the public notification **of** the mental health board review of the **PATH** application provides sufficient opportunity to allow comments from interested persons and agencies at the board hearing.

- H. **Not more than** 20 percent of the payments will be expended for allowable **housing** services. The payments ~~will not be~~ expended to **support emergency** shelters or construction of **housing** facilities for inpatient psychiatric or inpatient substance abuse treatment costs or to make cash payments to intended recipients **of mental** health **or** substance abuse services.
- I. The county will make available, directly or through donations ~~from~~ public or private entities, non-federal contributions toward such costs in **an** amount that is not **less** than one dollar for each three dollars of **federal** funds **provided** in such payments. The amount of the county **match** is \$ 8295.
- J. ~~The~~ description of intended use ~~will~~ be revised **throughout** the year to reflect substantial changes in the **programs** and activities funded through the **PATH grant**.
- K. The county agrees to provide all ~~reports~~ ²⁰⁰¹⁻²⁰⁰² **required** by the State Department **of Mental Health**.
- L. The county ~~has~~ budgeted ~~SFY 2000-2001~~ ²⁰⁰¹⁻²⁰⁰² non-PATH funds **for** services to individuals **who are** **homeless and mentally** disabled **as** follows:

\$ 245,000 est Federal (non-PATH)

\$ 630,000 est Other



Signature of Director

5/15/01
Date

Enclosure IV

Federal Assurances

Forms Included

1. ASSURANCES – CONSTRUCTION PROGRAMS
(Standard Form **424d** (Rev. 7-97))

2. ASSURANCES – NON – CONSTRUCTION PROGRAMS
(Standard Form **424b** (Rev 7-97))

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

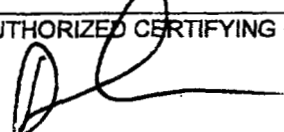
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to **your** project or program. If **you** have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly **authorized** representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in *this* application.
2. Will give the awarding agency, the Comptroller General of the United **States** and, if appropriate, the State, through any authorized representative, access to and the right to examine all ~~records, books,~~ papers, or documents related to the award; and ~~will~~ establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. ~~Will~~ initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. ~~Will~~ comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 **statutes** or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. ~~Will~~ comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of ~~face, color~~ or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), ~~which~~ prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), ~~which~~ prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. ~~Will~~ comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. ~~Will~~ comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the **political** activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. **Will** comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. **Will** comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended. 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. **Will comply** with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. **Will cause** to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE M H Director
APPLICANT ORGANIZATION Santa Cruz County	DATE SUBMITTED 5/15/01

ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I *certify* that the applicant

1. **Has** the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project **costs**) to ensure proper planning, management and completion of the project described in this application.
2. **Will** give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, ~~papers~~ or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. **Will** not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. **Will** record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. **Will** comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of **construction** plans and **specifications**.
5. Will provide and maintain competent and adequate **engineering** supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and **will** furnish **progress** reports and such other information as may be required by the assistance awarding agency or State.
6. **Will** initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. **Will** establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. **will** comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or **rehabilitation** of residence structures.
10. **Will** comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of ~~age~~, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient **records**; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE M H Director
APPLICANT ORGANIZATION Santa Cruz County	DATE SUBMITTED 5/15/01

PATH PROGRAM

PROVIDER INTENDED USE PLAN - FACE SHEET

County Santa Cruz Fiscal Year 200112002
 Provider Santa Cruz Community Counseling Center, Inc. Allocation: \$24,885
 Provider Type Community Mental Health
 Service Area (county, city, region, neighborhood, etc) County
 County Contact Person: Gail Buckner
 Telephone: (831) 454-5498 FAX: (831) 454-4747
 Email: gail.buckner@health.co.santa-cruz.ca.us

Indicate **which** of the following essential **services** will be provided by the provider with PATH funding:

- ☐ Outreach
- ☐ Housing
- ☐ Staff Training
- ☐ Community Mental Health Services
- ☐ Screening and Diagnostic Services
- ☐ Supportive and Supervisory Services in Residential Settings
- ☒ Referrals for Primary Health Services, Job Training, Education Services and Relevant Housing Services
- ☒ Case Management
- ☐ Alcohol or Drug Treatment

Indicate which budget categories are funded by PATH:

<input checked="" type="checkbox"/> Personnel	<input type="checkbox"/> Equipment	<input type="checkbox"/> Travel
<input type="checkbox"/> Consultants	<input type="checkbox"/> Supplies	<input type="checkbox"/> Other

Description of the flow of federal PATH funds in California:

PATH funding in California is allocated to the counties from the California State Department of Mental Health. Each county has a Mental Health Program that provides services to the public sector in California; the PATH funds are distributed at the county level to either county or contract providers.

Additional Required Items (ITEMS 1 - 13 OF THE INTENDED USE PLAN)

To complete the INTENDED USE PLAN, please submit items 1 thru 13 as outlined in the Guidelines of these instructions. Limit is THREE additional sheets of plain (not letterhead) paper, with name of county indicated at the top of the sheet.

SANTA CRUZ COUNTY PATH PROPOSAL FOR 2001-2002

1. Name of local organization that ~~will~~ receive PATH funds?

The provider of services will be the River Street Shelter, a component of the **Santa Cruz Community Counseling Center, Inc. (SCCCC)**. SCCCC is a private non-profit social service agency, serving clients with mental illness and substance abuse. SCCCC has been a subcontractor with the **County** of **Santa Cruz** for over 25 years.

2. Which areas within the State ~~will~~ the organization serve?

Services will be provided in **Santa Cruz County**.

3. Does local organization use federal PATH funds to provide direct services or distributes funds to another local organization.

The River Street Shelter will provide direct services to homeless men and women.

4. What services will be provided with federal PATH funds?

The PATH funds will be allocated to support a Counselor II/Case Manager who will be responsible for providing case management **and** referral services to homeless individuals suffering from serious mental illness or co-occurring serious mental illness and substance abuse disorders. These individuals will reside at the River Street Shelter, a program for homeless men and women.

5. List names of organizations in the same service area that will not be supported by PATH but provide services and housing to PATH eligible clients.

Santa Cruz County Mental Health
community support **SERVICES**
Homeless Community Resource Center
Page Smith Community House
Homeless Persons Health Project
Interfaith Satellite Shelter Program
St Francis Soup Kitchen

6. How will the PATH funded organization coordinate its services with the agencies identified in Question 5?

SCCCC provides case management services to mental health clients residing at the River Street Shelter. There are not comparable **mental health case** management services for **mental** health clients at **any** of the other homeless shelters locally, although there **are** similar services for non-mental health clients.

The River Street Shelter is situated in close proximity to **most** of the other agencies identified in Question 5. For example, across the driveway from the River Street Shelter is the Homeless Community Resource Center. This agency includes a daytime **drop-in** center with showers, lockers, and hot **meals** for the homeless. It also includes the **Page Smith Community House** providing transitional housing for homeless persons working **towards** more stable lifestyles, and transportation in the evening to the churches (the Interfaith Satellite Shelter Program) and the **armory** providing emergency shelter. Directly next door to the Shelter is the Homeless Persons Health

SANTA CRUZ COUNTY PATH PROPOSAL FOR 2001-2002

Project which addresses the medical needs of homeless people. River Street Shelter staff have regular contact with the staff from **all** of these programs, and they exchange referrals and services whenever appropriate. **In** addition, staff representing these programs meet on a regular basis to discuss coordinating services to shared clients.

SCCCC and County Mental Health **also** provide case management services to other homeless mentally ill individuals in the community outside of shelters. **Mental** Health Services Coordinators provide these **services** with **non-PATH** funds.

- 7. What type of housing services are provided through PATH funding? How ~~v~~ suitable housing services be made available to PATH eligible individuals?**
- PATH** funds **are** used to provide case management services to PATH eligible individuals residing in **an** emergency shelter. However, SCCCC also operates transitional housing and permanent supported **housing** programs. Housing includes residential programs and houses and apartments in **Santa** Cruz County. **PATH** funded **staff** assist clients residing at the River Street Shelter to access the **transitional and** permanent supported **housing** opportunities provided **by** SCCCC and other agencies. SCCCC employs other case managers, funded elsewhere, to assist with placing homeless mentally ill individuals into mainstream housing.

For the last five years, the Continuum of Care Coordinating Group for **Santa** Cruz County **has** met regularly to coordinate homeless services and prioritize local needs. **The** group prioritizes HUD **Super** NOFA applications by area of greatest need. It also works to increase housing resources for homeless individuals, including the homeless mentally ill. SCCCC is **an** active participant in this group and **has** received housing development funds through its applications to HUD.

- 8. What are some of the current and anticipated gaps in mental health and other needed services that may be encountered by the PATH funded organization?**
- The biggest gaps in services are the scarcity of transitional housing and affordable permanent housing. **The** local housing market is the second least affordable market in the nation and vacancy rates are 1-2%. The local planning group that identifies these gaps and prioritizes the needs is the "Continuum of Care Coordinating Group" mentioned in #7. The Coordinating Group includes representatives from the five local government jurisdictions, community-based agencies, philanthropies, businesses, and individuals, including SCCCC.
- 9. What strategies have been adopted to overcome the gaps in-service?**
- SCCCC is **a** regular and active participant in the Coordinating Group and **has** applied to HUD in the annual "Continuum of Care" application to fund additional transitional and permanent supported housing. SCCCC case managers are also attempting to get Section 8 certificates for as many of the homeless mentally ill clients on their caseloads **as** possible. While difficult to get, Section **8s** make housing much more possible in this **highly** competitive market. SCCCC also hopes to develop more clean **and** sober homes for dual diagnosis clients. The clean and sober homes are transitional, so they can have two people **per** bedroom – making housing at least

SANTA CRUZ COUNTY PATH PROPOSAL FOR 2001-2002

somewhat more affordable for individuals on limited incomes. Other agencies addressing these **gaps** include Families in Transition, Pajaro Valley Shelter Services, Mercy Charities Housing, the Community Action Board, and the Homeless Persons Health Project.

- 10.** What is the estimated number **of** clients who are homeless and have serious mental illnesses who **will** be served by the organization through the use **of** FY **2001 PATH** funds?

In 1999-2000, the River Street Shelter had two mental health counselors who served a total of 202 mental health clients. Based on this figure, we are **assuming** 100, (approximately **half**), of the mental health clients to be served in 2001-2002 will be considered to **be** PATH clients. Since **PATH** funding **is** approximately 7% of the budget, the River Street Shelter does not distinguish **PATH** clients from non-PATH clients on the counselor's caseload **and** it would be administratively impractical to designate specific **clients as** PATH enrolled. Individual client files are kept on all Shelter clients.

- 11.** How **will** the special needs **of** homeless clients with co-occurring serious mental illness and substance use disorders be met?

The River Street Shelter provides intensive dual diagnosis treatment for up to 8 clients at a time. The staff member funded by the **PATH** grant **has** expertise in dual diagnosis issues and is assigned to these clients. SCCCC also **has** a **Dual** Diagnosis Day Treatment program at another site that provides services to eligible River Street Shelter clients.

- 12.** **How** will the proposed staff providing services **to** the target population be sensitive **to** age, gender, and racial/ ethnic **differences**? Please indicate whether staff are representative **of** the racial /ethnic diversity **of** the **clients** and receive periodic training in cultural competency.

The current staffing at the River Street Shelter consists of five women and six men: seven Caucasian, one American Indian, two Latino and one African American. Two of the staff are Spanish bilingual, and **all** forms **used** by clients are available in Spanish **as well as** English. **This** contrasts with a client population of 33% women and 67% men, 81% Caucasian, 10% Latino, and 9% other **minority** or unknown ethnicity. **All** staff receive **training** on cultural competency issues.

- 13.** How **will** the organization involve consumers and **family** members in the (a) planning, (b) implementation and (c) evaluation **of** **PATH funded** services? Consumers and family members are invited to participate in the Continuum of Care Coordinating Group to coordinate homeless services and prioritize local needs.

State of California
Department of Mental Health

Federal Grant Detailed Provider Budget
MH 1779A Rev(03/2001)

PATH GRANT
State fiscal Year 2001/2002

Submission Date: May 14, 2001

Telephone Number: (831) 454-4767

County: Santa Cruz

County Contact: Gail Buckner

Provider Name: Santa Cruz Community Counseling Center Co. internet address: gail.Buckner@health.ca.santa-cruz.ca.us

Provider Address: 145-A Harvey West Blvd.

Santa Cruz, CA 95060

Provider Number: 4436

Mode/Cost Centers:

Line	Staff (List by title of position and number of FTE)	Column 2 Budget SFY 2001/2002
1	Counselor II (.78 FTE)	\$ 24,885.
2		
3		
4		
5		
6		
7		
8		
9		
10		
11	Total staff expenses(sum lines 1 thru 10)	\$ 24,885
12	Consultant Costs:	
13		
14		
15	Equipment (Where feasible lease or rent) (itemize):	
16		
17		
18		
19		
20		
21	Supplies (itemize):	
22		
23		
24		
25		
26	Travel:	
27		
28	Other Expenses (itemize):	
29		
30		
31		
32		
33		
34		
35	Total Provider Expenses (sum lines 11 thru 34)	\$ 24,885
36	Administrative Costs (see Instructions)	
37	Total costs Funded From Grant (sum lines 35 and 36)	\$ 24,885

DMH APPROVAL BY: James R. Collins

TELEPHONE (916) 654-3390

DATE